

End Stage Renal Disease
Top Ten Federal Deficiencies
(01-01-07 through 12-31-07)

405.2136	Governing Body: Health and Safety
405.2136(d)(2)	Personnel P/P: Safe/Sanitary Environment
405.2137(b)(4)	Patient Care Plan: Frequency
405.2136	Governing Body and Management
405.2140(b)(1)	PE: Infection Control
405.2137(a)	Patient Care Plan: Team
405.2139	Medical Records
405.2137(a)	Written Long-Term Plan
405.2137(b)	Patient Care Plan: Written. Assessment Based
405.2139(a)	Medical Records: Identify, Justify, Document

0112:

The governing body adopts and enforces rules and regulations relative to the health care and safety of patients.

0145:

The governing body, through the chief executive officer of the ESRD facility, is responsible for maintaining and implementing written personnel policies and procedures that ensure that a safe and sanitary environment for patients and personnel exists.

0196:

The care plan for patients whose medical condition has not become stabilized is reviewed at least monthly by the professional patient care team described in 405.2137(b)(2) of this section. For patients whose condition has become stabilized, the care plan is reviewed every 6 months. The care plan is revised as necessary to ensure that it provides for the patients' ongoing needs.

0110:

The ESRD facility is under the control of an identifiable governing body, or designated person(s) so functioning, with full legal authority and responsibility for the governance and operation of the facility.

0266:

There are written policies and procedures in effect for preventing and controlling hepatitis and other infections. These policies include, but are not limited to, appropriate procedures for surveillance and reporting of infections, housekeeping, handling and disposal of waste and contaminants, and sterilization and disinfection, including the sterilization and maintenance of equipment. Where dialysis supplies are reused, there are written policies and procedures covering the rinsing, cleaning, disinfection, preparation, and storage of reused items which conform to requirements for reuse in 405.2150.

0194:

The patient care plan is developed by a professional team consisting of at least the physician responsible for the patient's ESRD care, a qualified nurse responsible for nursing services, a qualified social worker, and a qualified dietitian.

0230:

The ESRD facility maintains complete medical records on all patients (including self-dialysis patients within the self-dialysis unit and home dialysis patients whose care is under the supervision of the facility) in accordance with accepted professional standards and practices. A member of the facility's staff is designated to serve as supervisor of medical records services, and ensures that all records are properly documented, completed, and preserved and that they are completely and accurately documented, readily available, and systematically organized to facilitate the compilation and retrieval of information.

0187:

There is a written long-term program representing the selection of a suitable treatment modality (i.e., dialysis or transplantation) and dialysis setting (i.e., home, self-care) for each patient.

0192:

There is a written patient care plan for each patient of an ESRD facility (including home dialysis patients under the supervision of the ESRD facility; see §405.2163(e)), based upon the nature of the patient's illness, the treatment prescribed, and an assessment of the patient's needs.

0231:

Each patient's medical record contains sufficient information to identify the patient clearly, to justify the diagnosis and treatment, and to document the results accurately.