

Top Ten Most Cited Deficiencies-Assisted Living  
1/1/2007-12/31/2007

1. R9-10-723.E. A licensee shall ensure that medication requirements in R9-10-722(D) are met for a resident receiving personal care services or directed care services.
2. § 36-406.1(d). Powers and duties of the department In addition to its other powers and duties: The department shall: Require as a condition of licensure that nursing care institutions and assisted living facilities make vaccinations for influenza and pneumonia available to residents on site on a yearly basis. The department shall prescribe the manner by which the institutions and facilities shall document compliance with this subdivision, including documenting residents who refuse to be immunized. The department shall not impose a violation on a licensee for not making a vaccination available if there is a shortage of that vaccination in this state as determined by the director.
3. R9-10-717.A.3. A licensee shall ensure that: An employee fire drill is conducted at least once every 3 months on each shift. Residents are not required to participate in an employee fire drill. An employee fire drill includes making a general announcement throughout the facility that an employee fire drill is being conducted or sounding a fire alarm;
4. R9-10-703.B.3. A licensee shall ensure that a manager of an assisted living facility: Ensures that each resident and each individual living in the facility provides documentation of freedom from pulmonary tuberculosis at least once every 12 months as required in R9-10-706(A)(1);
5. R9-10-706.A. A licensee shall ensure that: R9-10-706.A.1. At the starting date of employment or service and every 12 months from the starting date of employment or service, each support staff and volunteer who interacts with a resident on a regular basis and each employee submits 1 of the following as evidence of being free from pulmonary tuberculosis:
  - a. A report of a negative Mantoux skin test administered within six months of submitting the report; or
  - b. A written physician's statement dated within six months of submitting the statement, indicating freedom from pulmonary tuberculosis, if the individual has had a positive skin test for tuberculosis;
6. R9-10-713.B.3.a. A licensee shall ensure that: Medication stored by the licensee is stored or controlled as follows: Medication is stored in a locked container, cabinet, or area that is inaccessible to residents;
7. R9-10-711.A.6.a. A licensee shall ensure that a resident has a written service plan that: Is signed and dated by: The resident or the representative;
8. R9-10-718.10. A licensee shall ensure that: Poisonous or toxic materials stored by the assisted living facility are maintained in labeled containers in a locked area separate from food preparation and storage, dining areas, and medications;
9. R9-10-703.B.9. A licensee shall ensure that a manager of an assisted living facility: Ensures compliance with fingerprinting requirements contained in A.R.S. § 36-411;  
A.R.S. § 36-411. A. Subject to legislative appropriations, as a condition of licensure or continued licensure of a residential care institution, a nursing care institution or a home health agency and as a condition of employment in a residential care institution, a nursing care institution or a home health agency, employees and owners of residential care institutions, nursing care institutions or home health agencies or contracted persons who provide direct care, home health services or supportive services and who have not been subject to the fingerprinting requirements of a health professional's regulatory board pursuant to title 32 shall have valid fingerprint clearance cards that are issued pursuant to title 41, chapter 12, article 3.1 or shall apply for a fingerprint clearance card within twenty working days of employment or beginning volunteer work except as provided in subsections F, G and H of this section.  
C. Owners shall make documented, good faith efforts to:
  2. Verify the current status of a person's fingerprint clearance card.
10. R9-10-718.1.d. A licensee shall ensure that: A facility and facility grounds are: Free of any object, material or condition that may be a hazard based on the physical, cognitive, and functional condition of the residents; and