

Ambulatory Surgical Center
Top Ten Federal Deficiencies
(01-01-07 through 12-31-07)

416.44(a)	Physical Environment
416.43	Evaluation of Quality
416.44(a)(3)	Physical Environment
416.44(c)	Emergency Equipment
416.42	Surgical Services
416.42(c)	Discharge
416.45(b)	Reappraisals
416.47(b)	Form and Content of Record
416.41	Governing Body and Management
416.43	Environment

0011:

The ambulatory surgical center must provide a functional and sanitary environment for the provision of surgical services.

0009:

The ambulatory surgical center, with the active participation of the medical staff, must conduct an ongoing, comprehensive self-assessment of the quality of care provided, including medical necessity of procedures performed and appropriateness of care, and use findings, when appropriate, in the revision of center policies and consideration of clinical privileges.

0014:

The ambulatory surgical center must establish a program for identifying and preventing infections, maintaining a sanitary environment, and reporting the results to appropriate authorities.

0016:

Emergency equipment available to the operating rooms must include at least the following:

- o Emergency call system.
- o Oxygen.
- o Mechanical ventilatory assistance equipment including airways, manual breathing bag, and ventilator.
- o Cardiac defibrillator.
- o Cardiac monitoring equipment.
- o Tracheostomy set.
- o Laryngoscopes and endotracheal tubes.
- o Suction equipment.
- o Emergency medical equipment and supplies specified by the medical staff.

0005:

Surgical procedures must be performed in a safe manner by qualified physicians who have been granted clinical privileges by the governing body of the ambulatory surgical center in accordance with approved policies and procedures of the center.

0008:

All patients are discharged in the company of a responsible adult, except those exempted by the attending physician.

0021:

Medical staff privileges must be periodically reappraised by the ambulatory surgical center. The scope of procedures performed in the ASC must be periodically reviewed and amended as appropriate.

0027:

The ambulatory surgical center must maintain a medical record for each patient. Every record must be accurate, legible, and promptly completed. Medical records must include at least the following:

- o Patient identification
- o Significant medical history and results of physical examination
- o Pre-operative diagnostic studies (entered before surgery), if performed
- o Findings and techniques of the operation, including a pathologist's report on all tissues removed during surgery, except those exempted by the governing body.
- o Any allergies and abnormal drug reactions
- o Entries related to anesthesia administration
- o Documentation of properly executed informed patient consent
- o Discharge diagnosis.

0003:

The ambulatory surgical center must have a governing body that assumes full legal responsibility for determining, implementing, and monitoring policies governing the center's total operation and for ensuring that these policies are administered so as to provide quality health care in a safe environment. When services are provided through a contract with an outside resource, the center must assure that these services are provided in a safe and effective manner.

0010:

The ambulatory surgical center must have a safe and sanitary environment, properly constructed, equipped, and maintained to protect the health and safety of patients.