

End Stage Renal Disease V-10.01  
Top Ten Federal Deficiencies  
(07-01-10 through 06-30-11)

494.30(a)(4)(ii)	IC-Disinfect Surfaces/Equipment/Written Protocol
494.80(a)(2)	PA-Appropriateness of Dialysis Rx
494.170(b)(1)	MR-Complete Records Promptly
494.60(d)(4)(ii)	PE-Annual Eval-Emergency/Disaster Plans
494.60(b)	PE-Equipment Maintenance-Manufac DFU
494.30(a)(1)	IC-Wear Gloves/Hand Hygiene
494.110(a)(2)(ix)	QAPI-Indicator-Inf Cont-Trend/Plan/Act
494.150	CFC-Responsibilities of the Medical Director
494.150(a)	MD Resp-QAPI Program
494.150(c)(2)(i)	MD Resp-Ensure All Adhere to P&P

**0122:**

[The facility must demonstrate that it follows standard infection control precautions by implementing-  
(4) And maintaining procedures, in accordance with applicable State and local laws and accepted public health procedures, for the-]  
(ii) Cleaning and disinfection of contaminated surfaces, medical devices, and equipment.

**0503:**

The patient's comprehensive assessment must include, but is not limited to, the following:  
(2) Evaluation of the appropriateness of the dialysis prescription,

**0729:**

(1) Current medical records and those of discharged patients must be completed promptly.

**0415:**

The facility must-  
Evaluate at least annually the effectiveness of the emergency and disaster plans and update them as necessary;

**0403:**

The dialysis facility must implement and maintain a program to ensure that all equipment (including emergency equipment, dialysis machines and equipment, and the water treatment system) are maintained and operated in accordance with the manufacturer's recommendations.

**0113:**

Wear disposable gloves when caring for the patient or touching the patient's equipment at the dialysis station. Staff must remove gloves and wash hands between each patient or station.

**0637:**

The program must include, but not be limited to, the following:  
(ix) Infection control; with respect to this component the facility must-  
(A) Analyze and document the incidence of infection to identify trends and establish baseline information on infection incidence;  
(B) Develop recommendations and action plans to minimize infection transmission, promote immunization; and  
(C) Take actions to reduce future incidents.

**0710:**

Responsibilities of the Medical Director.

**0712:**

Medical director responsibilities include, but are not limited to, the following:

(a) Quality assessment and performance improvement program.

**0715:**

The medical director must-

(2) Ensure that-

(i) All policies and procedures relative to patient admissions, patient care, infection control, and safety are adhered to by all individuals who treat patients in the facility, including attending physicians and nonphysician providers;