

Top ten deficiencies for Assisted Living Homes and Centers
August 2015 through July 2016

1. Medication Services: R9-10-816.B.3.b.

B. If an assisted living facility provides medication administration, a manager shall ensure that:

3. A medication administered to a resident:

b. Is administered in compliance with a medication order, and

2. Emergency and Safety Standards: R9-10-818.A.4.

A. A manager shall ensure that:

4. A disaster drill for employees is conducted on each shift at least once every three months and documented;

3. Residency and Residency Agreements R9-10-807.B.1.a-b.

B. A manager shall ensure that before or at the time of acceptance of an individual, the individual submits documentation that is dated within 90 calendar days before the individual is accepted by an assisted living facility and:

1. If an individual is requesting or is expected to receive supervisory care services, personal care services, or directed care services:

b. Is dated and signed by a:

i. Physician,

ii. Registered nurse practitioner,

iii. Registered nurse, or

iv. Physician assistant; and

4. Environmental Standards: R9-10-819.A.11.

A. A manager shall ensure that:

11. Poisonous or toxic materials stored by the assisted living facility are maintained in labeled containers in a locked area separate from food preparation and storage, dining areas, and medications and are inaccessible to residents;

5. Medical Services: R9-10-816.F.1.

F. When medication is stored by an assisted living facility, a manager shall ensure that:

1. Medication is stored in a separate locked room, closet, cabinet, or self-contained unit used only for medication storage;

6. Residency and Residency Agreements: R9-10-807.D.5.

D. Before or at the time of an individual's acceptance by an assisted living facility, a manager shall ensure that there is a documented residency agreement with the assisted living facility that includes:

5. For an assisted living home, whether the manager or a caregiver is awake during nighttime hours;

7. Medical Records: R9-10-811.C.17.

C. A manager shall ensure that a resident's medical record contains:

17. Documentation of notification of the resident of the availability of vaccination for influenza and pneumonia, according to A.R.S. § 36-406(1)(d);

8. Emergency and Safety Standards: R9-10-818.F.4.a.i-iv.

F. A manager of an assisted living home shall ensure that:

4. Except as provided in subsection (G):

a. A smoke detector is:

i. Installed in each bedroom, hallway that adjoins a bedroom, storage room, laundry room, attached garage, and room or hallway adjacent to the kitchen, and other places recommended by the manufacturer;

ii. Either battery operated or, if hard-wired into the electrical system of the assisted living home, has a back-up battery;

iii. In working order; and

iv. Tested at least once a month; and

9. Emergency and Safety Standards: R9-10-818.A.2.

A. A manager shall ensure that:

2. The disaster plan required in subsection (A)(1) is reviewed at least once every 12 months;

10. Service Plans: R9-10-808.A.5.a

A. Except as required in subsection (B), a manager shall ensure that a resident has a written service plan that:

5. When initially developed and when updated, is signed and dated by:

a. The resident or resident's representative;