

Registration Certificate No.:		Inspection Date:	Start:	End:	Page 1 of 12
Dispensary Name:		E-mail:	Facility Location (Address):		
Surveyor (s):				Facility Type:	
Item	Statute or Rule:	C NC N/A NE TA	Comments		
R9-17-310. Administration					
A dispensary shall:					
1	1. Ensure that the dispensary is operating and available to dispense medical marijuana to qualifying patients and designated caregivers at least 30 hours weekly between the hours of 7:00 a.m. and 10:00 p.m.				
2. Develop, document, and implement policies and procedures:					
2	3. Maintain copies of the policies and procedures at the dispensary and provide copies to the Department for review upon request;				
3	4. Review dispensary policies and procedures at least once every 12 months from the issue date of the dispensary registration certificate and update as needed;				
4	5. Employ or contract with a medical director ;				
5	6. Ensure that each dispensary agent has the dispensary agent's registry identification card in the dispensary agent's immediate possession when the dispensary agent is: a. Working or providing volunteer services at the dispensary or the dispensary's cultivation site, or b. Transporting marijuana for the dispensary;				
6	7. Ensure that a dispensary agent accompanies any individual other than another dispensary agent associated with the dispensary when the individual is present in the enclosed, locked facility where marijuana is cultivated by the dispensary;				
7	9. Provide written notice to the Department, including the date of the event, within 10 working days after the date, when a dispensary agent no longer: a. Serves as a principal officer or board member for the dispensary, b. Serves as the medical director for the dispensary, c. Is employed by the dispensary, or d. Provides volunteer services at or on behalf of the dispensary;				

C = Compliant NC = Non – Compliant N/A = Not Applicable NE = Not Evaluated TA = Technical Assistance

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8	<p>12. Post the following information in a place that can be viewed by individuals entering the dispensary:</p> <ul style="list-style-type: none"> a. If applicable, the dispensary’s approval to operate; b. The dispensary’s registration certificate; c. The name of the dispensary’s medical director and the medical director’s license number on a sign at least 20 centimeters by 30 centimeters; and d. The hours of operation during which the dispensary will dispense medical marijuana to a qualifying patient or a designated caregiver; 		
9	B. If a dispensary cultivates marijuana, the dispensary shall cultivate the marijuana in an enclosed, locked facility.		
R9-17-313. Medical Director			
10	B. During a dispensary’s hours of operation, a medical director or an individual who is a physician and is designated by the medical director to serve as medical director in the medical director’s absence is onsite or able to be contacted by any means possible, such as by telephone or pager		
C. A medical director shall:			
11	<p>1. Develop and provide training to the dispensary’s dispensary agents at least once every 12 months from the initial date of the dispensary’s registration certificate on the following subjects:</p> <ul style="list-style-type: none"> a. Guidelines for providing information to qualifying patients related to risks, benefits, and side effects associated with medical marijuana; b. Guidelines for providing support to qualifying patients related to the qualifying patient’s self-assessment of the qualifying patient’s symptoms, including a rating scale for pain, cachexia or wasting syndrome, nausea, seizures, muscle spasms, and agitation; c. Recognizing signs and symptoms of substance abuse; and d. Guidelines for refusing to provide medical marijuana to an individual who appears to be impaired or abusing medical marijuana; 		

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D. A medical director shall provide oversight for the development and dissemination of:			
12	<p>1. Educational materials for qualifying patients and designated caregivers that include:</p> <ul style="list-style-type: none"> a. Alternative medical options for the qualifying patient’s debilitating medical condition; b. Information about possible side effects of and contraindications for medical marijuana including possible impairment with use and operation of a motor vehicle or heavy machinery, when caring for children, or of job performance; c. Guidelines for notifying the physician who provided the written certification for medical marijuana if side effects or contraindications occur; d. A description of the potential for differing strengths of medical marijuana strains and products; e. Information about potential drug-to-drug interactions, including interactions with alcohol, prescription drugs, non-prescription drugs, and supplements; f. Techniques for the use of medical marijuana and marijuana paraphernalia; g. Information about different methods, forms, and routes of medical marijuana administration; h. Signs and symptoms of substance abuse, including tolerance, dependency, and withdrawal; and i. A listing of substance abuse programs and referral information; 		
13	<p>2. A system for a qualifying patient or the qualifying patient’s designated caregiver to document the qualifying patient’s pain, cachexia or wasting syndrome, nausea, seizures, muscle spasms, or agitation that includes:</p> <ul style="list-style-type: none"> a. A log book, maintained by the qualifying patient and or the qualifying patient’s designated caregiver, in which the qualifying patient or the qualifying patient’s designated caregiver may track the use and effects of specific medical marijuana strains and products; b. A rating scale for pain, cachexia or wasting syndrome, nausea, seizures, muscles spasms, and agitation; c. Guidelines for the qualifying patient’s self-assessment or, if applicable, assessment of the qualifying patient by the qualifying patient’s designated caregiver; and d. Guidelines for reporting usage and symptoms to the physician providing the written certification for medical marijuana and any other treating physicians; 		
14	<p>3. Policies and procedures for refusing to provide medical marijuana to an individual who appears to be impaired or abusing medical marijuana.</p>		
15	<p>E. A medical director for a dispensary shall not provide a written certification for medical marijuana for any qualifying patient.</p>		

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R9-17-314. Dispensing Medical Marijuana			
Before a dispensary agent dispenses medical marijuana to a qualifying patient or a designated caregiver, the dispensary agent shall:			
16	1.4.5. Verify the qualifying patient’s or the designated caregiver’s identity , the validity of the registry identification , and the amount of medical marijuana that would not cause the patient to exceed the two and one half ounces limit of medical marijuana during a 14 calendar day period.		
17	2. Offer any appropriate patient education or support materials,		
18	6. Enter the following information into the medical marijuana electronic verification system for the qualifying patient or designated caregiver: a. The amount of medical marijuana dispensed, b. Whether the medical marijuana was dispensed to the qualifying patient or to the qualifying patient’s designated caregiver, c. The date and time the medical marijuana was dispensed, d. The dispensary agent’s registry identification number, and e. The dispensary’s registry identification number.		
R9-17-315. Qualifying Patient Records			
A. A dispensary shall ensure that:			
19	1. A qualifying patient record is established and maintained for each qualifying patient who obtains medical marijuana from the dispensary;		
20	2. An entry in a qualifying patient record : a. Is recorded only by a dispensary agent authorized by dispensary policies and procedures to make an entry, b. Is dated and signed by the dispensary agent, c. Includes the dispensary agent’s registry identification number, and d. Is not changed to make the initial entry illegible;		
C. A dispensary shall ensure that the qualifying patient record for a qualifying patient who requests or whose designated caregiver on behalf of the qualifying patient requests medical marijuana from the dispensary contains:			
21	1. Qualifying patient information that includes: a. The qualifying patient’s name; b. The qualifying patient’s date of birth; and c. The name of the qualifying patient’s designated caregiver, if applicable;		

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22	2. Documentation of any patient education and support materials provided to the qualifying patient or the qualifying patient's designated caregiver, including a description of the materials and the date the materials were provided;		
23	3. For each time the qualifying patient requests and does not obtain medical marijuana or, if applicable, the designated caregiver requests on behalf of the qualifying patient and does not obtain medical marijuana from the dispensary, the following: a. The date, b. The name and registry identification number of the individual who requested the medical marijuana, and c. The dispensary's reason for refusing to provide the medical marijuana.		
R9-17-316. Inventory Control System			
24	A. A dispensary shall designate in writing a dispensary agent who has oversight of the dispensary's medical marijuana inventory control system .		
25	B. A dispensary shall only acquire marijuana from : 1. The dispensary's cultivation site, 2. Another dispensary or another dispensary's cultivation site, 3. A qualifying patient authorized by the Department to cultivate marijuana, or 4. A designated caregiver authorized by the Department to cultivate marijuana.		
C. A dispensary shall establish and implement an inventory control system for the dispensary's medical marijuana that documents:			
26	1. Each day's beginning inventory , acquisitions, harvests, sales, disbursements, disposal of unusable marijuana, and ending inventory ;		
27	2. For acquiring medical marijuana from a qualifying patient or designated caregiver: a. A description of the medical marijuana acquired including the amount and strain, b. The name and registry identification number of the qualifying patient or designated caregiver who provided the medical marijuana, c. The name and registry identification number of the dispensary agent receiving the medical marijuana on behalf of the dispensary, and d. The date of acquisition;		

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28	<p>3. For acquiring medical marijuana from another dispensary:</p> <p>a. A description of the medical marijuana acquired including the amount, strain, and batch number;</p> <p>b. The name and registry identification number of the dispensary providing the medical marijuana;</p> <p>c. The name and registry identification number of the dispensary agent providing the medical marijuana;</p> <p>d. The name and registry identification number of the dispensary agent receiving the medical marijuana on behalf of the dispensary; and</p> <p>e. The date of acquisition;</p>		
29	<p>4. For each batch of marijuana cultivated:</p> <p>a. The batch number;</p> <p>b. Whether the batch originated from marijuana seeds or marijuana cuttings;</p> <p>c. The origin and strain of the marijuana seeds or marijuana cuttings planted;</p> <p>d. The number of marijuana seeds or marijuana cuttings planted;</p> <p>e. The date the marijuana seeds or cuttings were planted;</p> <p>f. A list of all chemical additives, including nonorganic pesticides, herbicides, and fertilizers used in the cultivation;</p> <p>g. The number of plants grown to maturity;</p>		
30	<p>h. Harvest information including:</p> <p>i. Date of harvest,</p> <p>ii. Final processed usable marijuana yield weight, and</p> <p>iii. Name and registry identification number of the dispensary agent responsible for the harvest</p>		
31	<p>i. The disposal of medical marijuana that is not usable marijuana including the:</p> <p>i. Description of and reason for the marijuana being disposed of including, if applicable, the number of failed or other unusable plants;</p> <p>ii. Date of disposal;</p> <p>iii. Method of disposal; and</p> <p>iv. Name and registry identification number of the dispensary agent responsible for the disposal;</p>		
32	<p>5. For providing medical marijuana to another dispensary:</p> <p>a. The amount, strain, and batch number of medical marijuana provided;</p> <p>b. The name and registry identification number of the other dispensary;</p> <p>c. The name and registry identification number of the dispensary agent who received the medical marijuana on behalf of the other dispensary; and</p> <p>d. The date the medical marijuana was provided;</p>		

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33	<p>6. For receiving edible food products infused with medical marijuana from another dispensary:</p> <p>a. A description of the edible food products received from the dispensary including total weight of each edible food product and estimated amount and batch number of the medical marijuana infused in each edible food product,</p> <p>b. Total estimated amount and batch number of medical marijuana infused in the edible food products,</p> <p>c. The name and registry identification numbers of the Dispensary and the dispensary agent providing, and Dispensary agent receiving the edible food products on behalf of the receiving dispensary</p> <p>d. The date the edible food products were provided to the dispensary.</p>		

R9-17-317. Product Labeling

34	<p>A. A dispensary shall ensure that medical marijuana provided by the dispensary to a qualifying patient or a designated caregiver is labeled with:</p> <ol style="list-style-type: none"> 1. The dispensary’s registry identification number; 2. The amount, strain, and batch number of medical marijuana; 3. The following statement: “ARIZONA DEPARTMENT OF HEALTH SERVICES’ WARNING: Marijuana use can be addictive and can impair an individual’s ability to drive a motor vehicle or operate heavy machinery. Marijuana smoke contains carcinogens and can lead to an increased risk for cancer, tachycardia, hypertension, heart attack, and lung infection. KEEP OUT OF REACH OF CHILDREN”; 4. If not cultivated by the dispensary, whether the medical marijuana was obtained from a qualifying patient, a designated caregiver, or another dispensary; 5. The date of manufacture, harvest, or sale; 6. A list of all chemical additives, including nonorganic pesticides, herbicides, and fertilizers, used in the cultivation and production of the medical marijuana; and 7. The registry identification number of the qualifying patient. 		
35	<p>B. If a dispensary provides medical marijuana cultivated by the dispensary to another dispensary, the dispensary shall ensure that the medical marijuana is labeled with:</p> <ol style="list-style-type: none"> 1. The dispensary’s registry identification number; 2. The amount, strain, and batch number of the medical marijuana; 3. The date of harvest or sale; and 4. A list of all chemical additives, including nonorganic pesticides, herbicides, and fertilizers, used in the cultivation of the medical marijuana. 		
36	<p>C. If medical marijuana is provided as part of an edible food product, a dispensary shall, in addition to the information in subsection (A), include on the label the total weight of the edible food product.</p>		

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R9-17-318. Security			
37	A. Except as provided in R9-17-310(A)(7), a dispensary shall ensure that access to the enclosed, locked facility where marijuana is cultivated is limited to the dispensary's principal officers, board members, and authorized dispensary agents .		
38	B. A dispensary agent may transport marijuana, marijuana plants, and marijuana paraphernalia between the dispensary and: 1. The dispensary's cultivation site, 2. A qualifying patient, and 3. Another dispensary.		
39	C. Before transportation, a dispensary agent shall complete a trip plan that includes: a. The name of the dispensary agent in charge of transporting the marijuana; b. The date and start time of the trip; c. A description of the marijuana, marijuana plants, or marijuana paraphernalia being transported; and d. The anticipated route of transportation;		
40	D. During transportation , a dispensary agent shall: 1. Carry a copy of the trip plan in subsection (C)(1) with the dispensary agent for the duration of the trip; 2. Use a vehicle without any medical marijuana identification; 3. Have a means of communication with the dispensary; and 4. Ensure that the marijuana, marijuana plants, or marijuana paraphernalia are not visible.		
41	E. After transportation , a dispensary agent shall enter the end time of the trip and any changes to the trip plan on the trip plan required in subsection (C)(1).		
42	G. To prevent unauthorized access to medical marijuana at the dispensary and, if applicable, the dispensary's cultivation site, the dispensary shall have the following: 1. Security equipment to deter and prevent unauthorized entrance into limited access areas that include: a. Devices or a series of devices to detect unauthorized intrusion, which may include a signal system interconnected with a radio frequency method, such as cellular, private radio signals, or other mechanical or electronic device; b. Exterior lighting to facilitate surveillance; c. Electronic monitoring including: i. At least one 19-inch or greater call-up monitor, ii. A video printer capable of immediately producing a clear still photo from any video camera image,		

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	iii. Video cameras: (1) Providing coverage of all entrances to and exits from limited access areas and all entrances to and exits from the building, capable of identifying any activity occurring in or adjacent to the building; and (2) Having a recording resolution of at least 704 x 480 or the equivalent; iv. A video camera at each point of sale location allowing for the identification of any qualifying patient or designated caregiver purchasing medical marijuana, v. A video camera in each grow room capable of identifying any activity occurring within the grow room in low light conditions, vi. Storage of video recordings from the video cameras for at least 30 calendar days, vii. A failure notification system that provides an audible and visual notification of any failure in the electronic monitoring system, and viii. Sufficient battery backup for video cameras and recording equipment to support at least five minutes of recording in the event of a power outage;		
43	d. Panic buttons in the interior of each building;		
44	2. Policies and procedures: a. That restrict access to the areas of the dispensary that contain marijuana and if applicable, the dispensary’s cultivation site to authorized individuals only; b. That provide for the identification of authorized individuals; c. That prevent loitering; d. For conducting electronic monitoring; and e. For the use of a panic button.		
R9-17-319. Edible Food Products			
45	A. A dispensary that prepares, sells, or dispenses marijuana-infused edible food products shall: 1. Before preparing, selling, or dispensing marijuana-infused edible food product obtain written authorization from the Department to prepare, sell, or dispense marijuana-infused edible food products; 2. If the dispensary prepares the marijuana-infused edible food products, ensure that the marijuana-infused edible food products are prepared according to the applicable requirements in 9 A.A.C. 8, Article 1; 3. If the marijuana-infused edible food products are not prepared at the dispensary, obtain and maintain at the dispensary a copy of the current written authorization to prepare marijuana-infused edible food products from the dispensary that prepares the marijuana-infused edible products;		

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R9-17-320. Cleaning and Sanitation			
46	<p>A. A dispensary shall ensure that any building or equipment used by a dispensary for the cultivation, harvest, preparation, packaging, storage, infusion, or sale of medical marijuana is maintained in a clean and sanitary condition.</p> <p>1. Medical marijuana in the process of production, preparation, manufacture, packing, storage, sale, distribution, or transportation is protected from flies, dust, dirt, and all other contamination.</p> <p>2. Refuse or waste products incident to the manufacture, preparation, packing, selling, distributing, or transportation of medical marijuana are removed from the building used as a dispensary and, if applicable, a building at the dispensary's cultivation site at least once every 24 hours or more often as necessary to maintain a clean condition.</p> <p>3. All trucks, trays, buckets, other receptacles, platforms, racks, tables, shelves, knives, saws, cleavers, other utensils, or the machinery used in moving, handling, cutting, chopping, mixing, canning, packaging, or other processes are cleaned daily.</p> <p>4. All stored edible food products are securely covered.</p>		
B. A dispensary shall ensure that a dispensary agent at the dispensary or the dispensary's cultivation site:			
47	<p>1. Cleans the dispensary agent's hands and exposed portions of the dispensary agent's arms in a hand washing sink:</p> <p>a. Before preparing medical marijuana including working with food, equipment, and utensils;</p> <p>b. During preparation, as often as necessary to remove soil and contamination and to prevent cross-contamination when changing tasks;</p> <p>c. After handling soiled equipment or utensils;</p> <p>d. After touching bare human body parts other than the dispensary agent's clean hands and exposed portions of arms; and</p> <p>e. After using the toilet room;</p>		
R9-17-321. Physical Plant			
48	B. A dispensary shall provide onsite parking or parking adjacent to the building used as the dispensary.		
C. A building used as a dispensary or the location used as a dispensary's cultivation site shall have:			
49	1. At least one toilet room;		

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	2. Each toilet room shall contain: <ul style="list-style-type: none"> a. A flushable toilet; b. Mounted toilet tissue; c. A sink with running water; d. Soap contained in a dispenser; and e. Disposable, single-use paper towels in a mounted dispenser or a mechanical air hand dryer; 3. At least one hand washing sink not located in a toilet room;		
50	4. Designated storage areas for medical marijuana or materials used in direct contact with medical marijuana separate from storage areas for toxic or flammable materials; and		
51	5. If preparation or packaging of medical marijuana is done in the building, a designated area for the preparation or packaging that: <ul style="list-style-type: none"> a. Includes work space that can be sanitized, and b. Is only used for the preparation or packaging of medical marijuana. 		
52	D. For each commercial device used at a dispensary or the dispensary's cultivation site, the dispensary shall: <ul style="list-style-type: none"> 1. Ensure that the commercial device is licensed or certified pursuant to A.R.S. § 41-2091, 2. Maintain documentation of the commercial device's license or certification 		
OTHER:			
Other documentation requested:			
COMMENTS:			

An exit interview was conducted

Deficiencies were discussed with the facility representative

The Department reserves the right to amend findings based on program review

The Plan of Correction is due within 20 days of receipt of the Statement of Deficiencies

Licensing Surveyor (s)

Date

Facility Representative

Date