

Outpatient Physical Therapy
Top Ten Federal Deficiencies
(01-01-15 through 12-31-2015)

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| 485.723(b) | Maintenance of Equipment/Buildings/Grounds |
| 485.709 | Administrative Management |
| 485.709(b) | Administrator |
| 485.709(c) | Personnel Policies |
| 485.711(a) | Medical History & Prior Treatment |
| 485.721(a) | Protection of Clinical Record Information |
| 485.721(b) | Content |
| 485.723(c) | Other Environmental Considerations |
| 485.725(a) | Infection Control Committee |
| 485.725(b) | Aseptic & Isolation Techniques |

0121:

The organization establishes a written preventive maintenance program to ensure that the equipment is operative and is properly calibrated, and the interior and exterior of the building are clean and orderly and maintained free of any defects which are a potential hazard to patients, personnel, and the public.

0011:

The clinic or rehabilitation agency has an effective governing body that is legally responsible for the conduct of the clinic or rehabilitation agency. The governing body designates an administrator and establishes administrative policies

0015:

The governing body appoints a full time qualified administrator, delegates to the administrator the internal operation of the clinic or rehabilitation agency in accordance with established written policies, defines clearly the administrator's responsibilities for procurement and directions to personnel, and designates a competent individual to act during temporary absence of the administrator.

0019:

Personnel practices are supported by appropriate written personnel policies that are kept current. Personnel records include the qualifications of all professional and assistant level personnel, as well as evidence of State licensure if applicable.

0049:

The following are obtained by the organization before or at the time of initiation of treatment:

- 1) The patient's significant past history.
- 2) Current medical findings, if any.
- 3) Diagnosis(es), if established.
- 4) Physician's orders, if any.
- 5) Rehabilitation goals, if determined.
- 6) Contraindications, if any.
- 7) The extent to which the patient is aware of the diagnosis(es) and prognosis, and
- 8) If appropriate, the summary of treatment furnished and results achieved during previous periods of rehabilitation services or institutionalization.

0091:

The organization recognizes the confidentiality of clinical record information and provides safeguards against loss, destruction, or unauthorized use. Written procedures govern the use and removal of records and the conditions for release of information. The patient's written consent is required for release of information not authorized by law.

0093:

The clinical record contains sufficient information to identify the patient clearly, to justify the diagnosis(es) and treatment, and to document the results accurately. All clinical records must contain the following general categories of data:

- (1) Documented evidence of the assessment of the needs of the patient, of an appropriate plan of care, and of the care and services furnished.
- (2) Identification data and consent forms.
- (3) Medical history.
- (4) Report of physical examinations, if any.
- (5) Observations and progress notes.
- (6) Reports of treatments and clinical findings.
- (7) Discharge summary including final diagnosis(es) and prognosis.

0123:

The organization provides a functional, sanitary, and comfortable environment for patients, personnel, and the public.

(1) Provision is made for adequate and comfortable lighting levels in all areas; limitation of sounds at comfort levels; a comfortable room temperature; and adequate ventilation through windows, mechanical means, or a combination of both.

(2) Toilet rooms, toilet stalls, and lavatories must be accessible and constructed so as to allow use by nonambulatory and semiambulatory individuals.

(3) Whatever the size of the building, there must be an adequate amount of space for the services provided and disabilities treated, including reception area, staff space, examining room, treatment areas, and storage.

0161:

The infection control committee establishes policies and procedures for investigating, controlling, and preventing infections in the organization and monitors staff performance to ensure that the policies and procedures are executed.

0163:

All personnel follow written procedures for effective aseptic techniques. The procedures are reviewed annually and revised if necessary to improve them.