TITLE 9. HEALTH SERVICES CHAPTER 25. DEPARTMENT OF HEALTH SERVICES EMERGENCY MEDICAL SERVICES

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ARTICLE 1. DEFINITIONS

R9-25-101. Definitions (Authorized by A.R.S. §§ 36-2201, 36-2202, 36-2204, and 36-2205)

In addition to the definitions in A.R.S. § 36-2201, the following definitions apply in this Chapter, unless otherwise specified:

- 1. "Administer" or "administration" means to directly apply or the direct application of an agent to the body of a patient by injection, inhalation, ingestion, or any other means and includes adjusting the administration rate of an agent.
- 2. "AEMT" has the same meaning as "advanced emergency medical technician" in A.R.S. § 36-2201.
- 3. "Agent" means a chemical or biological substance that is administered to a patient to treat or prevent a medical condition.
- 4. "ALS" has the same meaning as "advanced life support" in A.R.S. § 36-2201.
- 5. "ALS base hospital" has the same meaning as "advanced life support base hospital" in A.R.S. § 36-2201.
- 6. "Applicant" means a person requesting certification, licensure, approval, or designation from the Department under this Chapter.
- 7. "Chain of custody" means the transfer of physical control of and accountability for an item from one individual to another individual, documented to indicate the:
 - a. Date and time of the transfer,
 - b. Integrity of the item transferred, and
 - c. Signatures of the individual relinquishing and the individual accepting physical control of and accountability for the item.
- 8. "Chief administrative officer" means:
 - a. For a hospital, the same as in A.A.C. R9-10-101; and
 - b. For a training program, an individual assigned to act on behalf of the training program by the body organized to govern and manage the training program.
- 9. "Clinical training" means experience and instruction in providing direct patient care in a health care institution.
- 10. "Controlled substance" has the same meaning as in A.R.S. § 32-1901.
- 11. "Course" means didactic instruction and, if applicable, hands-on practical skills training, clinical training, or field training provided by a training program to prepare an individual to become or remain an EMCT.

- 12. "Course session" means an offering of a course, during a period of time designated by a training program certificate holder, for a specific group of students.
- 13. "Current" means up-to-date and extending to the present time.
- 14. "Day" means a calendar day.
- 15. "Document" or "documentation" means signed and dated information in written, photographic, electronic, or other permanent form.
- 16. "Drug" has the same meaning as in A.R.S. § 32-1901.
- 17. "Electronic signature" has the same meaning as in A.R.S. § 44-7002.
- 18. "EMCT" has the same meaning as "emergency medical care technician" in A.R.S. § 36-2201.
- 19. "EMT" has the same meaning as "emergency medical technician" in A.R.S. § 36-2201.
- 20. "EMT-I(99)" means an individual, other than a Paramedic, who:
 - a. Was certified as an EMCT by the Department before January 28, 2013 to perform ALS, and
 - b. Has continuously maintained the certification.
- 21. "EMS" has the same meaning as "emergency medical services" subsections (17)(a) through (d) in A.R.S. § 36-2201.
- 22. "Field training" means emergency medical services experience and training outside of a health care institution or a training program facility.
- 23. "General hospital" has the same meaning as in A.A.C. R9-10-101.
- 24. "Health care institution" has the same meaning as in A.R.S. § 36-401.
- 25. "Hospital" has the same meaning as in A.A.C. R9-10-101.
- 26. "In use" means in the immediate physical possession of an EMCT and readily accessible for potential imminent administration to a patient.
- 27. "Infusion pump" means a device approved by the U.S. Food and Drug Administration that, when operated mechanically, electrically, or osmotically, releases a measured amount of an agent into a patient's circulatory system in a specific period of time.
- 28. "Interfacility transport" means an ambulance transport of a patient from one health care institution to another health care institution.
- 29. "IV" means intravenous.
- 30. "Locked" means secured with a key, including a magnetic, electronic, or remote key, or combination so that opening is not possible except by using the key or entering the combination.

- 31. "Medical direction" means administrative medical direction or on-line medical direction.
- 32. "Medical record" has the same meaning as in A.R.S. § 36-2201.
- 33. "Minor" means an individual younger than 18 years of age who is not emancipated.
- 34. "Monitor" means to observe the administration rate of an agent and the patient's response to the agent and may include discontinuing administration of the agent.
- 35. "On-line medical direction" means emergency medical services guidance or information provided to an EMCT by a physician through two-way voice communication.
- 36. "Patient" means an individual who is sick, injured, or wounded and who requires medical monitoring, medical treatment, or transport.
- 37. "Pediatric" means pertaining to a child.
- 38. "Person" has the same meaning as in A.R.S. § 1-215 and includes governmental agencies.
- 39. "Physician assistant" has the same meaning as in A.R.S. § 32-2501.
- 40. "Practical nurse" has the same meaning as in A.R.S. § 32-1601.
- 41. "Practicing emergency medicine" means acting as an emergency medicine physician in a hospital emergency department.
- 42. "Prehospital incident history report" has the same meaning as in A.R.S. § 36-2220.
- 43. "Refresher challenge examination" means a test given to an individual to assess the individual's knowledge, skills, and competencies compared with the national education standards established for the applicable EMCT classification level.
- 44. "Refresher course" means a course intended to reinforce and update the knowledge, skills, and competencies of an individual who has previously met the national educational standards for a specific level of EMS personnel.
- 45. "Registered nurse" has the same meaning as in A.R.S. § 32-1601.
- 46. "Registered nurse practitioner" has the same meaning as in A.R.S. § 32-1601.
- 47. "Scene" means the location of the patient to be transported or the closest point to the patient at which an ambulance can arrive.
- 48. "Special hospital" has the same meaning as in A.A.C. R9-10-101.
- 49. "STR skill" means "Specialty Training Requirement skill," a medical treatment, procedure, or technique or administration of a medication for which an EMCT needs specific training beyond the training required in 9 A.A.C. 25, Article 4 in order to perform or administer.
- 50. "Transfer of care" means to relinquish to the control of another person the ongoing medical treatment of a patient.
- 51. "Transport agent" means an agent that an EMCT at a specified level of certification is

authorized to administer only during interfacility transport of a patient for whom the agent's administration was started at the sending health care institution.

R9-25-102. Individuals to Act for a Person Regulated Under This Chapter (Authorized by A.R.S. § 36-2202)

When a person regulated under this Chapter is required by this Chapter to provide information on or sign an application form or other document, the following individual shall satisfy the requirement on behalf of the person regulated under this Chapter:

- 1. If the person regulated under this Chapter is an individual, the individual; or
- 2. If the person regulated under this Chapter is a business organization, political subdivision, government agency, or tribal government, the individual who the business organization, political subdivision, government agency, or tribal government has designated to act on behalf of the business organization, political subdivision, government agency, or tribal government and who:
 - a. Is a U.S. citizen or legal resident, and
 - b. Has an Arizona address.

ARTICLE 2. MEDICAL DIRECTION; ALS BASE HOSPITAL CERTIFICATION

R9-25-201. Administrative Medical Direction (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), 36-2204(5), (6), and (7), 36-2204.01, and 36-2205(A) and (D))

- **A.** An emergency medical services provider or ambulance service shall:
 - 1. Except as specified in subsection (B) or (C), designate a physician as administrative medical director who meets one of the following:
 - a. Has emergency medicine certification issued by a member board of the American
 Board of Medical Specialties;
 - b. Has emergency medical services certification issued by the American Board of Emergency Medicine;
 - Has completed an emergency medicine residency training program accredited by the Accreditation Council for Graduate Medical Education or approved by the American Osteopathic Association; or
 - d. Is an emergency medicine physician in an emergency department located in Arizona and has current certification in:
 - i. Advanced emergency cardiac life support that includes didactic instruction

and a practical skills test, consistent with training recognized by the American Heart Association, in:

- (1) Airway management during respiratory arrest;
- (2) Recognition of tachycardia, bradycardia, pulseless ventricular tachycardia, ventricular fibrillation, pulseless electrical activity, and asystole;
- (3) Pharmacologic, mechanical, and electrical arrhythmia interventions; and
- (4) Immediate post-cardiac arrest care;
- ii. Advanced trauma life support recognized by the American College of Surgeons; and
- iii. Pediatric advanced life support that includes didactic instruction and a practical skills test, consistent with training recognized by the American Heart Association, in:
 - (1) Pediatric rhythm interpretation;
 - (2) Oral, tracheal, and nasal airway management;
 - (3) Peripheral and central intravenous lines;
 - (4) Intraosseous infusion;
 - (5) Needle thoracostomy; and
 - (6) Pharmacologic, mechanical, and electrical arrhythmia interventions;
- 2. If the emergency medical services provider or ambulance service designates a physician as administrative director according to subsection (A)(1), notify the Department in writing:
 - a. Of the identity and qualifications of the designated physician within 10 days after designating the physician as administrative medical director; and
 - b. Within 10 days after learning that a physician designated as administrative director is no longer qualified to be an administrative director; and
- 3. Maintain for Department review:
 - a. A copy of the policies, procedures, protocols, and documentation required in subsection (E); and
 - b. Either:
 - The name, e-mail address, telephone number, and qualifications of the physician providing administrative medical direction on behalf of the emergency medical services provider or ambulance service; or

- ii. If the emergency medical services provider or ambulance service provides administrative medical direction through an ALS base hospital or a centralized medical direction communications center, a copy of a written agreement with the ALS base hospital or centralized medical direction communications center documenting that the administrative medical director is qualified under subsection (A)(1).
- **B.** Except as provided in R9-25-502(A)(3), if an emergency medical services provider or ambulance service provides only BLS, the emergency medical services provider or ambulance service is not required to have an administrative medical director.
- C. If an emergency medical services provider or ambulance service provides administrative medical direction through an ALS base hospital or a centralized medical direction communications center, the emergency medical services provider or ambulance service shall ensure that the ALS base hospital or centralized medical direction communications center designates a physician as administrative medical director who meets one of the requirements in subsections (A)(1)(a) through (d).
- **D.** An emergency medical services provider or ambulance service may provide administrative medical direction through an ALS base hospital that is a special hospital, if the emergency medical services provider or ambulance service:
 - 1. Uses the ALS base hospital that is a special hospital for administrative medical direction only for patients who are children, and
 - 2. Has a written agreement with an ALS base hospital that meets the requirements in R9-25-203(B)(1) or a centralized medical direction communications center for the provision of administrative medical direction.
- **E.** An emergency medical services provider or an ambulance service shall ensure that:
 - 1. An EMCT receives administrative medical direction as required by A.R.S. Title 36, Chapter 21.1 and this Chapter;
 - 2. Protocols are established, documented, and implemented by an administrative medical director, consistent with A.R.S. Title 36, Chapter 21.1 and this Chapter, that include:
 - a. A communication protocol for:
 - i. How an EMCT requests and receives on-line medical direction,
 - ii. When and how an EMCT notifies a health care institution of the EMCT's intent to transport a patient to the health care institution, and
 - iii. What procedures an EMCT follows in the event of a communications

equipment failure;

- b. A triage protocol for:
 - i. How an EMCT assesses and prioritizes the medical condition of a patient,
 - ii. How an EMCT selects a health care institution to which a patient may be transported,
 - iii. How a patient is transported to the health care institution, and
 - iv. When on-line medical direction is required;
- c. A treatment protocol for:
 - i. How an EMCT performs a medical treatment on a patient or administers an agent to a patient, and
 - ii. When on-line medical direction is required while an EMCT is providing treatment; and
- d. A protocol for the transfer of information to the emergency receiving facility, including:
 - The information required to be communicated to emergency receiving facility staff upon transfer of care, including the condition of the patient, the treatment provided to the patient, and the patient's response to the treatment;
 - ii. The information required to be documented on a prehospital incident history report; and
 - iii. The time-frame, which is associated with the transfer of care, for completion of a prehospital incident history report;
- 3. Policies and procedures are established, documented, and implemented by an administrative medical director, consistent with A.R.S. Title 36, Chapter 21.1 and this Chapter, that:
 - a. Are consistent with an EMCT's scope of practice, as specified in Table 5.1;
 - b. Cover:
 - i. Medical recordkeeping;
 - ii. Medical reporting;
 - iii. Processing of prehospital incident history reports;
 - iv. Obtaining, storing, transferring, and disposing of agents to which an EMCT has access including methods to:
 - (1) Identify individuals authorized by the administrative medical director to have access to agents,
 - (2) Maintain chain of custody for controlled substances, and

- (3) Minimize potential degradation of agents due to temperature extremes;
- v. Administration, monitoring, or assisting in patient self-administration of an agent;
- vi. Monitoring and evaluating an EMCT's compliance with treatment protocols, triage protocols, and communications protocols specified in subsection (E)(2);
- vii. Monitoring and evaluating an EMCT's compliance with medical recordkeeping, medical reporting, and prehospital incident history report requirements;
- viii. Monitoring and evaluating an EMCT's compliance with policies and procedures for agents to which the EMCT has access;
- ix. Monitoring and evaluating an EMCT's competency in performing skills authorized for the EMCT by the EMCT's administrative medical director and within the EMCT's scope of practice, as specified in Table 5.1;
- x. Ongoing education, training, or remediation necessary to maintain or enhance an EMCT's competency in performing skills within the EMCT's scope of practice, as specified in Table 5.1;
- xi. The process by which administrative medical direction is withdrawn from an EMCT; and
- xii. The process for reinstating an EMCT's administrative medical direction; and
- c. Include a quality assurance process to evaluate the effectiveness of the administrative medical direction provided to EMCTs;
- 4. Protocols in subsection (E)(2) and policies and procedures in subsection (E)(3) are reviewed annually by the administrative medical director and updated as necessary;
- 5. Requirements in A.R.S. Title 36, Chapter 21.1 and this Chapter are reviewed annually by the administrative medical director; and
- 6. The Department is notified in writing no later than ten days after the date:
 - a. Administrative medical direction is withdrawn from an EMCT; or
 - b. An EMCT's administrative medical direction is reinstated.
- **F.** An administrative medical director for an emergency medical services provider or ambulance service shall ensure that:

- 1. An EMCT for whom the administrative medical director provides administrative medical direction:
 - a. Has access to at least the minimum supply of agents required for the highest level of service to be provided by the EMCT;
 - Administers, monitors, or assists in patient self-administration of an agent according to the requirements in policies and procedures; and
 - c. Has access to a copy of the policies and procedures required in subsection (F)(2) while on duty for the emergency medical services provider or ambulance service;
- 2. Policies and procedures for agents to which an EMCT has access:
 - a. Specify that an agent is obtained only from a person:
 - i. Authorized by law to prescribe the agent, or
 - ii. Licensed under A.R.S. Title 36, Chapter 27; A.R.S. Title 32, Chapter 18; and 4 A.A.C. 23 to dispense or distribute the agent;
 - Cover chain of custody and transfer procedures for each supply of agents, requiring an EMCT for whom the administrative medical director provides administrative medical direction to:
 - Document the name and the EMCT certification number or employee identification number of each individual who takes physical control of the supply of agents;
 - ii. Document the time and date that each individual takes physical control of the supply of agents;
 - iii. Inspect the supply of agents for expired agents, deteriorated agents, damaged or altered agent containers or labels, and depleted, visibly adulterated, or missing agents upon taking physical control of the supply of agents;
 - iv. Document any of the conditions in subsection (F)(2)(b)(iii);
 - v. Notify the administrative medical director of a depleted, visibly adulterated, or missing controlled substance;
 - vi. Obtain a replacement for each affected agent in subsection (F)(2)(b)(iii) for which the minimum supply is not present; and
 - vii. Record each administration of an agent on a prehospital incident history report;
 - c. Cover mechanisms for controlling inventory of agents and preventing diversion of

- controlled substances; and
- d. Include that an agent is kept inaccessible to all individuals who are not authorized access to the agent by policies and procedures required under subsection
 (E)(3)(b)(iv)(1) and, when not being administered, is:
 - i. Secured in a dry, clean, washable receptacle;
 - ii. While on a motor vehicle or aircraft, secured in a manner that restricts movement of the agent and the receptacle specified in subsection (F)(2)(d)(i); and
 - iii. If a controlled substance, in the receptacle specified in subsection(F)(2)(d)(i) and locked in an ambulance in a hard-shelled container that is difficult to breach without the use of a power cutting tool;
- 3. The Department is notified in writing within 10 days after the administrative medical director receives notice, as required subsection (F)(2)(b)(v), that any quantity of a controlled substance is depleted, visibly adulterated, or missing; and
- 4. Except when the emergency medical services provider or ambulance service obtains all agents from an ALS base hospital pharmacy, which retains ownership of the agents, agents to which an EMCT has access are obtained, stored, transferred, and disposed of according to policies and procedures; A.R.S. Title 36, Chapter 27; A.R.S. Title 32, Chapter 18; 4 A.A.C. 23; and requirements of the U.S. Drug Enforcement Administration.
- **G.** An administrative medical director may delegate responsibilities to an individual as necessary to fulfill the requirements in this Section, if the individual is:
 - 1. Another physician,
 - 2. A physician assistant,
 - 3. A registered nurse practitioner,
 - 4. A registered nurse,
 - 5. A Paramedic, or
 - 6. An EMT-I(99).
- R9-25-202. On-line Medical Direction (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), 36-2204(5), (6), and (7), 36-2204.01, and 36-2205(A) and (D))
- **A.** An emergency medical services provider or ambulance service shall:
 - 1. Ensure that a physician provides on-line medical direction to EMCTs on behalf of the emergency medical services provider or ambulance service only if the physician meets one of the following:

- a. Has emergency medicine certification issued by a member board of the American
 Board of Medical Specialties;
- b. Has emergency medical services certification issued by the American Board of Emergency Medicine;
- Has completed an emergency medicine residency training program accredited by the Accreditation Council for Graduate Medical Education or approved by the American Osteopathic Association; or
- d. Is an emergency medicine physician in an emergency department located in Arizona and has current certification that meets the requirements in R9-25-201(A)(1)(d)(i) through (iii);
- 2. For each physician providing on-line medical direction on behalf of the emergency medical services provider or ambulance service, maintain for Department review either:
 - a. The name, e-mail address, telephone number, and qualifications of the physician providing on-line medical direction on behalf of the emergency medical services provider or ambulance service; or
 - b. If the emergency medical services provider or ambulance service provides on-line medical direction through an ALS base hospital or a centralized medical direction communications center, a copy of a written agreement with the ALS base hospital or centralized medical direction communications center documenting that the physician providing on-line medical direction is qualified under subsection (A)(1);
- 3. Ensure that the on-line medical direction provided to an EMCT on behalf of the emergency medical services provider or ambulance service is consistent with:
 - a. The EMCT's scope of practice, as specified in Table 5.1; and
 - b. Communication protocols, triage protocols, treatment protocols, and protocols for prehospital incident history reports, specified in R9-25-201(E)(2); and
- 4. Ensures that a physician providing on-line medical direction on behalf of the emergency medical services provider or ambulance service relays on-line medical direction only through one of the following individuals, under the supervision of the physician and consistent with the individual's scope of practice:
 - a. Another physician,
 - b. A physician assistant,
 - c. A registered nurse practitioner,
 - d. A registered nurse,

- e. A Paramedic, or
- f. An EMT-I(99).
- **B.** An emergency medical services provider or ambulance service may provide on-line medical direction through an ALS base hospital that is a special hospital, if the emergency medical services provider or ambulance service:
 - 1. Uses the ALS base hospital that is a special hospital for on-line medical direction only for patients who are children, and
 - 2. Has a written agreement with an ALS base hospital that meets the requirements in R9-25-203(B)(1) or a centralized medical direction communications center for the provision of online medical direction.
- C. An emergency medical services provider or ambulance service shall ensure that the emergency medical services provider or ambulance service, or an ALS base hospital or a centralized medical direction communications center providing on-line medical direction on behalf of the emergency medical services provider or ambulance service, has:
 - 1. Operational and accessible communication equipment that will allow on-line medical direction to be given to an EMCT;
 - 2. A written plan for alternative communications with an EMCT in the event of a disaster, communication equipment breakdown or repair, power outage, or malfunction; and
 - 3. A physician qualified under subsection (A)(1) available to give on-line medical direction to an EMCT 24 hours a day, seven days a week.

R9-25-203. ALS Base Hospital General Requirements (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), and 36-2204(5), (6), and (7))

- **A.** A person shall not operate as an ALS base hospital without certification from the Department.
- **B.** The Department shall certify an ALS base hospital if the applicant:
 - 1. Is:
 - a. Licensed as a general hospital under 9 A.A.C. 10, Article 2; or
 - b. A facility operated as a hospital in this state by the United States federal government or by a sovereign tribal nation;
 - 2. Maintains at least one current written agreement described in A.R.S. § 36-2201(4);
 - 3. Has not been decertified as an ALS base hospital by the Department within five years before submitting the application;
 - 4. Submits an application that is complete and compliant with the requirements in this Article; and

- 5. Has not knowingly provided false information on or with an application required by this Article.
- C. The Department may certify as an ALS base hospital a special hospital, which is licensed under 9 A.A.C. 10, Article 2 and provides surgical services and emergency services only to children, if the applicant:
 - 1. Meets the requirements in subsection (B)(2) through (5), and
 - Provides administrative medical direction or on-line medical direction only for patients who
 are children.
- **D.** An ALS base hospital certificate is valid only for the name and address listed by the Department on the certificate.
- **E.** At least every 24 months after certification, the Department shall inspect, according to A.R.S. § 41-1009, an ALS base hospital to determine ongoing compliance with the requirements of this Article.
- **F.** The Department may inspect an ALS base hospital according to A.R.S. § 41-1009:
 - 1. As part of the substantive review time-frame required in A.R.S. §§ 41-1072 through 41-1079; or
 - 2. As necessary to determine compliance with the requirements of this Article.

R9-25-204. Application Requirements for ALS Base Hospital Certification (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), and 36-2204(5))

- **A.** An applicant for ALS base hospital certification shall submit to the Department an application, in a Department-provided format, including:
 - 1. A form containing:
 - a. The applicant's name, address, and telephone number;
 - b. The name, email address, and telephone number of the applicant's chief administrative officer;
 - c. The name, email address, and telephone number of the applicant's chief administrative officer's designee if the chief administrative officer will not be the liaison between the ALS base hospital and the Department;
 - d. Whether the applicant is applying for certification of a:
 - i. General hospital licensed under 9 A.A.C. 10, Article 2;
 - ii. Special hospital licensed under 9 A.A.C. 10, Article 2, that provides surgical services and emergency services only to children; or
 - iii. Facility operating as a federal or tribal hospital;
 - e. The name of each emergency medical services provider or ambulance service for

- which the applicant has a current written agreement described in A.R.S. § 36-2201(4);
- f. The name, address, email address, and telephone number of each administrative medical director;
- g. The name of each physician providing on-line medical direction;
- h. Attestation that the applicant meets the requirements in R9-25-202(C);
- i. Attestation that the applicant will comply with all requirements in A.R.S. Title 36, Chapter 21.1 and this Chapter;
- j. Attestation that all information required as part of the application has been submitted and is true and accurate; and
- k. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature;
- 2. A copy of the applicant's current hospital license issued under 9 A.A.C. 10, Article 2, if applicable; and
- 3. A copy of each executed written agreement described in A.R.S. § 36-2201(4), including all attachments and exhibits.
- **B.** The Department shall approve or deny an application under this Section according to Article 12 of this Chapter.

R9-25-205. Changes Affecting an ALS Base Hospital Certificate (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), and 36-2204(5) and (6))

- A. No later than 10 days after the date of a change in the name listed on the ALS base hospital certificate, an ALS base hospital certificate holder shall notify the Department of the change, in a Department-provided format, including:
 - 1. The current name of the ALS base hospital:
 - 2. The ALS base hospital's certificate number;
 - 3. The new name and the effective date of the name change;
 - 4. Documentation supporting the name change;
 - 5. Documentation of compliance with the requirements in A.A.C. R9-10-109(A), if applicable;
 - 6. Attestation that all information submitted to the Department is true and correct; and
 - 7. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.

- **B.** No later than 10 days after the date of a change in the address listed on an ALS base hospital certificate or a change in ownership, as defined in A.A.C. R9-10-101, an ALS base hospital certificate holder shall submit to the Department an application required in R9-25-204(A).
- R9-25-206. ALS Base Hospital Authority and Responsibilities (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), 36-2204(5) and (6), 36-2208(A), and 36-2209(A)(2))
- **A.** An ALS base hospital certificate holder shall:
 - 1. Have the capability of providing both administrative medical direction and on-line medical direction;
 - 2. Provide administrative medical direction and on-line medical direction to an EMCT according to:
 - a. A written agreement described in A.R.S. § 36-2201(4);
 - b. Except as provided in subsection (D), the requirements in R9-25-201 for administrative medical direction; and
 - c. The requirements in R9-25-202 for on-line medical direction; and
 - 3. Ensure that personnel are available to provide administrative medical direction and on-line medical direction.
- **B.** No later than 10 days after the date of a change in an administrative medical director listed on the ALS base hospital's application, as required in R9-25-204(A)(1)(f), an ALS base hospital certificate holder shall notify the Department of the change, in a Department-provided format, including:
 - 1. The name of the ALS base hospital,
 - 2. The ALS base hospital's certificate number,
 - 3. The name of the new administrative medical director and the effective date of the change,
 - 4. Attestation that the new administrative medical director meets the requirements in R9-25-201(A)(1),
 - 5. Attestation that all information submitted to the Department is true and correct, and
 - 6. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.
- **C.** An ALS base hospital certificate holder shall:
 - 1. Notify the Department in writing no later than 24 hours after ceasing to meet the requirement in:
 - a. R9-25-203(B)(1) or (2); or
 - b. For a special hospital, R9-25-203(B)(2) or (C); and

- 2. No later than 48 hours after terminating, adding, or amending a written agreement required in R9-25-203(B)(2), notify the Department in writing and, if applicable, submit to the Department a copy of the new or amended written agreement described in A.R.S. § 36-2201(4).
- **D.** An ALS base hospital may act as a training program without training program certification from the Department, if the ALS base hospital:
 - 1. Is eligible for training program certification as provided in R9-25-301(C); and
 - 2. Complies with the requirements in R9-25-301(D), R9-25-302, R9-25-303(B), (C), and (F), and R9-25-304 through R9-25-306.
- **E.** If an ALS base hospital's pharmacy provides all of the agents for an emergency medical services provider or ambulance service, and the ALS base hospital owns the agents provided, the ALS base hospital's certificate holder shall ensure that:
 - 1. Except as stated in subsections (E)(2) and (3), the policies and procedures for agents to which an EMCT has access that are established by the administrative medical director for the emergency medical services provider or ambulance service comply with requirements in R9-25-201(F)(2);
 - The emergency medical services provider or ambulance service requires an EMCT for the emergency medical services provider or ambulance service to notify the pharmacist in charge of the hospital pharmacy of a missing, visibly adulterated, or depleted controlled substance; and
 - 3. The pharmacist in charge of the hospital pharmacy notifies the Department, as specified in R9-25-201(F)(3), of a missing, visibly adulterated, or depleted controlled substance.

R9-25-207. ALS Base Hospital Enforcement Actions (Authorized by A.R.S. §§ 36-2201, 36-2202(A)(3) and (A)(4), and 36-2204(7))

- **A.** The Department may take an action listed in subsection (B) against an ALS base hospital certificate holder who:
 - 1. Does not meet the certification requirements in R9-25-203(B)(1) or (2) or (C);
 - 2. Violates the requirements in A.R.S. Title 36, Chapter 21.1 or 9 A.A.C. 25; or
 - 3. Knowingly or negligently provides false documentation or information to the Department.
- **B.** The Department may take the following action against an ALS base hospital certificate holder:
 - 1. After notice is provided according to A.R.S. Title 41, Chapter 6, Article 10, issue a letter of censure.
 - 2. After notice is provided according to A.R.S. Title 41, Chapter 6, Article 10, issue an order of

- probation,
- 3. After notice and an opportunity to be heard is provided according to A.R.S. Title 41, Chapter 6, Article 10, suspend the ALS base hospital certificate, or
- 4. After notice and an opportunity to be heard is provided according to A.R.S. Title 41, Chapter 6, Article 10, decertify the ALS base hospital.
- R9-25-208. Renumbered
- R9-25-209. Renumbered
- **R9-25-210. Renumbered**
- R9-25-211. Renumbered

ARTICLE 3. TRAINING PROGRAMS

R9-25-301. Definitions; Application for Certification (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- **A.** To apply for certification as a training program, an applicant shall submit an application to the Department, in a Department-provided format, including:
 - 1. The applicant's name, address, and telephone number;
 - 2. The name, telephone number, and e-mail address of the applicant's chief administrative officer;
 - 3. The name of each course the applicant plans to provide;
 - 4. Attestation that the applicant has the equipment and facilities that meet the requirements established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov for the courses specified in subsection (A)(3);
 - 5. The name, telephone number, and e-mail address of the training program medical director;
 - 6. The name, telephone number, and e-mail address of the training program director;
 - 7. Attestation that the applicant will comply with all requirements in A.R.S. Title 36, Chapter 21.1 and 9 A.A.C. 25;
 - 8. Attestation that all information required as part of the application has been submitted and is true and accurate; and
 - 9. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.
- **B.** An applicant may submit to the Department a copy of an accreditation report if the applicant is currently accredited by a national accrediting organization.

- **C.** The Department shall certify a training program if the applicant:
 - 1. Has not operated a training program that has been decertified by the Department within five years before submitting the application,
 - 2. Submits an application that is complete and compliant with requirements in this Article, and
 - 3. Has not knowingly provided false information on or with an application required by this Article.
- **D.** The Department, according to A.R.S. § 41-1009:
 - 1. Shall assess a training program at least once every 24 months after certification to determine ongoing compliance with the requirements of this Article; and
 - 2. May inspect a training program:
 - a. As part of the substantive review time-frame required in A.R.S. §§ 41-1072 through 41-1079, or
 - b. As necessary to determine compliance with the requirements of this Article.
- **E.** The Department shall approve or deny an application under this Article according to Article 12 of this Chapter.
- **F.** A training program certificate is valid only for the name of the training program certificate holder and the courses listed by the Department on the certificate and may not be transferred to another person.

R9-25-302. Administration (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- **A.** A training program certificate holder shall ensure that a training program medical director:
 - 1. Is a physician or exempt from physician licensing requirements under A.R.S. §§ 32-1421(A)(7) or 32-1821(3);
 - 2. Meets one of the following:
 - Has emergency medicine certification issued by a member board of the American Board of Medical Specialties,
 - b. Has emergency medical services certification issued by the American Board of Emergency Medicine,
 - Has completed an emergency medicine residency training program accredited by the Accreditation Council for Graduate Medical Education or approved by the American Osteopathic Association, or
 - d. Is an emergency medicine physician in an emergency department located in Arizona and has current certification that meets the requirements in R9-25-201(A)(1)(d)(i) through (iii); and

- 3. Before the start date of a course session, reviews the course content outline and final examinations to ensure consistency with the national educational standards for the applicable EMCT classification level.
- **B.** A training program certificate holder shall ensure that a training program director:
 - 1. Is one of the following:
 - a. A physician with at least two years of experience providing emergency medical services as a physician;
 - A doctor of allopathic medicine or osteopathic medicine licensed in another state or
 jurisdiction with at least two years of experience providing emergency medical
 services as a doctor of allopathic medicine or osteopathic medicine;
 - An individual who meets the definition of registered nurse in A.R.S. § 32-1601 with at least two years of experience providing emergency medical services as a registered nurse;
 - d. A physician assistant with at least two years of experience providing emergency medical services as a physician assistant; or
 - e. An EMCT with at least two years of experience at that classification of EMCT, only for courses to prepare an individual for certification or recertification at the same or lower level of EMCT;
 - 2. Has completed 24 hours of training related to instructional methodology including:
 - Organizing and preparing materials for didactic instruction, clinical training, field training, and skills practice;
 - b. Preparing and administering tests and practical examinations;
 - c. Using equipment and supplies;
 - d. Measuring student performance;
 - e. Evaluating student performance;
 - f. Providing corrective feedback; and
 - g. Evaluating course effectiveness;
 - 3. Supervises the day-to-day operation of the courses offered by the training program;
 - 4. Supervises and evaluates the lead instructor for a course session;
 - Monitors the training provided by all preceptors providing clinical training or field training;
 and
 - 6. Does not participate as a student in a course session, take a refresher challenge examination, or receive a certificate of completion for a course given by the training program.

- **C.** A training program certificate holder shall:
 - 1. Maintain with an insurance company authorized to transact business in this state:
 - a. A minimum single claim professional liability insurance coverage of \$500,000, and
 - b. A minimum single claim general liability insurance coverage of \$500,000 for the operation of the training program; or
 - 2. Be self-insured for the amounts in subsection (C)(1).
- **D.** A training program certificate holder shall ensure that policies and procedures are:
 - 1. Established, documented, and implemented covering:
 - Student enrollment, including verification that a student has proficiency in reading at the 9th grade level and meets all course admission requirements;
 - Maintenance of student records and medical records, including compliance with all applicable state and federal laws governing confidentiality, privacy, and security;
 and
 - c. For each course offered:
 - Student attendance requirements, including leave, absences, make-up work, tardiness, and causes for suspending or expelling a student for unsatisfactory attendance;
 - Grading criteria, including the minimum grade average considered satisfactory for continued enrollment and standards for suspending or expelling a student for unsatisfactory grades;
 - iii. Administration of final examinations; and
 - Student conduct, including causes for suspending or expelling a student for unsatisfactory conduct;
 - 2. Reviewed annually and updated as necessary; and
 - 3. Maintained on the premises and provided to the Department at the Department's request.

R9-25-303. Changes Affecting a Training Program Certificate (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- A. No later than 10 days after a change in the name, address, or e-mail address of the training program certificate holder listed on a training program certificate, the training program certificate holder shall notify the Department of the change, in a Department-provided format, including:
 - 1. The current name, address, and e-mail address of the training program certificate holder;
 - 2. The certificate number for the training program;
 - 3. The new name, new address, or new e-mail address and the date of the name, address, or e-

- mail address change;
- 4. If applicable, attestation that the training program certificate holder has insurance required in R9-25-302(C) that is valid for the new name or new address;
- 5. Attestation that all information submitted to the Department is true and correct; and
- 6. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.
- **B.** No later than 10 days after a change in the training program medical director or training program director, a training program certificate holder shall notify the Department, in a Department-provided format, including:
 - 1. The name and address of the training program certificate holder;
 - 2. The certificate number for the training program;
 - 3. The name, telephone number, and e-mail address of the new training program medical director or training program director and the date of the change; and
 - 4. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.
- **C.** A training program certificate holder that intends to add a course shall submit to the Department a request for approval, in a Department-provided format, including:
 - 1. The name and address of the training program certificate holder;
 - 2. The certificate number for the training program;
 - 3. The name, telephone number, and e-mail address of the applicant's chief administrative officer;
 - 4. The name of each course the training program certificate holder plans to add;
 - 5. Attestation that the training program certificate holder has the equipment and facilities that meet the requirements established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov for the courses specified in subsection (C)(4);
 - 6. Attestation that all information required as part of the request is true and accurate; and
 - 7. The signature or electronic signature of the applicant's chief administrative officer or the chief administrative officer's designated representative and date of signature or electronic signature.
- **D.** For notification made under subsection (A) of a change in the name or address of a certificate holder, the Department shall issue an amended certificate to the training program certificate holder that

- incorporates the new name or address but retains the date on the current certificate.
- **E.** The Department shall approve or deny a request for the addition of a course in subsection (C) according to Article 12 of this Chapter.
- **F.** A training program certificate holder shall not conduct a course until an amended certificate is issued by the Department.

R9-25-304. Course and Examination Requirements (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1), (2), and (3))

- **A.** For each course provided, a training program director shall ensure that:
 - 1. The required equipment and facilities established for the course are available for use;
 - 2. The following are prepared and provided to course applicants before the start date of a course session:
 - A description of requirements for admission, course content, course hours, course
 fees, and course completion, including whether the course prepares a student for:
 - A national certification organization examination for the specific EMCT classification level,
 - ii. A statewide standardized certification test under the state certification process, or
 - iii. Recertification at a specific EMCT classification level;
 - b. A list of books, equipment, and supplies that a student is required to purchase for the course;
 - c. Notification of eligibility for the course as specified in R9-25-305(B), (D)(1) and (2), or (F)(1) and (2), as applicable;
 - d. Notification of any specific requirements for a student to begin any component of the course, including, as applicable:
 - i. Prerequisite knowledge, skill, and abilities;
 - ii. Physical examinations;
 - iii. Immunizations;
 - iv. Documentation of freedom from infectious tuberculosis;
 - v. Drug screening; and
 - vi. The ability to perform certain physical activities; and
 - e. The policies for the course on student attendance, grading, student conduct, and administration of final examinations, required in R9-25-302(D)(1)(c)(i) through (iv);
 - 3. Information is provided to assist a student to:

- a. Register for and take an applicable national certification organization examination;
- Complete application forms for registration in a national certification organization;
 and
- c. Complete application forms for certification under 9 A.A.C. 25, Article 4;
- 4. A lead instructor is assigned to each course session who:
 - a. Is one of the following:
 - A physician with at least two years of experience providing emergency medical services;
 - ii. A doctor of allopathic medicine or osteopathic medicine licensed in another state or jurisdiction with at least two years of experience providing emergency medical services;
 - iii. An individual who meets the definition of registered nurse in A.R.S. § 32-1601 with at least two years of experience providing emergency medical services;
 - iv. A physician assistant with at least two years of experience providing emergency medical services; or
 - v. An EMCT with at least two years of experience at that classification of EMCT, only for courses to prepare an individual for certification or recertification at the same or lower EMCT classification level;
 - b. Has completed training related to instructional methodology specified in R9-25-302(B)(2);
 - c. Except as provided in subsection (A)(4)(d), is available for student-instructor interaction during all course hours established for the course session; and
 - d. Designates an individual who meets the requirements in subsections (A)(4)(a) and
 (b) to be present and act as the lead instructor when the lead instructor is not present;
 and
- 5. Clinical training and field training are provided:
 - Under the supervision of a preceptor who has at least two years of experience providing emergency medical services and is one of the following:
 - i. An individual licensed in this or another state or jurisdiction as a doctor of allopathic medicine or osteopathic medicine;
 - ii. An individual licensed in this or another state or jurisdiction as a registered nurse;

- iii. An individual licensed in this or another state or jurisdiction as a physician assistant; or
- iv. An EMCT, only for courses to prepare an individual for certification or recertification at the same or lower EMCT classification level;
- Consistent with the clinical training and field training requirements established for the course; and
- c. If clinical training or field training are provided by a person other than the training program certificate holder, under a written agreement with the person providing the clinical training or field training that includes a termination clause that provides sufficient time for a student to complete the training upon termination of the written agreement.
- **B.** A training program director may combine the students from more than one course session for didactic instruction.
- **C.** For a final examination or refresher challenge examination for each course offered, a training program director shall ensure that:
 - 1. The final examination or refresher challenge examination for the course is completed onsite at the training program or at a facility used for course instruction;
 - 2. Except as provided in subsection (D), the final examination or refresher challenge examination for a course includes a:
 - a. Written test:
 - With one absolutely correct answer, two incorrect answers, and one distractor, none of which is "all of the above" or "none of the above";
 - ii. With 150 multiple-choice questions for the:
 - (1) Final examination for a refresher course, or
 - (2) Refresher challenge examination for a course;
 - iii. That covers the learning objectives of the course with representation from all topics covered by the course; and
 - iv. That requires a passing score of 75% or higher in no more than three attempts for a final examination and no more than one attempt for a refresher challenge examination; and
 - b. Comprehensive practical skills test:
 - i. Evaluating the student's technical proficiency in skills consistent with the national education standards for the applicable EMCT classification level,

and

- ii. Reflecting the skills necessary to pass a national certification organization examination at the applicable EMCT classification level;
- 3. The identity of each student taking the final examination or refresher challenge examination is verified;
- 4. A student does not receive verbal or written assistance from any other individual or use notes, books, or documents of any kind as an aid in taking the examination;
- 5. A student who violates subsection (C)(4) is not permitted to complete the examination or to receive a certificate of completion for the course or refresher challenge examination; and
- 6. An instructor who allows a student to violate subsection (C)(4) or assists a student in violating subsection (C)(4) is no longer permitted to serve as an instructor.
- **D.** A training program director shall ensure that a standardized certification test for a student under the state certification process includes:
 - 1. A written test that meets the requirements in subsection (C)(2)(a); and
 - 2. Either:
 - a. A comprehensive practical skills test that meets the requirements in subsection (C)(2)(b), or
 - b. An attestation of practical skills proficiency on a Department-provided form.
- **E.** A training program director shall ensure that:
 - 1. A student is allowed no longer than six months after the date of the last day of classroom instruction for a course session to complete all course requirements,
 - 2. There is a maximum ratio of four students to one preceptor for the clinical training portion of a course, and
 - 3. There is a maximum ratio of one student to one preceptor for the field training portion of a course.
- **F.** A training program director shall:
 - 1. For a student who completes a course, issue a certificate of completion containing:
 - a. Identification of the training program,
 - b. Identification of the course completed,
 - c. The name of the student who completed the course,
 - d. The date the student completed all course requirements,
 - e. Attestation that the student has met all course requirements, and
 - f. The signature or electronic signature of the training program director and the date of

signature or electronic signature; and

- 2. For an individual who passes a refresher challenge examination, issue a certificate of completion containing:
 - a. Identification of the training program,
 - b. Identification of the refresher challenge examination administered,
 - c. The name of the individual who passed the refresher challenge examination,
 - d. The date or dates the individual took the refresher challenge examination,
 - e. Attestation that the individual has passed the refresher challenge examination, and
 - f. The signature or electronic signature of the training program director and the date of signature or electronic signature.

R9-25-305. Supplemental Requirements for Specific Courses (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- **A.** Except as specified in subsection (B), a training program certificate holder shall ensure that a certification course offered by the training program:
 - 1. Covers knowledge, skills, and competencies comparable to the national education standards established for a specific EMCT classification level;
 - 2. Prepares a student for:
 - a. A national certification organization examination for the specific EMCT classification level, or
 - b. A standardized certification test under the state certification process;
 - 3. Has no more than 24 students enrolled in each session of the course; and
 - 4. Has a minimum course length of:
 - a. For an EMT certification course, 130 hours;
 - b. For an AEMT certification course, 244 hours, including:
 - i. A minimum of 100 contact hours of didactic instruction and practical skills training, and
 - ii. A minimum of 144 contact hours of clinical training and field training; and
 - c. For a Paramedic certification course, 1000 hours, including:
 - A minimum of 500 contact hours of didactic instruction and practical skills training, and
 - ii. A minimum of 500 contact hours of clinical training and field training.
- **B.** A training program director shall ensure that, for an AEMT certification course or a Paramedic certification course, a student has one of the following:

- 1. Current certification from the Department as an EMT or higher EMCT classification level,
- Documentation of completion of prior training in an EMT course or a course for a higher EMCT classification level provided by a training program certified by the Department or an equivalent training program, or
- 3. Documentation of current registration in a national certification organization at the EMT classification level or higher EMCT classification level.
- **C.** A training program director shall ensure that for a course to prepare an EMT-I(99) for Paramedic certification:
 - 1. A student has current certification from the Department as an EMT-I(99);
 - 2. The course covers the knowledge, skills, and competencies established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov;
 - 3. No more than 24 students are enrolled in each session of the course;
 - 4. The minimum course length is 600 hours, including:
 - A minimum of 220 contact hours of didactic instruction and practical skills training,
 and
 - b. A minimum of 380 contact hours of clinical training and field training; and
 - 5. A minimum of 60 contact hours of training in anatomy and physiology are completed by the student:
 - a. As a prerequisite to the course,
 - b. As preliminary instruction completed at the beginning of the course session before the didactic instruction required in subsection (C)(4)(a) begins, or
 - c. Through integration of the anatomy and physiology material with the units of instruction required in subsection (C)(4).
- **D.** A training program director shall ensure that for an EMT refresher course:
 - 1. A student has one of the following:
 - a. Current certification from the Department as an EMT or higher EMCT classification level,
 - b. Documentation of completion of prior training in an EMT course or a course for a higher EMCT classification level provided by a training program certified by the Department or an equivalent training program,
 - Documentation of current registration in a national certification organization at the EMT classification level or higher EMCT classification level, or
 - d. Documentation from a national certification organization requiring the student to

- complete the EMT refresher course to be eligible to apply for registration in the national certification organization;
- A student has documentation of current certification in adult, pediatric, and infant cardiopulmonary resuscitation through instruction consistent with American Heart Association recommendations for emergency cardiovascular care by EMCTs;
- 3. The EMT refresher course covers:
 - a. The knowledge, skills, and competencies in the national education standards established at the EMT classification level; or
 - b. Until the following dates, the knowledge, skills, and competencies established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov:
 - March 31, 2015, for a student who has documentation from a national certification organization of registration at the EMT classification level or higher EMCT classification level that expired on or before March 31, 2011;
 - ii. March 31, 2016, for a student who has documentation from a national certification organization of registration at the EMT classification level or higher EMCT classification level that expired between April 1, 2011 and March 31, 2012; and
 - iii. December 31, 2017, for a student who is not registered by a national certification organization;
- 4. No more than 32 students are enrolled in each session of the course; and
- 5. The minimum course length is 24 contact hours.
- **E.** A training program authorized to provide an EMT refresher course may administer a refresher challenge examination covering materials included in the EMT refresher course to an individual eligible for admission into the EMT refresher course.
- **F.** A training program director shall ensure that for an ALS refresher course:
 - 1. A student has one of the following:
 - a. Current certification from the Department as an AEMT, EMT-I(99), or Paramedic;
 - b. Documentation of completion of a prior training course, at the AEMT classification level or higher, provided by a training program certified by the Department or an equivalent training program;
 - Documentation of current registration in a national certification organization at the AEMT or Paramedic classification level; or

- Documentation from a national certification organization requiring the student to complete the ALS refresher course to be eligible to apply for registration in the national certification organization;
- 2. A student has documentation of current certification in:
 - Adult, pediatric, and infant cardiopulmonary resuscitation through instruction consistent with American Heart Association recommendations for emergency cardiovascular care by EMCTs, and
 - For a student who has current certification as an EMT-I(99) or higher level of EMCT classification, advanced emergency cardiac life support;
- 3. The ALS refresher course covers:
 - a. For a student who has current certification as an AEMT or documentation of completion of prior training at an AEMT classification level, the knowledge, skills, and competencies in the national education standards established for an AEMT;
 - b. For a student who has current certification as an EMT-I(99), the knowledge, skills, and competencies established according to A.R.S. § 36-2204 for an EMT-I(99) as of the effective date of this Section and available through the Department at www.azdhs.gov;
 - c. For a student who has current certification as a Paramedic or documentation of completion of prior training at a Paramedic classification level, the knowledge, skills, and competencies in the national education standards established for a Paramedic; and
 - d. Until the following dates, the knowledge, skills, and competencies established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov:
 - March 31, 2015, for a student who has documentation of completion of prior training at a level between EMT-I(99) and Paramedic and registration from a national certification organization that expired on or before March 31, 2011;
 - ii. March 31, 2016, for a student who has documentation of completion of prior training at a level between EMT-I(99) and Paramedic and registration from a national certification organization that expired between April 1, 2011 and March 31, 2012;

- iii. March 31, 2017, for a student who has documentation of completion of prior training at a level between EMT-I(99) and Paramedic and registration from a national certification organization that expired between April 1, 2012 and March 31, 2013; and
- iv. December 31, 2017, for a student who has documentation of completion of prior training at a level between EMT-I(99) and Paramedic and is not registered by a national certification organization;
- 4. No more than 32 students are enrolled in each session of the course; and
- 5. The minimum course length is 48 contact hours.
- **G.** A training program authorized to provide an ALS refresher course may administer a refresher challenge examination covering materials included in the ALS refresher course to an individual eligible for admission into the ALS refresher course.

R9-25-306. Training Program Notification and Recordkeeping (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- **A.** At least 10 days before the start date of a course session, a training program certificate holder shall submit to the Department the following information in a Department-provided format:
 - 1. Identification of the training program;
 - 2. Identification of the course;
 - 3. The name of the training program medical director;
 - 4. The name of the training program director;
 - 5. The name of the course session's lead instructor;
 - 6. The course session start date and end date;
 - 7. The physical location at which didactic training and practical skills training will be provided;
 - 8. The days of the week and times of each day during which didactic training and practical skills training will be provided;
 - 9. The number of clock hours of didactic training and practical skills training;
 - 10. If applicable, the number of hours of clinical training and field training included in the course session;
 - 11. The date, start time, and location of the final examination for the course;
 - 12. Attestation that the lead instructor is qualified under R9-25-304(A)(4)(a); and
 - 13. The name and signature of the chief administrative officer or program director and the date signed.
- **B.** The Department shall review the information submitted according to subsection (A) and, within five

days after receiving the information:

- 1. Approve a course session, issue an identifying number to the course session, and notify the training program certificate holder of the approval and identifying number; or
- 2. Disapprove a course session that does not comply with requirements in this Article and notify the training program certificate holder of the disapproval.
- **C.** A training program certificate holder shall ensure that:
 - 1. No later than 10 days after the date a student completes all course requirements, the training program director submits to the Department the following information in a Department-provided format:
 - a. Identification of the training program;
 - b. The name of the training program director;
 - c. Identification of the course and the start date and end date of the course session completed by the student;
 - d. The name, date of birth, and mailing address of the student who completed the course;
 - e. The date the student completed all course requirements;
 - f. The score the student received on the final examination;
 - g. Attestation that the student has met all course requirements;
 - h. Attestation that all information submitted is true and accurate; and
 - i. The signature of the training program director and the date signed; and
 - 2. No later than 10 days after the date an individual passes a refresher challenge examination administered by the training program, the training program director submits to the Department the following information in a Department-provided format;
 - a. Identification of the training program;
 - b. Identification of the:
 - i. Refresher challenge examination administered, and
 - ii. Course for which the refresher challenge examination substitutes;
 - c. The name of the training program medical director;
 - d. The name of the training program director;
 - e. The name, date of birth, and mailing address of the individual who passed the refresher challenge examination;
 - f. The date and location at which the refresher challenge examination was administered;

- g. The score the individual received on the refresher challenge examination;
- h. Attestation that the individual:
 - i. Met the requirements for taking the refresher challenge examination, and
 - ii. Passed the refresher challenge examination;
- i. Attestation that all information submitted is true and accurate; and
- j. The name and signature of the training program director and the date signed.
- **D.** A training program certificate holder shall ensure that:
 - 1. A record is established for each student enrolled in a course session, including;
 - a. The student's name and date of birth;
 - b. A copy of the student's enrollment agreement or contract;
 - c. Identification of the course in which the student is enrolled;
 - d. The start date and end date for the course session;
 - e. Documentation supporting the student's eligibility to enroll in the course;
 - f. Documentation that the student meets prerequisites for the course, established as specified in R9-25-304(A)(2)(c)(i);
 - g. The student's attendance records;
 - h. The student's clinical training records, if applicable;
 - i. The student's field training records, if applicable;
 - j. The student's grades;
 - k. Documentation of the final examination for the course, including:
 - A copy of each scored written test attempted or completed by the student, and
 - ii. All forms used as part of the comprehensive practical skills test attempted or completed by the student; and
 - 1. A copy of the student's certificate of completion required in R9-25-304(F)(1);
 - 2. A student record required in subsection (D)(1) is maintained for three years after the end date of a student's course session and provided to the Department at the Department's request;
 - 3. A record is established for each individual to whom a refresher challenge examination is administered, including:
 - a. The individual's name and date of birth;
 - b. Identification of the refresher challenge examination administered to the individual;
 - c. Documentation supporting the individual's eligibility for a refresher challenge

examination;

- d. The date the refresher challenge examination was administered;
- e. Documentation of the refresher challenge examination, including:
 - A copy of the scored written test attempted or completed by the individual, and
 - ii. All forms used as part of the comprehensive practical skills test attempted or completed by the individual; and
- f. A copy of the individual's certificate of completion required in R9-25-304(F)(2); and
- 4. A record required in subsection (D)(3) is maintained for three years after the date the refresher challenge examination was administered and provided to the Department at the Department's request.

R9-25-307. Training Program Enforcement Actions (Authorized by A.R.S. §§ 36-2202(A)(3) and (4) and 36-2204(1) and (3))

- **A.** The Department may take an action listed in subsection (B) against a training program certificate holder who:
 - 1. Violates the requirements in A.R.S. Title 36, Chapter 21.1 or 9 A.A.C. 25; or
 - 2. Knowingly or negligently provides false documentation or information to the Department.
- **B.** The Department may take the following action against a training program certificate holder:
 - 1. After notice is provided according to A.R.S. Title 41, Chapter 6, Article 10, issue:
 - a. A letter of censure, or
 - b. An order of probation; or
 - 2. After notice and opportunity to be heard is provided according to A.R.S. Title 41, Chapter 6, Article 10:
 - a. Suspend the training program certificate, or
 - b. Decertify the training program.

ARTICLE 4. EMCT CERTIFICATION

- R9-25-401. EMCT General Requirements (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), (A)(6), and (G) and 36-2204(1), (6), and (7))
- **A.** Except as provided in R9-25-404(E) and R9-25-405, an individual shall not act as an EMCT unless the individual has current certification or recertification from the Department.
- **B.** An EMCT shall act as an EMCT only:

- 1. As authorized under the EMCT's scope of practice as specified in Article 5 of this Chapter; and
- 2. For an EMCT required to have medical direction according to A.R.S. Title 36, Chapter 21.1 and R9-25-502, as authorized by the EMCT's administrative medical director under:
 - a. Treatment protocols, triage protocols, and communication protocols approved by the EMCT's administrative medical director as specified in R9-25-201(E)(2); and
 - b. Medical recordkeeping, medical reporting, and prehospital incident history report requirements approved by the EMCT's administrative medical director as specified in R9-25-201(E)(3)(b).
- **C.** Except as provided in A.R.S. § 36-2211, the Department shall certify or recertify an individual as an EMCT for a period of two years.
- **D.** An individual whose EMCT certificate is expired shall not apply for recertification, except as provided in R9-25-404(A).
- **E.** The Department shall comply with the confidentiality requirements in A.R.S. §§ 36-2220(E) and 36-2245(M).

R9-25-402. EMCT Certification and Recertification Requirements (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), (A)(6), and (G) and 36-2204(1), (6), and (7))

- **A.** The Department shall not certify an EMCT if the applicant:
 - 1. Is currently:
 - a. Incarcerated for a criminal conviction,
 - b. On parole for a criminal conviction,
 - c. On supervised release for a criminal conviction, or
 - d. On probation for a criminal conviction;
 - 2. Within 10 years before the date of filing an application for certification required by this Article, has been convicted of any of the following crimes, or any similarly defined crimes in this state or in any other state or jurisdiction, unless the conviction has been absolutely discharged, expunged, or vacated:
 - a. 1st or 2nd degree murder;
 - b. Attempted 1st or 2nd degree murder;
 - c. Sexual assault;
 - d. Attempted sexual assault;
 - e. Sexual abuse of a minor;
 - f. Attempted sexual abuse of a minor;

- g. Sexual exploitation of a minor;
- h. Attempted sexual exploitation of a minor;
- i. Commercial sexual exploitation of a minor;
- j. Attempted commercial sexual exploitation of a minor;
- k. Molestation of a child;
- 1. Attempted molestation of a child; or
- m. A dangerous crime against children as defined in A.R.S. § 13-705;
- 3. Within five years before the date of filing an application for certification required by this Article, has been convicted of a misdemeanor involving moral turpitude or a felony in this state or any other state or jurisdiction, other than a misdemeanor involving moral turpitude or a felony listed in subsection (A)(2), unless the conviction has been absolutely discharged, expunged, or vacated;
- 4. Within five years before the date of filing an application for certification required by this Article, has had EMCT certification or recertification revoked in this state or certification, recertification, or licensure at an EMCT classification level revoked in any other state or jurisdiction; or
- Knowingly provides false information in connection with an application required by this Article.
- **B.** The Department shall not recertify an EMCT, if:
 - 1. While certified, the applicant has been convicted of a crime listed in subsection (A)(2), or any similarly defined crimes in this state or in any other state or jurisdiction, unless the conviction has been absolutely discharged, expunged, or vacated; or
 - 2. The applicant knowingly provides false information in connection with an application required by this Article.
- C. The Department shall make probation a condition of EMCT certification if, within two years before the date of filing an application under R9-25-403, an applicant has been convicted of a misdemeanor in this state or in any other state or jurisdiction, involving:
 - Possession, use, administration, acquisition, sale, manufacture, or transportation of an intoxicating liquor, dangerous drug, or narcotic drug, as defined in A.R.S. § 13-3401, unless the conviction has been absolutely discharged, expunged, or vacated; or
 - 2. Driving or being in physical control of a vehicle while under the influence of an intoxicating liquor, a dangerous drug, or a narcotic drug, as defined in A.R.S. § 13-3401, unless the conviction has been absolutely discharged, expunged, or vacated.

- **D.** Except as provided in subsection (E), the Department shall make probation a condition of EMCT recertification if an applicant:
 - 1. Is currently:
 - a. Incarcerated for a criminal conviction,
 - b. On parole for a criminal conviction,
 - c. On supervised release for a criminal conviction, or
 - d. On probation for a criminal conviction; or
 - 2. Within five years before the date of filing an application under R9-25-404, has been convicted of a misdemeanor involving moral turpitude or a felony in this state or any other state or jurisdiction, other than those listed in subsection (A)(2), unless the conviction has been absolutely discharged, expunged, or vacated.
- **E.** As specified in R9-25-409, the Department may make probation a condition of EMCT recertification if an applicant, within two years before the date of filing an application under R9-25-404, has been convicted of a misdemeanor in this state or in any other state or jurisdiction, involving:
 - Possession, use, administration, acquisition, sale, manufacture, or transportation of an intoxicating liquor, dangerous drug, or narcotic drug, as defined in A.R.S. § 13-3401, unless the conviction has been absolutely discharged, expunged, or vacated; or
 - 2. Driving or being in physical control of a vehicle while under the influence of an intoxicating liquor, a dangerous drug, or a narcotic drug, as defined in A.R.S. § 13-3401, unless the conviction has been absolutely discharged, expunged, or vacated.
- **F.** If the Department makes probation a condition of EMCT certification or recertification, the Department shall fix the period and terms of probation that will:
 - 1. Protect the public health and safety, and
 - 2. Rehabilitate and educate the applicant.
- R9-25-403. Application Requirements for EMCT Certification (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), and (G) and 36-2204(1) and (6))
- **A.** An individual may apply for initial EMCT certification if:
 - 1. The individual is at least 18 years of age;
 - 2. The individual complies with the requirements in A.R.S. § 41-1080;
 - 3. The individual is not ineligible under R9-25-402; and
 - 4. One of the following applies to the individual:
 - a. The individual has not previously applied for certification from the Department or has withdrawn an application for certification;

- An application for certification submitted by the individual was denied by the
 Department two or more years before the present date;
- c. Except as provided in R9-25-404(A)(2) or (3), the individual's certification as an EMCT is expired;
- The individual's certification as an EMCT was revoked by the Department five or more years before the present date; or
- e. The individual has current certification as an EMCT and is applying for certification at a different classification level of EMCT.
- **B.** An applicant for initial EMCT certification shall submit to the Department an application in a Department-provided format, including:
 - 1. A form containing:
 - a. The applicant's name, address, telephone number, email address, date of birth, gender, and Social Security number;
 - b. The level of EMCT certification being requested;
 - c. Responses to questions addressing the applicant's criminal history according to R9-25-402(A)(1) through (3) and (C);
 - d. Whether the applicant has within the five years before the date of the application had:
 - i. EMCT certification or recertification revoked in Arizona; or
 - ii. Certification, recertification, or licensure at an EMCT classification level revoked in another state or jurisdiction;
 - e. Attestation that all information required as part of the application has been submitted and is true and accurate; and
 - f. The applicant's signature or electronic signature and date of signature;
 - 2. For each affirmative response to a question addressing the applicant's criminal history required in subsection (B)(1)(c), a detailed explanation on a Department-provided form and supporting documentation;
 - 3. For each affirmative response to subsection (B)(1)(d), a detailed explanation on a Department-provided form and supporting documentation;
 - 4. If applicable, a copy of certification, recertification, or licensure at an EMCT classification level issued to the applicant in another state or jurisdiction;
 - 5. A copy of one of the following for the applicant:
 - a. U.S. passport, current or expired;

- b. Birth certificate;
- c. Naturalization documents; or
- d. Documentation of legal resident alien status; and
- 6. One of the following:
 - a. Either:
 - i. A certificate of completion showing that within two years before the date of the application, the applicant completed statewide standardized training; and
 - ii. A statewide standardized certification test; or
 - b. Documentation of current registration in a national certification organization at the applicable or higher level of EMCT classification.
- **B.** The Department shall approve or deny an application for initial EMCT certification according to Article 12 of this Chapter.
- **C.** If the Department denies an application for initial EMCT certification, the applicant may request a hearing according to A.R.S. Title 41, Chapter 6, Article 10.

R9-25-404. Application Requirements for EMCT Recertification (Authorized by A.R.S. §§ 36-2202(A)(2), (3), (4), and (6), (B), and (H) and 36-2204(1), (4), and (6))

- **A.** An individual may apply for recertification at the same level of EMCT certification held or at a lower level of EMCT certification:
 - 1. Within 90 days before the expiration date of the individual's current EMCT certification;
 - 2. Within the 30-day period after the expiration date of the individual's EMCT certification, as provided in subsection (E); or
 - 3. Within the extension time period granted under R9-25-405.
- **B.** To apply for recertification, an applicant shall submit to the Department an application, in a Department-provided format, including:
 - 1. A form containing:
 - a. The applicant's name, address, telephone number, email address, date of birth, and Social Security number;
 - b. The applicant's current certification number;
 - c. Responses to questions addressing the applicant's criminal history according to R9-25-402(B), (D), and (E);
 - d. Whether the applicant has within the five years before the date of the application had:
 - i. EMCT certification or recertification revoked in Arizona; or

- ii. Certification, recertification, or licensure at an EMCT classification level revoked in another state or jurisdiction;
- e. An indication of the level of EMCT certification held currently or within the past 30 days and of the level of EMCT certification for which recertification is requested;
- f. Attestation that all information required as part of the application has been submitted and is true and accurate; and
- g. The applicant's signature or electronic signature and date of signature;
- 2. For each affirmative response to a question addressing the applicant's criminal history required in subsection (B)(1)(c), a detailed explanation on a Department-provided form and supporting documentation;
- 3. For an affirmative response to subsection (B)(1)(d), a detailed explanation on a Department-provided form; and
- 4. For an application submitted within 30 days after the expiration date of EMCT certification, a nonrefundable certification extension fee of \$150.
- **C.** In addition to the application in subsection (B), an applicant for EMCT recertification shall submit one of the following to the Department:
 - 1. A certificate of course completion issued by the training program director under R9-25-304(F) showing that within two years before the date of the application, the applicant completed either the applicable refresher course or applicable refresher challenge examination:
 - 2. Documentation of current registration in a national certification organization at the applicable or higher level of EMCT classification; or
 - 3. Attestation on a Department-provided form that the applicant:
 - a. Has documentation of current certification in adult, pediatric, and infant cardiopulmonary resuscitation through instruction consistent with American Heart Association recommendations for emergency cardiovascular care by EMCTs;
 - For EMT-I(99) recertification or Paramedic recertification, has documentation of current certification in advanced emergency cardiac life support;
 - c. Has documentation of having completed within the previous two years the following number of hours of continuing education in topics that are consistent with the content of the applicable refresher course:
 - i. For EMT recertification, a minimum of 24 hours;

- ii. For AEMT recertification, EMT-I(99) recertification, or Paramedic recertification, a minimum of 48 hours; and
- iii. Included in the hours required in subsections (C)(3)(c)(i) or (ii), as applicable, a minimum of 5 hours in pediatric emergency care; and
- d. For EMT recertification, has functioned in the capacity of an EMT for at least 240 hours during the previous two years.
- **D.** An applicant who submits an attestation under subsection (C)(3) shall maintain the applicable documentation for at least three years after the date of the application.
- **E.** If an individual submits an application for recertification, with a certification extension fee, within 30 days after the expiration date of the individual's EMCT certification, the individual:
 - 1. Was authorized to act as an EMCT during the period between the expiration date of the individual's EMCT certification and the date the application was submitted, and
 - 2. Is authorized to act as an EMCT until the Department makes a final determination on the individual's application for recertification.
- **F.** If an individual does not submit an application for recertification before the expiration date of the individual's EMCT certification or, with a certification extension fee, within 30 days after the expiration date of the individual's EMCT certification, the individual:
 - 1. Is not an EMCT,
 - 2. Was not authorized to act as an EMCT during the 30-day period after the expiration date of the individual's EMCT certification, and
 - 3. May submit an application to the Department for initial EMCT certification according to R9-25-403.
- **G.** The Department shall approve or deny an application for recertification according to Article 12 of this Chapter.
- **H.** If the Department denies an application for recertification, the applicant may request a hearing according to A.R.S. Title 41, Chapter 6, Article 10.
- I. The Department may deny, based on failure to meet the standards for recertification in A.R.S. Title 36, Chapter 21.1 and this Article, an application submitted with a certification extension fee.
- R9-25-405. Extension to File an Application for EMCT Recertification (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), (A)(6), and (G) and 36-2204(1), (4), (5), and (7))
- **A.** Before the expiration of a current certificate, an EMCT who is unable to meet the recertification requirements in R9-25-404 because of personal or family illness, military service, or authorized

federal or state emergency response deployment may apply to the Department in writing for an extension of time to file for recertification by submitting:

- 1. The following information in a Department-provided format:
 - a. The EMCT's name, address, telephone number, and email address;
 - b. The EMCT's current certification number;
 - c. The reason for requesting the extension; and
 - d. The EMCT's signature or electronic signature and date of signature; and
- 2. For an exemption based on military service or authorized federal or state emergency response deployment, a copy of the EMCT's military orders or documentation of authorized federal or state emergency response deployment.
- **B.** The Department may grant an extension of time to file for recertification:
 - 1. For personal or family illness, for no more than 180 days; or
 - 2. For each military service or authorized federal or state emergency response deployment, for the term of service or deployment plus 180 days.
- **C.** An individual applying for or granted an extension of time to file for recertification:
 - 1. Remains certified according to A.R.S. § 41-1092.11 during the extension period, and
 - 2. Shall submit an application for recertification according to R9-25-404.
- **D.** An individual who does not meet the recertification requirements in R9-25-404 within the extension period or has the application for recertification denied by the Department:
 - 1. Is not an EMCT, and
 - 2. May submit an application to the Department for initial EMCT certification according to R9-25-403.
- **E.** The Department shall approve or deny a request for an extension to file for EMCT recertification according to Article 12 of this Chapter.
- **F.** If the Department denies a request for an extension to file for EMCT recertification, the applicant may request a hearing according to A.R.S. Title 41, Chapter 6, Article 10.
- R9-25-406. Requirements for Downgrading of Certification (Authorized by A.R.S. §§ 36- 2202(A)(2), (A)(3), (A)(4), and (G) and 36-2204(1) and (6))

An individual who holds current EMCT certification at a classification level higher than EMT and who is not under investigation according to A.R.S. § 36-2211 may apply for:

- 1. Continued certification at a lower EMCT classification level for the remainder of the certification period by submitting to the Department:
 - a. A written request containing:

- i. The EMCT's name, address, email address, telephone number, date of birth, and Social Security number;
- ii. The lower EMCT classification level requested;
- iii. Attestation that the applicant has not committed an act or engaged in conduct that would warrant revocation of a certificate under A.R.S. § 36-2211;
- iv. Attestation that all information submitted is true and accurate; and
- v. The applicant's signature or electronic signature and date of signature; and
- b. Either:
 - i. A written statement from the EMCT's administrative medical director attesting that the EMCT is able to perform at the lower EMCT classification level requested; or
 - ii. If applying for continued certification as an EMT, an Arizona EMT refresher certificate of completion or an Arizona EMT refresher challenge examination certificate of completion signed by the training program director designated for the Arizona EMT refresher course; or
- 2. Recertification at a lower EMCT classification level according to R9-25-404.

R9-25-407. Notification Requirements (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), and (A)(4), 36-2204(1) and (6), and 36-2211)

- **A.** No later than 30 days after the date an EMCT's name legally changes, the EMCT shall submit to the Department:
 - 1. A completed form provided by the Department containing:
 - a. The name under which the EMCT is currently certified by the Department;
 - b. The EMCT's address, telephone number, and Social Security number; and
 - c. The EMCT's new name; and
 - 2. Documentation showing that the name has been legally changed.
- **B.** No later than 30 days after the date an EMCT's address changes, the EMCT shall submit to the Department a completed form provided by the Department containing:
 - 1. The EMCT's name, telephone number, and Social Security number; and
 - 2. The EMCT's new address.
- **C.** An EMCT shall notify the Department in writing no later than 10 days after the date the EMCT:
 - 1. Is incarcerated or is placed on parole, supervised release, or probation for any criminal conviction;

- 2. Is convicted of:
 - a. A crime specified in R9-25-402(A)(2),
 - b. A misdemeanor involving moral turpitude,
 - c. A felony in this state or any other state or jurisdiction, or
 - d. A misdemeanor specified in R9-25-402(E);
- 3. Has registration revoked or suspended by a national certification organization; or
- 4. Has certification, recertification, or licensure at an EMCT classification level revoked or suspended in another state or jurisdiction.

R9-25-408. Unprofessional Conduct; Physical or Mental Incompetence; Gross Incompetence; Gross Negligence (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), (A)(6), and (G), 36-2204(1), (6), and (7), and 36-2211)

- **A.** For purposes of A.R.S. § 36-2211(A)(1), unprofessional conduct is an act or omission made by an EMCT that is contrary to the recognized standards or ethics of the Emergency Medical Technician profession or that may constitute a danger to the health, welfare, or safety of a patient or the public, including:
 - 1. Impersonating an EMCT of a higher level of certification or impersonating a health professional as defined in A.R.S. § 32-3201;
 - 2. Permitting or allowing another individual to use the EMCT's certification for any purpose;
 - 3. Aiding or abetting an individual who is not certified according to this Chapter in acting as an EMCT or in representing that the individual is certified as an EMCT;
 - 4. Engaging in or soliciting sexual relationships, whether consensual or nonconsensual, with a patient while acting as an EMCT;
 - 5. Physically or verbally harassing, abusing, threatening, or intimidating a patient or another individual while acting as an EMCT;
 - Making false or materially incorrect entries in a medical record or willful destruction of a medical record;
 - 7. Failing or refusing to maintain adequate records on a patient;
 - 8. Soliciting or obtaining monies or goods from a patient by fraud, deceit, or misrepresentation;
 - 9. Aiding or abetting an individual in fraud, deceit, or misrepresentation in meeting or attempting to meet the application requirements for EMCT certification or EMCT recertification contained in this Article, including the requirements established for:
 - a. Completing and passing a course provided by a training program; and

- b. The national certification organization examination process and national certification organization registration process;
- 10. Providing false information or making fraudulent or untrue statements to the Department or about the Department during an investigation conducted by the Department;
- 11. Being incarcerated or being placed on parole, supervised release, or probation for any criminal conviction;
- 12. Being convicted of a misdemeanor identified in R9-25-402(E), which has not been absolutely discharged, expunged, or vacated;
- 13. Having national certification organization registration revoked or suspended by the national certification organization for material noncompliance with national certification organization rules or standards; and
- 14. Having certification, recertification, or licensure at an EMCT classification level revoked or suspended in another state or jurisdiction.
- **B.** Under A.R.S. § 36-2211, physical or mental incompetence of an EMCT is the EMCT's lack of physical or mental ability to provide emergency medical services as required under this Chapter.
- C. Under A.R.S. § 36-2211 gross incompetence or gross negligence is an EMCT's willful act or willful omission of an act that is made in disregard of an individual's life, health, or safety and that may cause death or injury.

R9-25-409. Enforcement Actions (Authorized by A.R.S. §§ 36-2202(A)(2), (A)(3), (A)(4), (A)(6), and (G), 36-2204(1), (6), and (7), and 36-2211)

- **A.** If the Department determines that an applicant or EMCT is not in substantial compliance with applicable laws and rules, under A.R.S. §§ 36-2204 or 36-2211, the Department may:
 - 1. Take the following action against an applicant or EMCT:
 - a. After notice is provided according to A.R.S. § 36-2211 and, if applicable, A.R.S. Title 41, Chapter 6, Article 10, issue:
 - i. A decree of censure to the EMCT, or
 - ii. An order of probation to the EMCT; or
 - b. After notice and opportunity to be heard is provided according to A.R.S. Title 41, Chapter 6, Article 10:
 - i. Deny an application,
 - ii. Suspend the EMCT's certificate, or
 - iii. Revoke the EMCT's certificate; and
 - 2. Assess civil penalties against the EMCT.

- **B.** In determining which action in subsection (A) is appropriate, the Department shall consider:
 - 1. Prior disciplinary actions;
 - 2. The time interval since a prior disciplinary action, if applicable;
 - 3. The applicant's or EMCT's motive;
 - 4. The applicant's or EMCT's pattern of conduct;
 - 5. The number of offenses;
 - 6. Whether the applicant or EMCT failed to comply with instructions from the Department;
 - 7. Whether interim rehabilitation efforts were made by the applicant or EMCT;
 - 8. Whether the applicant or EMCT refused to acknowledge the wrongful nature of the misconduct:
 - 9. Whether the applicant or EMCT made timely and good-faith efforts to rectify the consequences of the misconduct;
 - 10. The submission of false evidence, false statements, or other deceptive practices during an investigation or disciplinary process;
 - 11. The vulnerability of a patient or other victim of the applicant's or EMCT's conduct, if applicable; and
 - 12. How much control the applicant or EMCT had over the processes or situation leading to the misconduct.

R9-25-410. Renumbered

R9-25-411. Renumbered

ARTICLE 5. MEDICAL DIRECTION PROTOCOLS FOR EMERGENCY MEDICAL TECHNICIANS

R9-25-501. Definitions

In addition to the definitions in A.R.S. § 36-2201 and R9-25-101, the following definitions apply in this Article, unless otherwise specified:

- "ALS skill" means a medical treatment, procedure, or technique or administration of a medication that is indicated by a check mark in Table 5.1 under AEMT, EMT-I(99), or Paramedic, but not under EMT.
- 2. "Immunizing agent" means an immunobiologic recommended by the Advisory Committee on Immunization Practices of the U.S. Department of Health and Human Services, Centers for Disease Control and Prevention.

R9-25-502. Scope of Practice for EMCTs

- **A.** An EMCT shall perform a medical treatment, procedure, or technique or administer a medication only:
 - 1. If the skill is within the EMCT's scope of practice skills, as specified in Table 5.1;
 - 2. For an ALS skill:
 - a. If authorized for the EMCT by the EMCT's administrative medical director, and
 - b. If the EMCT is able to receive on-line medical direction;
 - 3. For a STR skill:
 - a. If the EMCT has documentation of having completed training specific to the skill that is consistent with the knowledge, skills, and competencies established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov;
 - b. If authorized for the EMCT by the EMCT's administrative medical director; and
 - c. If the EMCT is able to receive on-line medical direction;
 - 4. If the medication is listed as an agent in Table 5.2, Table 5.3, or Table 5.4 under the classification for which the EMCT is certified;
 - 5. If the EMCT is authorized to administer the medication by the:
 - a. EMCT's administrative medical director, if applicable; or
 - b. If the EMCT is an EMT with no administrative medical director, emergency medical services provider or ambulance service by which the EMCT is employed or for which the EMCT volunteers; and
 - 6. In a manner consistent with standards described in R9-25-408 and, if applicable, with the training in 9 A.A.C. 25, Article 3.
- **B.** An administrative medical director:
 - 1. Shall:
 - a. Ensure that an EMCT has completed training in administration or monitoring of an agent before authorizing the EMCT to administer or monitor the agent;
 - b. Ensure that an EMCT has competency in an ALS skill before authorizing the EMCT to perform the ALS skill;
 - c. Before authorizing an EMCT to perform a STR skill, ensure that the EMCT has:
 - Completed training specific to the skill, consistent with the knowledge, skills, and competencies established according to A.R.S. § 36-2204 and available through the Department at www.azdhs.gov; and
 - ii. Demonstrated competency in the skill;
 - d. Periodically thereafter assess an EMCT's competency in an authorized ALS skill

and STR skill, according to policies and procedures required in R9-25-201(C)(3)(b)(viii), to ensure continued competency;

- e. Document the EMCT's:
 - i. Completion of training in administration or monitoring of an agent required in subsection (B)(1)(a),
 - ii. Competency in performing an ALS skill required in subsection (B)(1)(b),
 - iii. Specific training required in subsection (B)(1)(c)(i) and competency required in subsection (B)(1)(c)(ii), and
 - iv. Periodic reassessment required in subsection (B)(1)(d); and
- f. Maintain documentation of an EMCT's completion of training in administration or monitoring of an agent and competency in performing an authorized ALS skill or STR skill; and
- 2. May authorize an EMCT to perform all of the ALS skills in Table 5.1 for the applicable level of EMCT or restrict the EMCT to a subset of the ALS skills in Table 5.1 for the applicable level of EMCT.

Table 5.1. Arizona Scope of Practice Skills

KEY:

✓ = Arizona Scope of Practice skill

STR = STR skill

* = Already intubated

Airway/Ventilation/Oxygenation	EMT	AEMT	EMT-I(99)	Paramedic
Airway- esophageal	STR	✓	✓	✓
Airway- supraglottic	STR	✓	✓	✓
Airway- nasal	✓	✓	✓	✓
Airway- oral	✓	✓	✓	✓
Automated transport ventilator	STR	STR	✓	✓
Bag-valve-mask (BVM)	✓	✓	✓	✓
BiPAP/CPAP				✓
Chest decompression- needle			✓	✓
Chest tube placement- assist only				STR
Chest tube monitoring and management				STR
Cricoid pressure (Sellick's maneuver)	✓	✓	✓	✓
Cricothyrotomy- needle			STR	✓
Cricothyrotomy- percutaneous			STR	✓
Cricothyrotomy- surgical			STR	STR
Demand valve- manually triggered ventilation	✓	✓	✓	✓
End tidal CO2 monitoring/capnography			✓	✓
Gastric decompression- NG tube			✓	✓
Gastric decompression- OG tube			✓	✓
Head-tilt chin lift	✓	✓	✓	✓
Intubation- nasotracheal			STR	✓
Intubation- orotracheal	STR	STR	✓	✓
Jaw-thrust	✓	✓	✓	✓
Jaw-thrust – modified (trauma)	✓	✓	✓	✓
Medication Assisted Intubation (paralytics)				STR
Mouth-to-barrier	✓	✓	✓	✓
Mouth-to-mask	✓	✓	✓	✓
Mouth-to-mouth	✓	✓	✓	✓
Mouth-to-nose	✓	✓	✓	✓
Mouth-to-stoma	✓	✓	✓	✓
Obstruction- direct laryngoscopy			✓	✓

Obstruction- manual	✓	✓	✓	✓
Oxygen therapy- humidifiers	✓	√	✓	✓
Oxygen therapy- nasal cannula	✓	√	✓	✓
Oxygen therapy- non-rebreather mask	✓	✓	√	✓
Oxygen therapy- partial rebreather mask	✓	✓	✓	✓
Oxygen therapy- simple face mask	✓	✓	√	✓
Oxygen therapy- venturi mask	✓	✓	√	✓
PEEP- therapeutic			✓	✓
Pulse oximetry	✓	✓	✓	✓
Suctioning- upper airway	✓	✓	✓	✓
Suctioning- tracheobronchial		√ *	✓	✓
Cardiovascular/Circulation	EMT	AEMT	EMT-I (99)	Paramedic
Cardiac monitoring- multiple lead (interpretive)			✓	✓
Cardiac monitoring- single lead (interpretive)			✓	✓
Cardiac - multiple lead acquisition (non-interpretive)	STR	STR	✓	✓
Cardiopulmonary resuscitation	✓	✓	✓	✓
Cardioversion- electrical			✓	✓
Carotid massage – (≤17 years)			STR	STR
Defibrillation- automatic/semi-automatic	✓	✓	✓	✓
Defibrillation- manual			✓	✓
Hemorrhage control- direct pressure	✓	✓	✓	✓
Hemorrhage control- tourniquet	✓	✓	✓	✓
Internal; cardiac pacing- monitoring only			✓	✓
Mechanical CPR device	STR	STR	STR	STR
Transcutaneous pacing- manual			✓	✓
Immobilization	EMT	AEMT	EMT-I (99)	Paramedic
Spinal immobilization- cervical collar	✓	✓	✓	✓
Spinal immobilization- long board	✓	✓	✓	✓
Spinal immobilization- manual	✓	✓	✓	✓
Spinal immobilization- seated patient (KED,etc.)	✓	✓	✓	✓
Spinal immobilization- rapid manual extrication	✓	✓	✓	✓
Extremity stabilization- manual	✓	✓	✓	✓
Extremity splinting	✓	✓	✓	✓
Splint- traction	✓	✓	✓	✓
Mechanical patient restraint	✓	✓	✓	✓
•	•		•	

Emergency moves for endangered patients	✓	✓	✓	✓
Medication administration - routes	EMT	AEMT	EMT-I (99)	Paramedic
Aerosolized/nebulized (beta agonist)	STR	✓	✓	✓
Assisting patient with his/her own prescribed medications (aerosolized/nebulized)	✓	✓	✓	✓
Assisting patient with his/her own prescribed medications (ASA/Nitro)	✓	✓	✓	√
Assisting patient with his/her own prescribed medications (auto-injector)	✓	✓	✓	✓
Auto-injector (self or peer)	✓	✓	✓	✓
Buccal	STR	✓	✓	✓
Endotracheal tube			✓	✓
Inhaled self-administered (nitrous oxide)		✓	✓	✓
Intradermal			STR	STR
Intramuscular		✓	✓	✓
Intranasal		✓	✓	✓
Intravenous push		✓	✓	✓
Intravenous piggyback			✓	✓
Intraosseous		STR	✓	✓
Nasogastric				✓
Oral	✓	✓	✓	✓
Rectal		STR	✓	✓
Small volume nebulizer	STR	✓	✓	✓
Subcutaneous		✓	✓	✓
Sublingual		✓	✓	✓
V initiation/maintenance fluids	EMT	AEMT	EMT-I (99)	Paramedio
Access indwelling catheters and implanted central IV ports				✓
Central line- monitoring				✓
Intraosseous- initiation		✓	✓	✓
Intravenous access		✓	✓	✓
Intravenous initiation- peripheral	STR	✓	✓	✓
Intravenous- maintenance of non-medicated IV fluids or capped access	✓	✓	✓	✓
Intravenous- maintenance of medicated IV fluids			✓	✓
Umbilical initiation				STR
Miscellaneous	EMT	AEMT	EMT-I (99)	Paramedio
Assisted delivery (childbirth)	✓	✓	✓	✓
Assisted complicated delivery (childbirth)	✓	√	✓	✓

Blood glucose monitoring	✓	✓	✓	✓
Blood pressure- automated	✓	✓	✓	✓
Blood pressure- manual	✓	✓	✓	✓
Eye irrigation	✓	✓	✓	✓
Eye irrigation (Morgan lens)				STR
Thrombolytic therapy- initiation				STR
Urinary catheterization				STR
Venous blood sampling			✓	✓
Blood chemistry analysis				STR
Use/monitoring of agents specified in Table 5.4 during interfacility transports			STR	STR
Use/monitoring of infusion pump for agent administration during interfacility transports			STR	STR

Table 5.2. Eligibility for Authorization to Administer, Monitor, and Assist in Patient Selfadministration of Agents by EMCT Classification; Administration Requirements; and Minimum Supply Requirements for Agents

KEY:

A = Authorized to administer the agent

SVN = Agent shall be administered by small volume nebulizer

MDI = Agent shall be administered by metered dose inhaler

* = Authorized to assist in patient self-administration

[] = Minimum supply required if an EMS provider chooses to make the optional agent available for EMCT administration

AGENT	MINIMUM SUPPLY	EMT	AEMT	EMT-I (99)	Paramedic
Adenosine	18 mg	-	-	A	A
Albuterol Sulfate SVN or MDI (sulfite free)	10 mg	A	A	A	A
Amiodarone	300 mg	-	-	-	A
or Lidocaine	or 3 prefilled syringes, total of 300 mg and 1 g vials or premixed infusion, total of 2 g	-	-	A	A
Aspirin	324 mg	A	A	A	A
Atropine Sulfate	3 prefilled syringes, total of 3 mg	-	-	A	A
Atropine Sulfate	Optional [8 mg multidose vial (1)]	-	-	A	A
Atropine Sulfate Auto-Injector	None	A	A	A	A
Atropine Sulfate and Pralidoxime Chloride (Combined) Auto-Injector	None	A	A	A	A
Calcium Chloride	1 g	-	-	-	A
Calcium Gluconate, 2.5% topical gel	Optional [50 g]	A	A	A	A
Charcoal, Activated (without sorbitol)	Optional [50 g]	A	A	A	A
Cyanokit	Optional [5 g]	-	-	-	A
Dexamethasone	Optional [8 mg]	-	-	A	A
Dextrose	50 g	-	A	A	A
Dextrose, 5% in H2O	Optional [250 mL bag (1)]	A	A	A	A
Diazepam or	20 mg	-	-	A	A
Lorazepam or	8 mg	-	-	A	A
Midazolam	10 mg	-	-	A	A
Diazepam Rectal Delivery Gel	Optional [20 mg]	-	-	A	A
Diltiazem	25 mg	-	-	-	A
or Verapamil HCl	10 mg	_	_	_	A
Diphenhydramine HCl	50 mg	-	-	A	A
Dopamine HCl	400 mg	-	-	-	A
Epinephrine Auto-Injector	Optional [2 adult auto-injectors 2 pediatric auto-injectors]	A	A	A	A

Epinephrine HCl, 1:1,000	2 mg	-	A	A	A
Epinephrine HCl, 1:1,000	Optional [30 mg multidose vial (1)]	-	A	A	A
Epinephrine HCl, 1:10,000	5 mg	-	-	A	A
Etomidate	Optional [40 mg]	-	-	-	A
Furosemide or	Optional [100 mg]	-	-	A	A
Bumetanide	Optional [4 mg]	-	-	A	A
Glucagon	2 mg	-	A	A	A
Glucose, oral	Optional [30 gm]	A	A	A	A
Immunizing Agent	Optional	-	-	A	A
Ipratropium Bromide 0.02% SVN or MDI	5 mL	-	-	A	A
Lactated Ringers	1 L bag (2)	A	A	A	A
Magnesium Sulfate	5 g	-	-	-	A
Methylprednisolone Sodium Succinate	250 mg	-	-	A	A
Morphine Sulfate	20 mg	-	A	A	A
or Fentanyl	200 mcg	-	-	A	A
Nalmefene HCl	Optional [4 mg]	-	A	A	A
Naloxone HCl	10 mg	-	A	A	A
Nitroglycerin Sublingual Spray	1 bottle	*	A	A	A
or Nitroglycerin Tablets	1 bottle	*	A	A	A
Normal Saline	1 L bag (2) Optional [250 mL bag (1)] Optional [50 mL bag (2)]	A	A	A	A
Ondansetron HCl	Optional [4 mg]	-	-	A	A
Oxygen	13 cubic feet	A	A	A	A
Oxytocin	Optional [10 units]	-	-	A	A
Phenylephrine Nasal Spray 0.5%	Optional 1 bottle	-	-	A	A
Pralidoxime Chloride Auto-Injector	None	A	A	A	A
Rocuronium	Optional [100 mg]	-	-	-	A
Sodium Bicarbonate 8.4%	Optional [100 mEq]	-	-	A	A
Succinylcholine	Optional [400 mg]	-	-	-	A
Thiamine HCl	100 mg	-	-	A	A
Tuberculin PPD	Optional [5 mL]	-	-	A	A
Vasopressin	Optional [40 units]	-	-	-	A

Table 5.3. Agents Eligible for Authorization for Administration During a Hazardous Material Incident

KEY:

= Minimum supply required if an EMS provider chooses to make the optional agent available for Paramedic administration

Drug Preparation	Minimum Supply
Activated Charcoal	Optional [as determined by administrative medical director]
Albuterol	Optional [as determined by administrative medical director]
Amyl Nitrite Inhalants	Optional [as determined by administrative medical director]
Atropine	Optional [as determined by administrative medical director]
Atrovent	Optional [as determined by administrative medical director]
Calcium Carbonate	Optional [as determined by administrative medical director]
Calcium Gluconate	Optional [as determined by administrative medical director]
CyanoKit (Hydroxocobalamin)	Optional [as determined by administrative medical director]
Dextrose 50%	Optional [as determined by administrative medical director]
Diazepam	Optional [as determined by administrative medical director]
DuoDote Auto Injector	Optional [as determined by administrative medical director]
Glucagon	Optional [as determined by administrative medical director]
Methylene Blue	Optional [as determined by administrative medical director]
Neosynephrine	Optional [as determined by administrative medical director]
Propanolol	Optional [as determined by administrative medical director]
Protopam Chloride (pralidoxime)	Optional [as determined by administrative medical director]
Pyridoxine	Optional [as determined by administrative medical director]
Sodium Chloride .95	Optional [as determined by administrative medical director]
Sterile Water	Optional [as determined by administrative medical director]
Tetracaine	Optional [as determined by administrative medical director]

Table 5.4. Eligibility for Authorization to Administer and Monitor Transport Agents During Interfacility Transports, by EMCT Classification; Administration Requirements

KEY:

TA = Transport agent for an EMCT with the specified certification

IP = Agent shall be administered by infusion pump

SVN = Agent shall be administered by small volume nebulizer

AGENT	MINIMUM SUPPLY	EMT	AEMT	EMT-I (99)	Paramedic
Amiodarone IP	None	-	-	-	TA
Antibiotics	None	-	-	TA	TA
Blood	None	-	-	-	TA
Calcium Chloride	None	-	-	-	TA
Colloids	None	-	-	TA	TA
Corticosteroids IP	None	-	-	TA	TA
Diltiazem IP	None	-	-	-	TA
Diuretics	None	-	-	TA	TA
Dopamine HCl IP	None	-	-	-	TA
Electrolytes/Crystalloids (Commercial Preparations)	None	TA	TA	TA	TA
Epinephrine IP	None	-	-	TA	TA
Fentanyl IP	None	-	-	TA	TA
Fosphenytoin Na IP or	None	-	-	-	TA
Phenytoin Na IP	None	-	-	-	TA
Glucagon	None	-	-	TA	TA
Glycoprotein IIb/IIIa Inhibitors	None	-	-	-	TA
H2 Blockers	None	-	-	TA	TA
Heparin Na IP	None	-	-	-	TA
Insulin IP	None	-	-	-	TA
Levophed IP	None	-	-	-	TA
Lidocaine IP	None	-	-	TA	TA
Magnesium Sulfate IP	None	-	-	-	TA
Midazolam IP	None	-	-	TA	TA
Morphine IP	None	-	-	TA	TA
Nitroglycerin IV Solution IP	None	-	-	-	TA
Phenobarbital Na IP	None	-	-	-	TA
Potassium Salts IP	None	-	-	-	TA
Procainamide HCl IP	None	-	-	-	TA
Propofol IP	None	-	-	-	TA
Racemic Epinephrine SVN	None	-	-	-	TA
Total Parenteral Nutrition, with or without lipids IP	None	-	_	-	TA

R9-25-503. Testing of Medical Treatments, Procedures, Medications, and Techniques that May Be Administered or Performed by an EMCT

- **A.** Under A.R.S. § 36-2205, the Department may authorize the testing and evaluation of a medical treatment, procedure, technique, practice, medication, or piece of equipment for possible use by an EMCT or an emergency medical services provider.
- **B.** Before authorizing any test and evaluation according to subsection (A), the Department director shall approve the test and evaluation according to subsections (C), (D), (E).
- C. The Department director shall consider approval of a test and evaluation conducted according to subsection (A), only if a written request for testing and evaluation:
 - 1. Is submitted to the Department director from:
 - a. The Department,
 - b. A state agency other than the Department,
 - c. A political subdivision of this state,
 - d. An EMCT,
 - e. An emergency medical services provider,
 - f. An ambulance service, or
 - g. A member of the public; and
 - 2. Includes:
 - a. A cover letter, signed and dated by the individual making the request;
 - b. An identification of the person conducting the test and evaluation;
 - c. An identification of the medical treatment, procedure, technique, practice, medication, or piece of equipment to be tested and evaluated;
 - d. An explanation of the reasons for and the benefits of the test and evaluation;
 - e. The scope of the test and evaluation, including the:
 - i. Projected number of individuals, EMCTs, emergency medical services providers, or ambulance services involved; and
 - ii. Proposed length of time required to complete the test and evaluation; and
 - f. The methodology to be used to evaluate the test's and evaluation's findings.
- **D.** The Department director shall approve a test and evaluation if:
 - 1. The test and evaluation does not pose a threat to the public health, safety, or welfare;

- 2. The test is necessary to evaluate the safest and most current advances in medical treatments, procedures, techniques, practices, medications, or equipment; and
- 3. The medical treatment, procedure, technique, practice, medication, or piece of equipment being tested and evaluated may:
 - a. Reduce or eliminate the use of outdated or obsolete medical treatments, procedures, techniques, practices, medications, or equipment;
 - b. Improve patient care; or
 - c. Benefit the public's health, safety, or welfare.
- **E.** Within 180 days after receiving a written request for testing and evaluation that contains all of the information in subsection (C), the Department director shall send written notification of approval or denial of the test and evaluation to the individual making the request.
- **F.** Upon completion of a test and evaluation authorized by the Department director, the person conducting the test and evaluation shall submit a written report to the Department director that includes:
 - 1. An identification of the test and evaluation;
 - 2. A detailed evaluation of the test; and
 - 3. A recommendation regarding future use of the medical treatment, procedure, technique, practice, medication, or piece of equipment tested and evaluated.

R9-25-504. Protocol for Selection of a Health Care Institution for Transport

- **A.** Except as provided in subsection (B), an EMCT shall transport a patient accessing emergency medical services through a call to 9-1-1 or a similar public emergency dispatch number to:
 - 1. An emergency receiving facility, or
 - 2. A special hospital that is physically connected to an emergency receiving facility.
- **B.** Under A.R.S. §§ 36-2205(D) and 36-2232(F), an EMCT who responds to a call made to 9-1-1 or a similar public emergency dispatch number may refer, advise, or transport the patient at the scene to a health care institution other than a health care institution specified in subsection (A), if the EMCT determines that:
 - 1. The patient's condition does not pose an immediate threat to life or limb, based on on-line medical direction; and
 - 2. The health care institution is the most appropriate for the patient, based on the following:
 - a. The patient's:
 - i. Medical condition,
 - ii. Choice of health care institution, and

- iii. Health care provider;
- b. The location of the health care institution and the emergency medical resources available at the health care institution; and
- A determination by the administrative medical director that the health care institution is able to accept and capable of treating the patient.
- **C.** Before initiating transport of a patient accessing emergency medical services through a call to 9-1-1 or a similar public emergency dispatch number, an EMCT, emergency medical services provider, or ambulance service shall:
 - Notify, by radio or telephone communication, a health care institution that is not an
 emergency receiving facility of the EMCT's intent to transport the patient to the health care
 institution; and
 - 2. Receive confirmation of the willingness of the health care institution to accept the patient.
- **D.** An EMCT transporting a patient accessing emergency medical services through a call to 9-1-1 or a similar public emergency dispatch number to a health care institution that is not an emergency receiving facility shall transfer care of the patient to:
 - 1. A physician,
 - 2. A registered nurse practitioner,
 - 3. A physician assistant, or
 - 4. A registered nurse.
- **E.** An emergency medical services provider or an ambulance service that implements this rule shall make available for Department review and inspection written records relating to the transport of a patient under subsections (B), (C), and (D).

R9-25-505. Protocol for an EMT-I(99) or a Paramedic to Become Eligible to Administer an Immunizing Agent

- **A.** An EMT-I(99) or a Paramedic may be authorized by the EMT-I(99)'s or Paramedic's administrative medical director to administer an immunizing agent if the EMT-I(99) or Paramedic completes training that:
 - 1. Includes:
 - a. Basic immunology and the human immune response;
 - b. Mechanics of immunity, adverse effects, dose, and administration schedule of available immunizing agents;
 - c. Response to an emergency situation, such as an allergic reaction, resulting from the administration of an immunization;

- d. Routes of administration for available immunizing agents;
- e. A description of the individuals to whom an EMCT may administer an immunizing agent; and
- f. The requirements in 9 A.A.C. 6, Article 7 related to:
 - i. Obtaining written consent for administration of an immunizing agent,
 - ii. Providing immunization information and written immunization records, and
 - iii. Recordkeeping and reporting;
- 2. Requires the EMT-I(99) or Paramedic to demonstrate competency in the subject matter listed in subsection (A)(1); and
- 3. Is approved by the EMT-I(99)'s or Paramedic's administrative medical director based upon a determination that the training meets the requirements in subsections (A)(1) and (A)(2).
- **B.** An administrative medical director of an EMT-I(99) or a Paramedic who completes the training required in subsection (A) shall maintain for Department review and inspection written evidence that the EMT-I(99) or Paramedic has completed the training required in subsection (A), including at least:
 - 1. The name of the training,
 - 2. The date the training was completed, and
 - 3. A signed and dated attestation from the administrative medical director that the training is approved.
- C. Before administering an immunizing agent to an individual, an EMT-I(99) or a Paramedic shall:
 - 1. Receive written consent consistent with the requirements in 9 A.A.C. 6, Article 7;
 - 2. Provide immunization information and written immunization records consistent with the requirements in 9 A.A.C. 6, Article 7; and
 - 3. Provide documentary proof of immunity to the individual consistent with the requirements in 9 A.A.C. 6, Article 7.
- **R9-25-507.** Repealed
- Exhibit 1. Repealed
- Exhibit 2. Repealed
- **R9-25-508.** Repealed
- **R9-25-510.** Repealed
- **R9-25-511.** Repealed
- **R9-25-513.** Repealed

ARTICLE 7. AIR AMBULANCE SERVICE LICENSING

R9-25-701. Definitions (Authorized by A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), 36-2212, 36-2213, 36-2214, and 36-2215)

In addition to the definitions in A.R.S. § 36-2201 and R9-25-101, the following definitions apply in this Article and in Article 8 of this Chapter, unless otherwise specified:

- 1. "Air ambulance" means an aircraft that is an "ambulance" as defined in A.R.S. § 36-2201.
- 2. "Air ambulance service" means an ambulance service that operates an air ambulance.
- 3. "Base location" means a physical location at which a person houses an air ambulance or equipment and supplies used for the operation of an air ambulance service or provides administrative or other support for the operation of an air ambulance service.
- 4. "Business organization" means an entity such as an association, cooperative, corporation, limited liability company, or partnership.
- 5. "Call number" means a unique identifier used by an air ambulance service to identify a specific mission.
- 6. "CAMTS" means the Commission on Accreditation of Medical Transport Systems, formerly known as the Commission on Accreditation of Air Medical Services.
- 7. "Change of ownership" means a transfer of controlling legal or controlling equitable interest and authority in an air ambulance service.
- 8. "Critical care" means pertaining to a patient whose condition requires care commensurate with the scope of practice of a physician or registered nurse.
- 9. "Estimated time of arrival" means the number of minutes from the time that an air ambulance service agrees to perform a mission to the time that an air ambulance arrives at the scene.
- 10. "Holds itself out" means advertises through print media, broadcast media, the Internet, or other means.
- 11. "Interfacility" means between two health care institutions.
- 12. "Licensed respiratory care practitioner" has the same meaning as in A.R.S. § 32-3501.
- 13. "Maternal" means pertaining to a woman whose pregnancy is considered by a physician to be high risk, who is in need of critical care services related to the pregnancy, and who is being transferred to a medical facility that has the specialized perinatal and neonatal resources and capabilities necessary to provide an appropriate level of care.
- 14. "Medical team" means personnel whose main function on a mission is the medical care of the patient being transported.

- 15. "Mission" means a transport job that involves an air ambulance service's sending an air ambulance to a patient's location to provide transport of the patient from one location to another, whether or not transport of the patient is actually provided.
- 16. "Neonatal" means pertaining to an infant who is 28 days of age or younger and who is in need of critical care services.
- 17. "On-line medical guidance" means emergency medical services direction or information provided to a non-EMCT medical team member by a physician through two-way voice communication.
- 18. "Operate an air ambulance in this state" means:
 - a. Transporting a patient via air ambulance from a location in this state to another location in this state,
 - b. Operating an air ambulance from a base location in this state, or
 - c. Transporting a patient via air ambulance from a location in this state to a location outside of this state more than once per month.
- 19. "Owner" means a person that holds a controlling legal or equitable interest and authority in a business enterprise.
- 20. "Patient reference number" means a unique identifier used by an air ambulance service to identify an individual patient.
- 21. "Personnel" means individuals who work for an air ambulance service, with or without compensation, whether as employees, contractors, or volunteers.
- 22. "Premises" means each physical location of air ambulance service operations and includes all equipment and records at each location.
- 23. "Proficiency in neonatal resuscitation" means current and valid certification in neonatal resuscitation obtained through completing a nationally recognized training program such as the American Academy of Pediatrics and American Heart Association NRP: Neonatal Resuscitation Program.
- 24. "Publicizes" means makes a good faith effort to communicate information to the general public through print media, broadcast media, the Internet, or other means.
- 25. "Regularly" means at recurring, fixed, or uniform intervals.
- 26. "Rescue situation" means an incident in which:
 - a. An individual's life, limb, or health is imminently threatened; and
 - b. The threat may be reduced or eliminated by removing the individual from the situation and providing medical services.

- 27. "Subspecialization" means:
 - a. For a physician board certified by a specialty board approved by the American
 Board of Medical Specialties, subspecialty certification;
 - For a physician board certified by a specialty board approved by the American
 Osteopathic Association, attainment of either a certification of special qualifications or a certification of added qualifications; and
 - c. For a physician who has completed an accredited residency program, completion of at least one year of training pertaining to the specified area of medicine.
- 28. "Two-way voice communication" means that two individuals are able to convey information back and forth to each other orally, either directly or through a third-party relay.
- 29. "Valid" means that a license, certification, or other form of authorization is in full force and effect and not suspended.
- 30. "Working day" means the period between 8:00 a.m. and 5:00 p.m. on a Monday, Tuesday, Wednesday, Thursday, or Friday that is not a state holiday.

R9-25-704. Initial Application and Licensing Process (Authorized by A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), 36-2213, 36-2214, and 36-2215)

- **A.** An applicant for an initial license shall submit an application to the Department, in a Department-provided format, including:
 - 1. The applicant's name; mailing address; e-mail address; fax number, if any; and telephone number:
 - 2. Each business name to be used for the air ambulance service;
 - 3. The physical and mailing addresses to be used for the air ambulance service, if different from the applicant's mailing address;
 - 4. The name, title, address, e-mail address, and telephone number of the applicant's statutory agent or the individual designated by the applicant to accept service of process and subpoenas for the air ambulance service;
 - 5. If the applicant is a business organization:
 - a. The type of business organization;
 - b. The following information about the individual who is to serve as the primary contact for information regarding the application:
 - i. Name;
 - ii. Address;
 - iii. E-mail address;

- iv. Telephone number; and
- v. Fax number, if any;
- c. The name, title, and address of each officer and board member or trustee; and
- d. A copy of the business organization's articles of incorporation, articles of organization, or partnership or joint venture documents, if applicable;
- 6. The name and Arizona license number for the physician who is to serve as the administrative medical director for the air ambulance service;
- 7. The intended hours of operation for the air ambulance service;
- 8. The intended schedule of rates for the air ambulance service;
- 9. Which of the following mission types is to be provided:
 - a. Emergency medical services transports,
 - b. Interfacility transports,
 - c. Interfacility maternal transports, and
 - d. Interfacility neonatal transports;
- 10. The signature of the applicant and the date signed;
- 11. A copy of a current and valid OST Form 4507 showing the effective date of Federal Aviation Administration registration and exemption under 14 CFR 298;
- 12. A copy of the following issued by the Federal Aviation Administration:
 - A current and valid Air Carrier Certificate authorizing common carriage under 14
 CFR 135;
 - If intending to operate a rotor-wing air ambulance, current and valid Operations
 Specifications authorizing aeromedical helicopter operations;
 - c. If intending to operate a fixed-wing air ambulance, current and valid Operations

 Specifications authorizing airplane air ambulance operations;
 - A current and valid Certificate of Registration for each air ambulance to be operated;
 and
 - e. A current and valid Airworthiness Certificate for each air ambulance to be operated;
- 13. For each air ambulance to be operated for the air ambulance service:
 - a. An application for registration that includes all of the information and items required under R9-25-802(C); and
 - b. A copy of a current and valid registration, issued by the Arizona Department of Transportation under A.R.S. Title 28, Chapter 25, Article 4;
- 14. A certificate of insurance establishing that the applicant has current and valid liability

- insurance coverage for the air ambulance service as required under R9-25-703(B)(5);
- 15. A certificate of insurance establishing that the applicant has current and valid malpractice insurance coverage for the air ambulance service as required under R9-25-703(B)(6);
- 16. If the applicant holds current CAMTS accreditation for the air ambulance service, a copy of the current CAMTS accreditation report;
- 17. Attestation that the applicant will comply with all applicable requirements in this Article, Articles 2 and 8 of this Chapter, and A.R.S. Title 36, Chapter 21.1; and
- 18. Attestation that the information provided in the application, including the information in the documents accompanying the application form, is accurate and complete.
- B. Unless an applicant establishes that it holds current CAMTS accreditation as provided in subsection (A)(16) or is applying for an initial license because of a change of ownership as described in R9-25-706(D), the Department shall conduct an inspection, as required under A.R.S. § 36-2214(B) and R9-25-708, during the substantive review period for the application for an initial license.
- **C.** The Department shall review and approve or deny each application as described in Article 12 of this Chapter.
- **D.** The Department may deny an application if an applicant:
 - 1. Fails to meet the eligibility requirements of R9-25-703(B);
 - 2. Fails or has failed to comply with any provision in A.R.S. Title 36, Chapter 21.1;
 - 3. Fails or has failed to comply with any provision in this Article or Article 2 or 8 of this Chapter;
 - 4. Knowingly or negligently provides false documentation or false or misleading information to the Department; or
 - 5. Fails to submit to the Department documents or information requested under R9-25-1201(B)(1) or (C)(3), as required under R9-25-1201(D), and requests a denial as permitted under R9-25-1201(E).

R9-25-711. Minimum Standards for Mission Staffing (Authorized by A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), and 36-2213)

- **A.** An air ambulance service shall ensure that, except as provided in subsection (B):
 - 1. Each critical care mission is staffed by a medical team of at least two individuals with at least the following qualifications:
 - a. A physician or registered nurse, and
 - b. A Paramedic or licensed respiratory care practitioner;

- 2. Each advanced life support mission is staffed by a medical team of at least two individuals with at least the following qualifications:
 - a. A Paramedic, and
 - b. Another Paramedic or a licensed respiratory care practitioner; and
- 3. Each basic life support mission is staffed by a medical team of at least two individuals, each of whom has at least the qualifications of an EMT.
- **B.** If the pilot on a mission using a rotor-wing air ambulance determines, in accordance with the air ambulance service's written guidelines required under subsection (C), that the weight of a second medical team member could potentially compromise the performance of the rotor-wing air ambulance and the safety of the mission, and the use of a single-member medical team is consistent with the on-line medical direction or on-line medical guidance received as required under subsection (C), an air ambulance service may use a single-member medical team consisting of an individual with at least the following qualification:
 - 1. For a critical care mission, a physician or registered nurse;
 - 2. For an advanced life support mission, a Paramedic; and
 - 3. For a basic life support mission, an EMT.
- **C.** An air ambulance service shall ensure that:
 - Each air ambulance service rotor-wing pilot is provided written guidelines to use in
 determining when the weight of a second medical team member could potentially
 compromise the performance of a rotor-wing air ambulance and the safety of a mission,
 including the conditions of density altitude and weight that warrant the use of a singlemember medical team;
 - 2. The following are done, without delay, after an air ambulance service rotor-wing pilot determines that the weight of a second medical team member could potentially compromise the performance of a rotor-wing air ambulance and the safety of a mission:
 - a. The pilot communicates that information to the medical team,
 - b. The medical team obtains on-line medical direction or on-line medical guidance regarding the use of a single-member medical team, and
 - The medical team proceeds in compliance with the on-line medical direction or online medical guidance;
 - 3. A single-member medical team has the knowledge and medical equipment to perform oneperson cardiopulmonary resuscitation;

- 4. The air ambulance service has a quality management process to review regularly the patient care provided by each single-member medical team, including consideration of each patient's status upon arrival at the destination health care institution; and
- 5. A single-member medical team is used only when no other transport team is available that would be more appropriate for delivering the level of care that a patient requires.
- D. An air ambulance service that uses a single-member medical team as authorized under subsection (B) shall create a record within five working days after the mission, including the information required under R9-25-710(A)(8), the name and qualifications of the individual comprising the single-member medical team, and the justification for using a single-member medical team.
- **E.** An air ambulance service shall create and maintain for each personnel member a file containing documentation of the personnel member's qualifications, including, as applicable, licenses, certifications, and training records.

R9-25-715. Minimum Standards for Medical Control (Authorized by A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), and 36-2213)

- **A.** An air ambulance service shall ensure that:
 - 1. The air ambulance service has a medical director who:
 - a. Meets the qualifications in subsection (B);
 - b. Supervises and evaluates the quality of medical care provided by medical team members;
 - c. Ensures the competency and current qualifications of all medical team members;
 - d. Ensures that each EMCT medical team member receives medical direction as required under Article 2 of this Chapter;
 - e. Ensures that each non-EMCT medical team member receives medical guidance through:
 - i. Written treatment protocols; and
 - ii. On-line medical guidance provided by:
 - (1) The medical director;
 - (2) Another physician designated by the medical director; or
 - (3) If the medical guidance needed exceeds the medical director's area of expertise, a consulting specialty physician; and
 - f. Approves, ensures implementation of, and annually reviews treatment protocols to be followed by medical team members;
 - 2. The air ambulance service has a quality management program through which:

- a. Data related to patient care and transport services provided and patient status upon arrival at destination are:
 - i. Collected continuously; and
 - ii. Examined regularly, on at least a quarterly basis; and
- b. Appropriate corrective action is taken when concerns are identified; and
- 3. The air ambulance service documents each concern identified through the quality management program and the corrective action taken to resolve each concern and provides this information, along with the supporting data, to the Department upon request.

B. A medical director shall:

- 1. Be a physician, as defined in A.R.S. § 36-2201; and
- 2. Comply with one of the following:
 - a. If the air ambulance service provides emergency medical services transports, meet the qualifications of R9-25-201(A)(1); or
 - b. If the air ambulance service does not provide emergency medical services transports, meet the qualifications of R9-25-201(A)(1) or one of the following:
 - If the air ambulance service provides only interfacility maternal missions, have board certification or have completed an accredited residency program in one of the following specialty areas:
 - (1) Obstetrics and gynecology, with subspecialization in critical care medicine or maternal and fetal medicine; or
 - (2) Pediatrics, with subspecialization in neonatal-perinatal medicine;
 - ii. If the air ambulance service provides only interfacility neonatal missions, have board certification or have completed an accredited residency program in one of the following specialty areas:
 - (1) Obstetrics and gynecology, with subspecialization in maternal and fetal medicine; or
 - (2) Pediatrics, with subspecialization in neonatal-perinatal medicine, neonatology, pediatric critical care medicine, or pediatric intensive care; or
 - iii. If neither subsection (B)(2)(b)(i) or (ii) applies, have board certification or have completed an accredited residency program in one of the following specialty areas:
 - (1) Anesthesiology, with subspecialization in critical care medicine;

- (2) Internal medicine, with subspecialization in critical care medicine;
- (3) If the air ambulance service transports only pediatric patients, pediatrics, with subspecialization in pediatric critical care medicine or pediatric emergency medicine; or
- (4) If the air ambulance service transports only surgical patients, surgery, with subspecialization in surgical critical care.

ARTICLE 8. AIR AMBULANCE REGISTRATION

Table 8.1. Minimum Equipment and Supplies Required on Air Ambulances, By Mission Level and Aircraft Type (Authorized by A.R.S. §§ 36-2202(A)(3), (4), and (5); 36-2209(A)(2); and 36-2212)

X = Required

ALS = Advanced Life Support Mission

BLS = Basic Life Support Mission

CC = Critical Care Mission

FW = Fixed-Wing Aircraft

RW = Rotor-Wing Aircraft

MINIMUM EQUIPMENT AND SUPPLIES		FW	RW	BLS	ALS	CC
. V	entilation and Airway Equipment					
1.	Portable and fixed suction apparatus, with wide-bore tubing, rigid pharyngeal curved suction tip, tonsillar and flexible suction catheters, 5F-14F	X	X	X	X	X
2.	Portable and fixed oxygen equipment, with variable flow regulators	X	X	X	X	X
3.	Oxygen administration equipment, including tubing; non-rebreathing masks (adult and pediatric sizes); and nasal cannulas (adult and pediatric sizes)	X	X	X	X	X
4.	Bag-valve mask, with hand-operated, self-reexpanding bag (adult size), with oxygen reservoir/accumulator; mask (adult, pediatric, infant, and neonate sizes); and valve	X	X	X	X	X
5.	Airways, oropharyngeal (adult, pediatric, and infant sizes)	X	X	X	X	X
6.	Laryngoscope handle with extra batteries and bulbs, adult and pediatric	X	X	-	X	X
7.	Laryngoscope blades, sizes 0, 1, and 2, straight; sizes 3 and 4, straight and curved	X	X	-	X	X
8.	Endotracheal tubes, sizes 2.5-5.0 mm cuffed or uncuffed and 6.0-8.0 mm cuffed	X	X	-	X	X
9.	Meconium aspirator	X	X	-	X	X
10	0. 10 mL straight-tip syringes	X	X	-	X	X

The official version of these rules is published on page 4032 in the <u>Arizona Administrative Register.</u>

	11.	Stylettes for Endotracheal tubes, adult and pediatric	X	X	-	X	X
	12.	Magill forceps, adult and pediatric	X	X	-	X	X
	13.	Nasogastric tubes, sizes 5F and 8F, Salem sump sizes 14F and 18F	X	X	-	X	X
	14.	End-tidal CO ₂ detectors, colorimetric or quantitative	X	X	-	X	X
	15.	Portable automatic ventilator with positive end expiratory pressure	X	X	-	X	X
В.	Mo	nitoring and Defibrillation					
	1.	Automatic external defibrillator	X	X	X	-	-
	2.	Portable, battery-operated monitor/defibrillator, with tape write-out/recorder, defibrillator pads, adult and pediatric paddles or handsfree patches, ECG leads, adult and pediatric chest attachment electrodes, and capability to provide electrical discharge below 25 watt-seconds	X	X	-	X	X
	3.	Transcutaneous cardiac pacemaker, either stand-alone unit or integrated into monitor/defibrillator	X	X	-	X	X
C.	Imr	mobilization Devices					
	1.	Cervical collars, rigid, adjustable or in an assortment of adult and pediatric sizes	-	X	X	X	X
	2.	Head immobilization device, either firm padding or another commercial device	-	X	X	X	X
	3.	Lower extremity (femur) traction device, including lower extremity, limb support slings, padded ankle hitch, padded pelvic support, and traction strap	-	X	X	X	X
	4.	Upper and lower extremity immobilization splints	-	X	X	X	X
D.	Ban	ndages					
	1.	Burn pack, including standard package, clean burn sheets	X	X	X	X	X
	2.	Dressings, including sterile multi-trauma dressings (various large and small sizes); abdominal pads, 10" x 12" or larger; and 4" x 4" gauze sponges	X	X	X	X	X
	3.	Gauze rolls, sterile (4" or larger)	X	X	X	X	X

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	4.	Elastic bandages, non-sterile (4" or larger)	X	X	X	X	X
	5.	Occlusive dressing, sterile, 3" x 8" or larger	X	X	X	X	X
	6.	Adhesive tape, including various sizes (1" or larger) hypoallergenic and various sizes (1" or larger) adhesive	X	X	X	X	X
Е.	Obs	stetrical					
	1.	Obstetrical kit (separate sterile kit), including towels, 4" x 4" dressing, umbilical tape, sterile scissors or other cutting utensil, bulb suction, clamps for cord, sterile gloves, at least 4 blankets, and a head cover	X	X	X	X	X
	2.	An alternate portable patient heat source or 2 heat packs	X	X	X	X	X
F.	Mis	cellaneous					
	1.	Sphygmomanometer (infant, pediatric, and adult regular and large sizes)	X	X	X	X	X
	2.	Stethoscope	X	X	X	X	X
	3.	Pediatric equipment sizing reference guide	X	X	X	X	X
	4.	Thermometer with low temperature capability	X	X	X	X	X
	5.	Heavy bandage or paramedic scissors for cutting clothing, belts, and boots	X	X	X	X	X
	6.	Cold packs	X	X	X	X	X
	7.	Flashlight (1) with extra batteries	X	X	X	X	X
	8.	Blankets	X	X	X	X	X
	9.	Sheets	X	X	X	X	X
	10.	Disposable emesis bags or basins	X	X	X	X	X
	11.	Disposable bedpan	X	X	X	X	X
	12.	Disposable urinal	X	X	X	X	X
	13.	Properly secured patient transport system	X	X	X	X	X
	14.	Lubricating jelly (water soluble)	X	X	X	X	X
	15.	Small volume nebulizer	X	X	-	X	X

The official version of these rules is published on page 4032 in the <u>Arizona Administrative Register.</u>

17. Pulse oximeter with pediatric and adult probes X X X X X X X X 18. Automatic blood pressure monitor X X X X X X	X X
18. Automatic blood pressure monitor X X X X	
	X
G. Infection Control (Latex-free equipment shall be available)	X
1. Eye protection (full peripheral glasses or goggles, face shield) X X X X	
2. Masks X X X	X
3. Gloves, non-sterile X X X X	X
4. Jumpsuits or gowns X X X X	X
5. Shoe covers X X X	X
6. Disinfectant hand wash, commercial antimicrobial (towelette, spray, or X X X X liquid)	X
7. Disinfectant solution for cleaning equipment X X X X	X
8. Standard sharps containers X X X X	X
9. Disposable red trash bags X X X	X
10. High-efficiency particulate air mask X X X	X
H. Injury Prevention Equipment	
1. Appropriate restraints (such as seat belts) for patient, personnel, and X X X X family members	X
2. Child safety restraints X X X X	X
3. Safety vest or other garment with reflective material for each personnel - X X X member	X
4. Fire extinguisher X X X X	X
5. Hazardous material reference guide X X X X	X
6. Hearing protection for patient and personnel X X X X	X
I. Vascular Access	
1. Intravenous administration equipment, with fluid in bags X X - X	X

	2.	Antiseptic solution (alcohol wipes and povidone-iodine wipes)	X	X	-	X	X
	3.	Intravenous pole or roof hook	X	X	-	X	X
	4.	Intravenous catheters 14G-24G	X	X	-	X	X
	5.	Intraosseous needles	X	X	-	X	X
	6.	Venous tourniquet	X	X	-	X	X
	7.	One of each of the following types of intravenous solution administration sets: a. A set with blood tubing, b. A set capable of delivering 60 drops per cc, and c. A set capable of delivering 10 or 15 drops per cc	X	X	-	X	X
	8.	Intravenous arm boards, adult and pediatric	X	X	-	X	X
	9.	IV pump or pumps (minimum of 3 infusion lines)	X	X	-	X	X
	10.	IV pressure bag	X	X	-	X	X
J.	Med	dications					
	1.	Agents required in Tables 5.2 and, if applicable, 5.3 for the EMCT classification	X	X	X	X	X

ARTICLE 9. GROUND AMBULANCE CERTIFICATE OF NECESSITY

R9-25-901. Definitions (Authorized by A.R.S. § 36-2202 (A))

In addition to the definitions in A.R.S. § 36-2201 and R9-25-101, the following definitions apply in Articles 9, 10, 11, and 12 unless otherwise specified:

- 1. "Adjustment" means a modification, correction, or alteration to a rate or charge.
- 2. "ALS base rate" means the monetary amount assessed to a patient according to A.R.S. § 36-2239(F).
- 3. "Ambulance Revenue and Cost Report" means Exhibit A or Exhibit B, which records and reports the financial activities of an applicant or a certificate holder.
- 4. "Application packet" means the fee, documents, forms, and additional information the Department requires to be submitted by an applicant or on an applicant's behalf.

- 5. "Back-up agreement" means a written arrangement between a certificate holder and a neighboring certificate holder for temporary coverage during limited times when the neighboring certificate holder's ambulances are not available for service in its service area.
- 6. "BLS base rate" means the monetary amount assessed to a patient according to A.R.S. § 36-2239(G).
- 7. "Certificate holder" means a person to whom the Department issues a certificate of necessity.
- 8. "Certificate of registration" means an authorization issued by the Department to a certificate holder to operate a ground ambulance vehicle.
- 9. "Change of ownership" means:
 - a. In the case of ownership by a sole proprietor, 20% or more interest or a beneficial interest is sold or transferred;
 - b. In the case of ownership by a partnership or a private corporation, 20% or more of the stock, interest, or beneficial interest is sold or transferred; or
 - c. The controlling influence changes to the extent that the management and control of the ground ambulance service is significantly altered.
- 10. "Charge" means the monetary amount assessed to a patient for disposable supplies, medical supplies, medication, and oxygen-related costs.
- 11. "Chassis" means the part of a ground ambulance vehicle consisting of all base components, including front and rear suspension, exhaust system, brakes, engine, engine hood or cover, transmission, front and rear axles, front fenders, drive train and shaft, fuel system, engine air intake and filter, accelerator pedal, steering wheel, tires, heating and cooling system, battery, and operating controls and instruments.
- 12. "Convalescent transport" means a scheduled transport other than an interfacility transport.
- 13. "Dispatch" means the direction to a ground ambulance service or vehicle to respond to a call for EMS or transport.
- 14. "Driver's compartment" means the part of a ground ambulance vehicle that contains the controls and instruments for operation of the ground ambulance vehicle.
- 15. "Financial statements" means an applicant's balance sheet, annual income statement, and annual cash flow statement.
- 16. "Frame" means the structural foundation on which a ground ambulance vehicle chassis is constructed.

- 17. "General public rate" means the monetary amount assessed to a patient by a ground ambulance service for ALS, BLS, mileage, standby waiting, or according to a subscription service contract.
- 18. "Generally accepted accounting principles" means the conventions, and rules and procedures for accounting, including broad and specific guidelines, established by the Financial Accounting Standards Board.
- 19. "Goodwill" means the difference between the purchase price of a ground ambulance service and the fair market value of the ground ambulance service's identifiable net assets.
- 20. "Gross revenue" means:
 - a. The sum of revenues reported in the Ambulance Revenue and Cost Report Exhibit A, page 2, lines 1, 9, and 20; or
 - b. The sum of revenues reported in the Ambulance Revenue and Cost Report Exhibit B, page 3, lines 1, 24, 25, and 26.
- 21. "Ground ambulance service" means an ambulance service that operates on land.
- 22. "Ground ambulance service contract" means a written agreement between a certificate holder and a person for the provision of ground ambulance service.
- 23. "Ground ambulance vehicle" means a motor vehicle, defined in A.R.S. § 28-101, specifically designed to transport ambulance attendants and patients on land.
- 24. "Indirect costs" means the cost of providing ground ambulance service that does not include the costs of equipment.
- 25. "Interfacility transport" means a scheduled transport between two health care institutions.
- 26. "Level of service" means ALS or BLS ground ambulance service, including the type of ambulance attendants used by the ground ambulance service.
- 27. "Major defect" means a condition that exists on a ground ambulance vehicle that requires the Department or the certificate holder to place the ground ambulance vehicle out-of-service.
- 28. "Mileage rate" means the monetary amount assessed to a patient for each mile traveled from the point of patient pick-up to the patient's destination point.
- 29. "Minor defect" means a condition that exists on a ground ambulance vehicle that is not a major defect.
- 30. "Needs assessment" means a study or statistical analysis that examines the need for ground ambulance service within a service area or proposed service area that takes into account the current or proposed service area's medical, fire, and police services.
- 31. "Out-of-service" means a ground ambulance vehicle cannot be operated to transport patients.

- 32. "Patient compartment" means the ground ambulance vehicle body part that holds a patient.
- 33. "Public necessity" means an identified population needs or requires all or part of the services of a ground ambulance service.
- 34. "Response code" means the priority assigned to a request for immediate dispatch by a ground ambulance service on the basis of the information available to the certificate holder or the certificate holder's dispatch authority.
- 35. "Response time" means the difference between the time a certificate holder is notified that a need exists for immediate dispatch and the time the certificate holder's first ground ambulance vehicle arrives at the scene. Response time does not include the time required to identify the patient's need, the scene, and the resources necessary to meet the patient's need.
- 36. "Response-time tolerance" means the percentage of actual response times for a response code and scene locality that are compliant with the response time approved by the Department for the response code and scene locality, for any 12-month period.
- 37. "Rural area" means a geographic region with a population of less than 40,000 residents that is not a suburban area.
- 38. "Scene locality" means an urban, suburban, rural, or wilderness area.
- 39. "Scheduled transport" means to convey a patient at a prearranged time by a ground ambulance vehicle for which an immediate dispatch and response is not necessary.
- 40. "Service area" means the geographical boundary designated in a certificate of necessity using the criteria in A.R.S. § 36-2233(E).
- 41. "Settlement" means the difference between the monetary amount Medicare establishes or AHCCCS pays as an allowable rate and the general public rate a ground ambulance service assesses a patient.
- 42. "Standby waiting rate" means the monetary amount assessed to a patient by a certificate holder when a ground ambulance vehicle is required to wait in excess of 15 minutes to load or unload the patient, unless the excess delay is caused by the ground ambulance vehicle or the ambulance attendants on the ground ambulance vehicle.
- 43. "Subscription service" means the provision of EMS or transport by a certificate holder to a group of individuals within the certificate holder's service area and the allocation of annual costs among the group of individuals.
- 44. "Subscription service contract" means a written agreement for subscription service.
- 45. "Subscription service rate" means the monetary amount assessed to a person under a subscription service contract.

- 46. "Substandard performance" means a certificate holder's:
 - a. Noncompliance with A.R.S. Title 36, Chapter 21.1, Articles 1 and 2, or 9 A.A.C. 25, or the terms of the certificate holder's certificate of necessity, including all decisions and orders issued by the Director to the certificate holder;
 - b. Failure to ensure that an ambulance attendant complies with A.R.S. Title 36, Chapter 21.1, Articles 1 and 2, or 9 A.A.C. 25, for the level of ground ambulance service provided by the certificate holder; or
 - c. Failure to meet the requirements in 9 A.A.C. 25, Article 10.
- 47. "Suburban area" means a geographic region within a 10-mile radius of an urban area that has a population density equal to or greater than 1,000 residents per square mile.
- 48. "Third-party payor" means a person, other than a patient, who is financially responsible for the payment of a patient's assessed general public rates and charges for EMS or transport provided to the patient by a ground ambulance service.
- 49. "Transfer" means:
 - a. A change of ownership or type of business entity; or
 - b. To move a patient from a ground ambulance vehicle to an air ambulance.
- 50. "Transport" means the conveyance of one or more patients in a ground ambulance vehicle from the point of patient pick-up to the patient's initial destination.
- 51. "Type of ground ambulance service" means an interfacility transport, a convalescent transport, or a transport that requires an immediate response.
- 52. "Urban area" means a geographic region delineated as an urbanized area by the United States Department of Commerce, Bureau of the Census.
- 53. "Wilderness area" means a geographic region that has a population density of less than one resident per square mile.
- R9-25-902. Application for an Initial Certificate of Necessity; Provision of ALS Services; Transfer of a Certificate of Necessity (Authorized by A.R.S. §§ 36-2204, 36-2232, 36-2233(B), 36-2236(A) and (B), 36-2240)
- **A.** An applicant for an initial certificate of necessity shall submit to the Department an application packet, in a Department-provided format, that includes:
 - 1. An application form that contains:
 - a. The legal business or corporate name, address, telephone number, and facsimile number of the ground ambulance service;
 - b. The name, title, address, e-mail address, and telephone number of the following:

The official version of these rules is published on page 4032 in the Arizona Administrative Register.

- i. Each applicant and individual responsible for managing the ground ambulance service;
- ii. The business representative or designated manager;
- iii. The individual to contact to access the ground ambulance service's records required in R9-25-910; and
- iv. The statutory agent for the ground ambulance service, if applicable;
- c. The name, address, and telephone number of the base hospital or centralized medical direction communications center for the ground ambulance service;
- d. The address and telephone number of the ground ambulance service's dispatch center:
- e. The address and telephone number of each suboperation station located within the proposed service area;
- f. Whether the ground ambulance service is a corporation, partnership, sole proprietorship, limited liability corporation, or other;
- g. Whether the business entity is proprietary, non-profit, or governmental;
- h. A description of the communication equipment to be used in each ground ambulance vehicle and suboperation station;
- i. The make and year of each ground ambulance vehicle to be used by the ground ambulance service;
- j. The number of ambulance attendants and the type of licensure, certification, or registration for each attendant;
- k. The proposed hours of operation for the ground ambulance service;
- 1. The type of ground ambulance service;
- m. The level of ground ambulance service;
- n. Acknowledgment that the applicant:
 - i. Is requesting to operate ground ambulance vehicles and a ground ambulance service in this state;
 - ii. Has received a copy of 9 A.A.C. 25 and A.R.S. Title 36, Chapter 21.1; and
 - iii. Will comply with the Department's statutes and rules in any matter relating to or affecting the ground ambulance service;
- A statement that any information or documents submitted to the Department are true and correct; and

- The signature of the applicant or the applicant's designated representative and the date signed;
- 2. The following information:
 - a. Where the ground ambulance vehicles in subsection (A)(1)(i) are located within the applicant's proposed service area;
 - b. A statement of the proposed general public rates;
 - c. A statement of the proposed charges;
 - d. The applicant's proposed response times, response codes, and response-time tolerances for each scene locality in the proposed service area, based on the following:
 - i. The population demographics within the proposed service area;
 - ii. The square miles within the proposed service area;
 - iii. The medical needs of the population within the proposed service area;
 - iv. The number of anticipated requests for each type and level of ground ambulance service in the proposed service area;
 - v. The available routes of travel within the proposed service area;
 - vi. The geographic features and environmental conditions within the proposed service area; and
 - vii. The available medical and emergency medical resources within the proposed service area;
 - e. A plan to provide temporary ground ambulance service to the proposed service area for a limited time when the applicant is unable to provide ground ambulance service to the proposed service area;
 - f. Whether a ground ambulance service currently operates in all or part of the proposed service area and if so, where; and
 - g. Whether an applicant or a designated manager:
 - Has ever been convicted of a felony or a misdemeanor involving moral turpitude,
 - ii. Has ever had a license or certificate of necessity for a ground ambulance service suspended or revoked by any state or political subdivision, or
 - iii. Has ever operated a ground ambulance service without the required certification or licensure in this or any other state;
- 3. The following documents:

- a. A description of the proposed service area by any method specified in A.R.S. § 36-2233(E) and a map that illustrates the proposed service area;
- b. A projected Ambulance Revenue and Cost Report;
- c. The financing agreement for all capital acquisitions exceeding \$5,000;
- d. The source and amount of funding for cash flow from the date the ground ambulance service commences operation until the date cash flow covers monthly expenses;
- e. Any proposed ground ambulance service contract under A.R.S. §§ 36-2232(A)(1) and 36-2234(K);
- f. The information and documents specified in R9-25-1101, if the applicant is requesting to establish general public rates;
- g. Any subscription service contract under A.R.S. §§ 36-2232(A)(1) and 36-2237(B);
- h. A certificate of insurance or documentation of self-insurance required in A.R.S. § 36-2237(A) and R9-25-909;
- i. A surety bond if required under A.R.S. § 36-2237(B); and
- j. The applicant's and designated manager's resume or other description of experience and qualification to operate a ground ambulance service; and
- 4. Any documents, exhibits, or statements that may assist the Director in evaluating the application or any other information or documents needed by the Director to clarify incomplete or ambiguous information or documents.
- **B.** Before an applicant provides ALS, the applicant shall submit to the Department the application packet required in subsection (A) and the following:
 - 1. A current written contract for ALS medical direction; and
 - 2. Proof of professional liability insurance for ALS personnel required in R9-25-909(A)(1)(b).
- **C.** When requesting a transfer of a certificate of necessity:
 - 1. The person wanting to transfer the certificate of necessity shall submit a letter to the Department that contains:
 - a. A request that the certificate of necessity be transferred, and
 - b. The name of the person to whom the certificate of necessity is to be transferred; and
 - 2. The person identified in subsection (C)(1)(b) shall submit:
 - a. The application packet in subsection (A); and
 - b. The information in subsection (B), if ALS is provided.
- **D.** An applicant shall submit the following fees:

The official version of these rules is published on page 4032 in the Arizona Administrative Register.

- 1. \$100 application filing fee for an initial certificate of necessity, or
- 2. \$50 application filing fee for a transfer of a certificate of necessity.
- **E.** The Department shall approve or deny an application under this Section according to 9 A.A.C. 25, Article 12.

Exhibit 9A. Ambulance Revenue and Cost Report, General Information and Certification

Legal Name of Company:	CON No						
D.B.A. (Doing Business As):	Business Phone: ()						
Financial Records Address:	City: Zip	Code:					
Mailing Address (If Different):	City: Zip City: Zip	Code:					
Owner/Manager:							
Report Contact Person:	Phone: ()	Ext					
	To:						
Method of Valuing Inventory: LIFO: ()	FIFO: () Other (Explain):						
Please attach a list of all affiliated on	rganizations (parents/subsidiaries) that exhibit at le	ast 5% ownership/ vesting.					
	$C\ E\ R\ T\ I\ F\ I\ C\ A\ T\ I\ O\ N$						
	I hereby certify that I have diected the preparation of the Arizona Ambulance Revenue and Cost Report for the facility listed above in accdunce with the eporting equirements of the Sate of Arizona.						
I have read this report and hereby certify that the information quided is true and corect to the best of my knowledge.							
This report has been prepared using the accrual basis of accounting.							
Authorized Signatur							
Title:	Date:						

Mail to:

Department of Health Services Bureau of Emergency Medical Services and Trauma System Certificate of Necessity and Rates Section 150 North 18th Avenue, Suite 540, Phoenix, AZ 85007

Telephone: (602) 364-3150; Fax: (602) 364-3567

Revised December 2013

AMB	ULANCE SERVICE ENTITY:				
FOR '	THE PERIOD FROM:		TO:		
ST.	ATISTICAL SUPPORT DATA				
Line <u>No.</u> D	<u>ESCRIPTION</u>	(1) SUBSCRIPTION SERVICE TRANSPORTS	(2)** TRANSPORTS UNDER CONTRACT	(3) TRANSPORTS NOT UNDER CONTRACT	(4) TOTALS
01 Nu	umber of ALS Billable Runs				
02 Nu	umber of BLS Billable Runs				
03 Nu	umber of Loaded Billable Miles				
04 W	aiting Time (Hr. & Min.)				
05 To	otal Canceled (Non-Billable) Runs				Number
V	olunteer Services: (OPTIONAL)				Donated Hours
06 Pa	ramedic, EMT-I(99), and AEMT				
07 En	nergency Medical Technician (EMT)				
08 Ot	her Ambulance Attendants				
09 To	otal Volunteer Hours				

^{**}This column reports only those runs where a contracted discount rate was applied. See Page 7 to provide additional information regarding discounted contract runs.

AN	IBULANCE SERVICE ENTITY:			
FO	R THE PERIOD FROM:	то	:	
	STATISTICAL SUPPORT DATA			
Lin <u>No.</u>	e <u>TYPE OF SERVICE</u>	(1) SUBSIDIZED PATIENTS	(2) NON- SUBSIDIZED <u>PATIENTS</u>	(3) TOTALS
01	Number of Advanced Life Support Billable Runs			
02	Number of Basic Life Support Billable Runs			
03	Number of Loaded Billable Miles			
04	Waiting Time (Hours and Minutes)			
05	Total Canceled (Non-Billable) Runs			Number
	Volunteer Services: (OPTIONAL)			Donated Hours
06	Paramedic, EMT-I(99), and AEMT			
07	Emergency Medical Technician (EMT)			
08	Other Ambulance Attendants			
09	Total Volunteer Hours			

Note: This page and page 3.1, Routine Operating Revenue, are only for those governmental agencies that apply subsidy to patient billings.

AMBULANCE SERVICE ENTITY:						
FOR THE PERIOD FROM: TO:						
	STATEMENT OF INCOME					
Lin	<u>e</u>					
No.	<u>DESCRIPTION</u>	FROM				
01	Operating Revenue: Ambulance Service Routine Operating Revenue	Page 3 Line 10		\$		
02 03 04 05 06 07	Less: AHCCCS Settlement Medicare Settlement Contractual Discounts Subscription Service Settlement Other (Attach Schedule) Total	Page 7 Line 22 Page 8 Line 4				
08	Net Revenue from Ambulance Runs			\$		
09	Sales of Subscription Service Contracts	Page 8 Line 8				
10	Total Operating Revenue			\$		
11 12 13 14 15 16 17	Ambulance Operating Expenses: Bad Debt (Includes Subscription Services Bad Debt) Wages, Payroll Taxes, and Employee Benefits	Page 4 Line 22 Page 5 Line 20 Page 3 Line 15 Page 6 Line 28 Page 14 CI 4 & 5 Line 28 Page 8 Line 23	\$			
18	Total Operating Expenses					
19	Ambulance Service Income (Loss) (Line 10 minus Line 18	3)		\$		
20 21 22	Other Revenue/Expenses: Other Operating Revenue and Expenses	Page 9 Line 17	\$			
23	Total Other Revenues/Expenses					
24	Ambulance Service Income (Loss) - Before Income Taxes			\$		
25 26	Provision for Income Taxes: Federal Income Tax State Income Tax		\$			
27	Total Income Tax					
28	Ambulance Service - Net Income (Loss)	Page 2		\$		

AN	BULANCE SERVICE ENTITY:		
FO	OR THE PERIOD FROM:	TO:	
	ROUTINE OPERATING REVENUE		
Lin <u>No</u>	DESCRIPTION		
01 02 03 04 05 06	Ambulance Service Routine Operating Revenue: ALS Base Rate \$		
08	Standby Revenue (Attach Schedule)		
09	Other Ambulance Service Revenue (Attach Schedule)	·····	
10	Total Ambulance Service Routine Operating Revenue (To Page 2, Line 0)	91)	
	COST OF GOODS SOLD: (MEDICAL SUPPLIES)		
11	Inventory at Beginning of Year		
12	Plus Purchases		
13	Plus Other Costs		
14	Less Inventory at End of Year)	
15	Cost of Goods Sold (To Page 2, Line 14)	s	

	IBULANCE SERVICE ENTITY:			
FOR THE PERIOD FROM:		TO):	
]	ROUTINE OPERATING REVENUE			
Lin <u>No.</u>	e <u>DESCRIPTION</u>	(1) SUBSIDIZED PATIENTS	(2) NON- SUBSIDIZED <u>PATIENTS</u>	(3)
	AMBULANCE SERVICE OPERATING REVENUE			
02 03 04 05	ALS Base Rate BLS Base Rate Mileage Charge Waiting Charge Medical Supplies (Gross Charges) Nurses' Charges		\$	\$
07	Total	\$	\$	\$
08 09 10	Standby Revenue (Attach Schedule)			\$
11 12 13 14	Less: AHCCCS Settlement Medicare Settlement Subsidy Other (Attach Schedule)		\$ - xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	\$
15	Total Settlements (Column 3 to Page 2, Line 06)	\$	\$	\$
	Cost of Goods Sold:			
17 18	Inventory at Beginning of Year			\$
20	Cost of Goods Sold (Column 3 to Page 2, Line 14)			\$

AN	IBULANCE SERVICE ENTITY:		
FO	R THE PERIOD FROM:	TO:	
,	WAGES, PAYROLL TAXES, AND EMPLOYEE BENEFITS		
Lin		No. of	
No.	DESCRIPTION	<u>*F.T.E.s</u>	<u>AMOUNT</u>
01	Gross Wages - OFFICERS/OWNERS (Attach Schedule1, Page 10, Line 7)		\$
02	Payroll Taxes		
03	Employee Fringe Benefits		
04	Total		\$
05	Gross Wages - MANAGEMENT (Attach Schedule II)		\$
06	Payroll Taxes		
07	Employee Fringe Benefits		
08	Total		\$
	Gross Wages - AMBULANCE PERSONNEL (Attach Schedule II)		
	**Casual Labor Wages		
09	Paramedic, EMT-I(99), and AEMT		\$
10	NI		
11 12	Payroll Taxes		
13	Employee Fringe Benefits		
14	Total		\$
	Gross Wages - OTHER PERSONNEL (Attach Schedule II)		
15	Dispatch		\$
16	Mechanics		_
17	Office and Clerical		_
18	Other		_
19	Payroll Taxes		
20	Employee Fringe Benefits		
21	Total		\$
22	Total F.T.E.s' Wages. Payroll Taxes. & Employee Benefits (To Page 2. Line 12).		\$

^{*} Full-time equivalents (F.T.E.) is the sum of all hours for which employee wages were paid during the year divided by 2,080.

^{**} The sum of Casual Labor (wages paid on a per run basis) plus Wages paid is entered in Column 2 by line item. However, when calculating F.T.E.s, do not include casual labor hours worked or expenses incurred.

AN	IBULANCE SERVICE ENTITY:				
FO	R THE PERIOD FROM:		ТО:		
,	WAGES, PAYROLL TAXES, AND EMPLOYEE BENEFT	<u>TS 1</u>			
Lin <u>No.</u>	e <u>DESCRIPTION</u>	(1) No. of <u>*F.T.E.s</u>	(2) Total <u>Expenditure</u>	(3) Allocation Percentage	(4) Ambulance <u>Amount</u>
01 02 03 04	Gross Wages - Management (Attach Schedule II). Payroll Taxes Employee Fringe Benefits Total		\$ \$		
	Gross Wages - Ambulance Personnel (Attach Schedule): **Contractual Wag	<u>es</u>			
05 06 07 08 09 10	Paramedic, EMT-I(99), and AEMT				
	Gross Wages - Other Personnel (Attach Schedule II):				
12 13 14 15 16 17	Dispatch . Mechanics . Office and Clerical . Other . Payroll Taxes . Employee Fringe Benefits . Total .				
19	Total F.T.E.s' Wages, Payroll Taxes, and Employee Benefits (To Page 2, L	ine 12)	_ \$		

^{*} Full-Time Equivalents (F.T.E.) is the sum of all hours for which employee wages were paid during the year divided by 2,080.

^{**} The sum of Contractual + Wages paid is entered in Column 2 by line item. However, when calculating F.T.E.s, do not include contractual hours worked or expenses incurred.

AM	BULANCE SERVICE ENTITY:			
FO	R THE PERIOD FROM:		то:	
	WAGES, PAYROLL TAXES, AND EMPLOYEE BE	ENEFITS		
Line <u>No.</u>	e <u>DESCRIPTION</u>		Basis of Allocations	
01 02	Gross Wages - Management			
03 04	Employee Fringe Benefits Total			
	Gross Wages - Ambulance Personnel:	Contractual	Wages	
05	Paramedic, EMT-I(99), and AEMT			
06	Emergency Medical Technician (EMT)			
07	Nurses			
80	Drivers			
09	Payroll Taxes			
10 11	Employee Fringe Benefits			
••				
	Gross Wages - Other Personnel:			
12	Dispatch			
13	Mechanics			
14	Office and Clerical			
15	Other			
16	Payroll Taxes			
17	Employee Fringe Benefits			
18	Total			

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AN	IBULANCE SERVICE ENTITY:		
FO	R THE PERIOD FROM:	TO:	
	GENERAL AND ADMINISTRATIVE EXPENSES		
Lin <u>No</u> .	e <u>DESCRIPTION</u>		
	Professional Services:		
01 02 03 04 05	Collection Fees	\$	
	Travel and Entertainment:		
07 08 09 10	Transportation - Other Company Vehicles		
	Other General and Administrative:		
12 13 14 15 16 17 18	Postage		
19	Total	\$	
20	Total General and Administrative Expenses (To Page 2, Line 13) .	\$	

AN	IBULANCE SERVICE ENTITY:			
FO	R THE PERIOD FROM:	TO:		
	GENERAL AND ADMINISTRATIVE EXPENSES			
Lin <u>No.</u>	e DESCRIPTION	(1) Total <u>Expenditure</u>	(2) Allocation <u>Percentage</u>	(3) Ambulance <u>Amount</u>
	Professional Services:			
01 02 03 04 05	Legal Fees Collection Fees Accounting and Auditing Data Processing Fees Other (Attach Schedule)	\$		\$
06	Total	\$		\$
	Travel and Entertainment:			
07 08 09 10	Meals and Entertainment . Transportation - Other Company Vehicles . Travel . Other (Attach Schedule) .	\$		\$
11	Total	\$		\$
	Other General and Administrative:			
12 13 14 15 16 17 18	Office Supplies Postage Telephone Advertising Professional Liability Insurance Dues and Subscriptions Other (Attach Schedule)	\$		\$
19	Total	\$		\$
20	Total General & Administrative Expenses (to Page 2, Line 13)	\$		\$

AM	IBULANCE SERVICE ENTITY:		
FO	R THE PERIOD FROM:	TO:	
(GENERAL AND ADMINISTRATIVE EXPENSES (cont.)	<u>l</u>	
Line <u>No.</u>	DESCRIPTION	Basis of Allocations	
	Professional Services:		
01 02 03 04 05	Collection Fees Accounting and Auditing Data Processing Fees		
06	Total		
	Travel and Entertainment:		
07 08 09	Transportation - Other Company Vehicles		
10	Other (Attach Schedule)		
11	Total		
	Other General and Administrative:		
12 13 14 15 16	Office Supplies		
18	Other (Attach Schedule)		
19	Total		

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AN	IBULANCE SERVICE ENTITY:		
FO	R THE PERIOD FROM:	TO:	
	OTHER OPERATING EXPENSES		
Lin	e <u>OTHER OPERATING EXPENSES</u>		
140.	OTHER OFERSTING BATERSES		
	Depreciation and Amortization:		
01 02	Depreciation (Attach Schedule III) (From Line 20, Col I, Page 13) Amortization	\$	
03	Total		\$
04	Rent/Lease (Attach Schedule III) (From Line 20, Col K, Page 13)		\$
	Building/Station Expense:		
05 06 07 08 09 10	Building and Cleaning Supplies Utilities Property Taxes Property Insurance Repairs and Maintenance Other (Attach Schedule)	\$	
11	Total		\$
	Vehicle Expense - Ambulance Units:		
12 13 14 15 16 17	License/Registration Fuel General Vehicle Service and Maintenance Major Repairs Insurance - Service Vehicles Other (Attach Schedule)	\$	
18	Total		\$
	Other Expenses:		
19 20 21 22 23 24 25 26	Dispatch Education/Training Uniforms and Uniform Cleaning Meals and Travel for Ambulance Personnel Maintenance Contracts Minor Equipment - Not Capitalized Ambulance Supplies - Nonchargeable Other (Attach Schedule)		
27	Total		\$
28	Total Other Operating Expenses (To Page 2, Line 15)		\$

AMBULANCE SERVICE ENTITY:			
FOR THE PERIOD FROM:	TO:_		
OTHER OPERATING EXPENSES			
OTHER OPERATING EXPENSES	(1) Total <u>Expenditure</u>	(2) Allocation Percentage	(3) Ambulance <u>Amount</u>
Depreciation and Amortization: Depreciation (Attach Schedule III) (From Line 20, Col I, Page 12)	\$		
Amortization			
Total	\$		
Rent/Lease (Attach Schedule III) Line 20, Col K, Page 12	\$		
Building/Station Expense:			
Building and Cleaning Supplies	\$		
Utilities	Ψ		
Property Taxes			
Property Insurance			
Repairs and Maintenance			
Other (Attach Schedule)			
Total	\$		
Vehicle Expense - Ambulance Units:	_		
License/Registration	\$		
Fuel			
General Vehicle Service and Maintenance			
Major Repairs			
Insurance - Service Vehicles			
Other (Attach Schedule)			
Total	\$		
Other Expenses:			
Dispatch	\$		
Education/Training			
Uniforms and Uniform Cleaning			
Meals and Travel for Ambulance Personnel			
Maintenance Contracts			
Minor Equipment - Not Capitalized			
Ambulance Supplies - Nonchargeable			
Other (Attach Schedule)			
Total	\$		
Total Other Operating Expenses (To Page 2. Line 15)	\$		

AN	IBULANCE SERVICE ENTITY:	
FO	R THE PERIOD FROM:	TO:
(OTHER OPERATING EXPENSES	
Line	2	
No.	OTHER OPERATING EXPENSES	Basis of Allocations
01 02 03 04	Depreciation and Amortization: Depreciation Amortization Total Rent/Lease	
05 06 07 08 09 10	Building/Station Expense: Building and Cleaning Supplies Utilities Property Taxes Property Insurance Repairs and Maintenance Other (Attach Schedule) Total	
12 13 14 15 16 17	Vehicle Expense - Ambulance Units: License/Registration Fuel General Vehicle Service and Maintenance Major Repairs Insurance - Service Vehicles. Other (Attach Schedule) Total	
19 20 21 22 23 24 25 26 27	Other Expenses: Dispatch Education/Training Uniforms and Uniform Cleaning Meals and Travel for Ambulance Personnel Maintenance Contracts Minor Equipment - Not Capitalized Ambulance Supplies - Nonchargeable Other (Attach Schedule) Total	

Page 6.1.a

R THE PERIOD FROM:			_ TO:	
DETAIL OF CONTRACTUAL AL	LOWANCES			
e <u>Name of Contracting Entity</u>	Total Billable <u>Runs</u>	Gross Billing	Percent <u>Discount</u>	Allowance
				
<u> </u>				
				

FO	R THE PERIOD FROM:	TO:	
	SUBSCRIPTION SERVICE REVENUE AND DIRECT SELLING EXPENSES	<u> </u>	
Lin <u>No</u> .		<u>To</u>	
01	Billings at Fully Established Rate		\$
	Less:		
02 03 04 05 06	AHCCCS Settlement Medicare Settlement Subscription Service Settlements Cubscription Service Bad Debt Total	To Page 2, Line 5)	\$
07	Net Revenue from Subscription Service Runs		
80	Sales of Subscription Service	Page 2, Line 9)	
09	Other Revenue (Attach Schedule)		
10	Total Subscription Service Revenue		\$
	Direct Expenses Incurred Selling Subscription Contracts	3:	
11	Salaries/Wages	\$	
12	Payroll Taxes		
13	Employee Fringe Benefits		
14	Professional Services	·····	
15	Contract Labor	······	
16	Travel	·····	
17	Other General and Administrative Expenses		
18	Depreciation/Amortization	·····	
19	Rent/Lease	·····	
20	Building/Station Expense	·····	
21	Transportation/Vehicles	·····	
22	Other (Attach Schedule)		
23	Total Subscription Service Expenses	To Page 2, Line 17)	\$

FO	R THE PERIOD FROM:	TO:	
	OTHER OPERATING REVENUES AND EXPENSES		
Lin <u>No</u>	e DESCRIPTION		
	Other Operating Revenues:		
01	Supportive Funding - Local (Attach Schedule)	\$	
02	Grant Funds - State (Attach Schedule)		
03	Grant Funds - Federal (Attach Schedule)		
04	Grant Funds - Other (Attach Schedule)	···	
05	Patient Finance Charges		
06	Patient Late Payment Charges		
07	Interest Earned - Related Person/Organization		
08	Interest Earned - Other	···	
09	Gain on Sale of Operating Property		
10	Other:		
11	Other:		
12	Total Operating Revenue	\$	
	Other Operating Expenses:		
13	Loss on Sale of Operating Property	\$	
14	Other:		
15	Other:		
16	Total Other Operating Expenses	\$	
17	Net Other Operating Revenues and Expenses (To Page 2, Lir	Line 20)	

AWII	AMBULANCE SERVICE ENTITY:												
FOR	THE PER	IOD FRO	M:					,	ГО:				
DETAIL OF SALARIES/WAGES OFFICERS/OWNERS SCHEDULE 1			-										
					Wa	ges Paid	by Cate	gory					
Line No.	Name	Title	% of Owner- ship	Manage- ment	*FTE	EMCT	*FTE	Office	*FTE	Other	*FTE	Totals Wages Paid To Owners	*FTE
01				\$		\$		\$		\$		\$	
02													
03													
04													
05													
06												1	
07	TOTAL			\$		\$	=====	\$		\$		\$	

^{*} Full-time equivalents (F.T.E.) is the sum of all hours for which employee wages were paid during the year divided by 2080<u>.</u>

¹ Total wages paid to owners to Page 4 Col 2 Line 01 2 Total FTEs to Page 4 Col 1 Line 01

AMBULANCE SERVICE ENTITY:								
FOR THE PERIOD FROM	Л:	TO:						
OPERATING EXPEN DETAIL OF SALARIES SCHEDULE II								
<u>Line</u> No. Detail of Salaries/Wages -	Other Than Officers/Owners							
01 MANAGEMENT:		METHOD OF COMPENSATION:						
Certification and/or Title	Scheduled Shifts (I.e. 40 or 60 hours a week)	Hourly Wage	Annual Salary	\$s Per Run or Shift				
								
2 AMBULANCE PERSON								
								
3 OTHER PERSONNEL:								

AM	AMBULANCE SERVICE ENTITY:										
FOF	R THE PERIO	OD FROM:					T():			
	DEPRECIATI SCHEDULE I		R RENT/I	LEASE EXPI	EASE EXPENSE AMBULANCE VEHICLES AND ACCESSORIAL EQUIPMENT ON						
	A	В	C	D	E	F	G	H	I	J	K
Line No.	Description of Property	Date Placed in Service	Cost or Other Basis	Business Use Percent	Basis for Depreciation	Method	Recovery Period	Depreciation Prior Years	Current Year Depreciation	Remaining Basis	Rent/Lease Amount*
01											
02											
03											
04											
05											
06											
07											
08											
09											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20	SUBTOTAL	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1	XXX	2

^{*} Complete Description of property, date placed in service, and rent/lease amount only.

¹ To Page 13, Line 19, Column I

² To Page 13, Line 19, Column K

FOR	THE PERIO	DD FROM:_					T(D:			
	DEPRECIATIO SCHEDULE II			EASE EXPE	ENSE				ALL OTH	IER ITEM	IS
	A	В	C	D	E	F	G	Н	I	J	K
Line No.	Description of Property	Date Placed in Service	Cost or Other Basis	Business Use Percent	Basis for Depreciation	Method	Recovery Period	Depreciation Prior Years	Current Year Depreciation	Remaining Basis	Rent/Lea: Amount
01											
02											
03											
04											
05											
06											
07											
08											
09											
10											
11											
12											
13											
14											
15											
16											
17											
18	SUBTOTAL	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	
19	SUBTOTAL from Page 12, Line 20	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	
20	SUM of Line 18 and 19	XXX	XXX	XXX	XXX	XXX	XXX	XXX	3	XXX	4

Page 13

^{*} Complete Description of property, date placed in service, and rent/lease amount only.

³ To Page 6, Line 01

⁴ To Page 6, Line 04

FOR THE PERIOD FROM:_		TO:								
DETAIL OF INTEREST - So										
Line No. Description	(1) Interest <u>Rate</u>	(2) Prinicip Beginning of <u>Period</u>	(3) pal Balance End of Period	(4) Interest E Related Persons o Organizations						
Service Vehicles & Accessorial Equal Name of Payee:	•	\$	2	\$	¢					
2										
Communication Equipment Name of Payee: 5 6 7										
Other Property and Equipment Name of Payee:	%				\$					
Working Capital Name of Payee:	%		8							
Other Name of Payee:	%	\$	5	_ \$	\$					
5 TOTAL		\$ \$	(To F	\$\$ Page 2, Column 2, Line 1	\$					

Page 14

AN	IBULANCE SERVICE ENTITY:			
FO	OR THE PERIOD FROM:		TO:	
_	BALANCE SHEET	<u> </u>		
	ASSETS CURRENT ASSETS			
01 02 03 04 05 06	Cash Accounts Receivable Less: Allowance for Doubtful Accounts Inventory Prepaid Expenses Other Current Assets	\$		
07	TOTAL CURRENT ASSETS		\$	
08	PROPERTY & EQUIPMENT Less: Accumulated Depreciation		\$	
09	OTHER NONCURRENT ASSETS		\$	
10	TOTAL ASSETS		\$	
	LIABILITIES AND EQUITY			
	CURRENT LIABILITIES			
12	Accounts Payable Current Portion of Notes Payable Current Portion of Long Term Debt Deferred Subscription Income Accrued Expenses and Other	\$	 	
18	TOTAL CURRENT LIABILITIES		\$	
19 20	NOTES PAYABLE LONG TERM DEBT OTHER		_	
21	TOTAL LONG-TERM DEBT		\$	
22 23 24 25 26	EQUITY AND OTHER CREDITS Paid-in Capital: Common Stock Paid-In Capital in Excess of Par Value Contributed Capital Retained Earnings Fund Balances	\$		
27	TOTAL EQUITY		\$	
28	TOTAL LIABILITIES & EQUITY		\$	

AN	IBULANCE SERVICE ENTITY:		
FO	R THE PERIOD FROM:	TO:	
	STATEMENT OF CASH FLOWS		
	OPERATING ACTIVITIES:		
01	Net (loss) Income	\$	
	Adjustments to reconcile net income to net		
	cash provided by operating activities:		
02	Depreciation Expense		
03	Deferred Income Tax		
04	Loss (gain) on Disposal of Property and Equipment		
	(Increase) Decrease in:		
05	Accounts Receivable		
06	Inventories		
07	Prepaid Expenses		
	(Increase) Decrease in:		
80	Accounts Payable		
09	Accrued Expenses		
10	Deferred Subscription Income		
11	Net Cash Provided (Used) by Operating Activities		\$
	INVESTING ACTIVITIES:		
12	Purchases of Property and Equipment	\$	
13	Proceeds from Disposal of Property and Equipment		
14	Purchases of Investments		
15	Proceeds from Disposal of Investments		
16	Loans Made		
17	Collections on Loans		
18	Other		
19	Net Cash Provided (Used) by Investing Activities		\$
	FINANCING ACTIVITIES:		
	New Borrowings:		
20	Long-Term	\$	
21	Short-Term		
	Debt Reduction:		
22	Long-Term		
23	Short-Term		
24	Capital Contributions		
25	Dividends paid		
26	Net Cash Provided (Used) by Financing Activities		\$
27	Net Increase (Decrease) in Cash		\$
28	Cash at Beginning of Year		\$
29	Cash at End of Year		\$
30	SUPPLEMENTAL DISCLOSURES:		
	Non-cash Investing and Financing Transactions:		
31			\$
32			
33	Interest Paid (Net of Amounts Capitalized)		
34	Income Taxes Paid		

Exhibit 9B. Ambulance Revenue and Cost Report, Fire District and Small Rural Company

Department of Health Services

Annual Ambulance Financial Report

Reporting Ambulance Service

		Rep	ort Fis	cal Year		
From:	/	' /		To:	/	/ /
	Mo.	Day	Vear	Mo.	Day	Vear

CERTIFICATION
I hereby certify that I have diacted the preparation of the enclosed annual prort in accordance with the reporting equirements of the State of Arizona.
I have read this report and hereby certify that the information opided is true and corect to the best of my knowledge.
This report has been prepared using the accrual basis of accounting.
Authorized Signatur Date:
Print Name and T ile:

Mail to:

Department of Health Services Bureau of Emergency Medical Services and Trauma System Certificate of Necessity and Rates Section 150 North 18th Avenue, Suite 540 Phoenix, AZ 85007

Telephone: (602) 364-3150 Fax: (602) 364-3567

Revised December 2013

AM	IBULANCE SERVICE ENTITY:				
FO	R THE PERIOD FROM:		TO:		
	STATISTICAL SUPPORT DATA	(1) SUBSCRIPTION	*(2) TRANSPORTS	(3) TRANSPORTS	(4)
Lin <u>No</u> .	e <u>DESCRIPTION</u>	SERVICE TRANSPORTS	UNDER CONTRACT	NOT UNDER CONTRACT	TOTALS
01	Number of ALS Billable Transports:				
02	Number of BLS Billable Transports:				
03	Number of Loaded Billable Miles:				
04	Waiting Time (Hr. & Min.):				
05	Canceled (Non-Billable) Runs:				
	AMBULANCE SERVICE ROUTINE O	PERATING REVENU	JЕ		
06	ALS Base Rate Revenue				\$
07	BLS Base Rate Revenue				
08	Mileage Charge Revenue				
09	Waiting Charge Revenue				
10	Medical Supplies Charge Revenue				
11	Nurses Charge Revenue				
12	Standby Charge Revenue (Attach Schedul	e)			
13	TOTAL AMBULANCE SERVICE ROUT	ΓINE OPERATING REV	/ENUE		\$
	SALARY AND WAGE EXPENSE DET GROSS WAGES:	FAIL			** <u>No. of F.T.E.s</u>
14	Management			\$	\$
15	Paramedics, EMT-I(99)s, and AEMTs			\$	\$
16	Emergency Medical Technician (EMT)			\$	\$
17	Other Personnel			\$	\$
18	Payroll Taxes and Fringe Benefits - All Pe	ersonnel		\$	\$

^{*}This column reports only those runs where a contracted discount rate was applied.

^{**}Full-time equivalents (F.T.E.) is the sum of all hours for which employees wages were paid during the year divided by 2080.

Page 2

	R THE PERIOD FROM:	
	SCHEDULE OF REVENUES AND EXPENSES	
Lin		
No.	<u>DESCRIPTION</u> <u>FROM</u>	
01	Operating Revenues: Total Ambulance Service Operating Revenue Page 2, Line 13	\$
02	Settlement Amounts: AHCCCS	
02	Medicare	<u></u>
03	Subscription Service	·
05	Contractual	
06	Other	
07	Total (Sum of Lines 02 through 06)	
08	Total Operating Revenue (Line 01 minus Line 07)	. \$
	Operating Expenses:	
09	Bad Debt	
10	Total Salaries, Wages, and Employee- Related Expenses	
11	Professional Services	
12	Travel and Entertainment	
13	Other General Administrative	
	Depreciation	
	Rent/Leasing	
16	E	
17	Vehicle Expense	
18	Other Operating Expense	
19	Cost of Medical Supplies Charged to Patients	
20	Interest	
21	Subscription Service Sales Expense	
22	Total Operating Expense (Sum of Lines 09 through 21)	
23	Total Operating Income or Loss (Line 08 minus Line 22)	\$
24	Subscription Contract Sales	
25	Other Operating Revenue	
26	Local Supportive Funding	
27	Other Non-Operating Income (Attach Schedule)	
28	Other Non-Operating Expense (Attach Schedule)	· <u> </u>
29	NET INCOME/(LOSS) (Line 23 plus Sum of Lines 24 through 28)	\$

AI	IBULANCE SERVICE ENTITY:		
FC	OR THE PERIOD FROM:	 TO:	
	BALANCE SHEET		
	ASSETS CURRENT ASSETS		
01 02 03 04 05 06 07 08	Cash Accounts Receivable Less: Allowance for Doubtful Accounts Inventory Prepaid Expenses Other Current Assets TOTAL CURRENT ASSETS PROPERTY & EQUIPMENT Less: Accumulated Depreciation OTHER NONCURRENT ASSETS TOTAL ASSETS	\$ \$ \$ \$ \$ \$	
	LIABILITIES AND EQUITY		
	Accounts Payable Current Portion of Notes Payable Current Portion of Long term Debt Deferred Subscription Income Accrued Expenses and Other	\$	
18	TOTAL CURRENT LIABILITIES	\$	
19 20	NOTES PAYABLE LONG TERM DEBT OTHER	 	
21	TOTAL LONG-TERM DEBT	\$	
22 23 24 25 26	EQUITY AND OTHER CREDITS Paid-in Capital: Common Stock Paid-In Capital in Excess of Par Value Contributed Capital Retained Earnings Fund Balances	\$	
27	TOTAL EQUITY	\$	
28	TOTAL LIABILITIES & EQUITY	\$	

FO	OR THE PERIOD FROM:	TO:	
	STATEMENT OF CASH FLOWS		
	OPERATING ACTIVITIES:		
01			
	Adjustments to reconcile net income to net		
	cash provided by operating activities:		
02	Depreciation Expense		
03	Deferred Income Tax		
04	Loss (gain) on Disposal of Property and Equipment		
	(Increase) Decrease in:		
05	Accounts Receivable		
06	Inventories		
07	Prepaid Expenses		
	(Increase) Decrease in:		
08	Accounts Payable		
09	Accrued Expenses		
10	Deferred Subscription Income		
11	Net Cash Provided (Used) by Operating Activities	\$	
	INVESTING ACTIVITIES:		
12	Purchases of Property and Equipment		
13	Proceeds from Disposal of Property and Equipment		
14	Purchases of Investments		
15	Proceeds from Disposal of Investments		
16	Loans Made		
17	Collections on Loans		
18	Other		
19	Net Cash Provided (Used) by Investing Activities	\$	
	FINANCING ACTIVITIES:		
•	New Borrowings:		
20	Long-Term		
21	Short-Term		
22	Debt Reduction:		
22	Long-Term Short-Term		
23	Capital Contributions		
24 25	Dividends paid		
23	Dividends paid		
26	Net Cash Provided (Used) by Financing Activities	\$	
27	Net Increase (Decrease) in Cash	\$	
28	Cash at Beginning of Year	\$	
29	Cash at End of Year	\$	
30	SUPPLEMENTAL DISCLOSURES:		
	Non-cash Investing and Financing Transactions:		
31		\$	
32			
33	Interest Paid (Net of Amounts Capitalized)		
34	Income Taxes Paid		

INSTRUCTIONS

Page 1: COVER

- 1. Enter the name of the ambulance service on the line "Reporting Ambulance Service."
- 2. Print the name and title of the ambulance service's authorized representative on the lines indicated; enter the date of signature; authorized representative must sign the report.

Page 2: STATISTICAL SUPPORT DATA and ROUTINE OPERATING REVENUE

Enter the ambulance service's business name and the appropriate reporting period.

Statistical Support Data:

Lines 01-02: Enter the number of billable ALS and BLS transports for each of the three categories. Subscription

Service Transports should not be included with Transports Under Contract.

Lines 03-04: Enter the total of patient loaded transport miles and waiting times for each of the transport

categories.

Line 05: List TOTAL of canceled/non-billable runs.

Ambulance Service Routine Operating Revenue:

Line 06:	Enter the total amount of all ALS Base Rate gross billings.
Line 07:	Enter the total amount of all BLS Base Rate gross billings.
Line 08:	Enter the total of Mileage Charge gross billings.
Line 09:	Enter the total Waiting Time gross billings.
Line 10:	Enter the total of all gross billings of Medical Supplies to patients.
Line 11:	RESERVED FOR FUTURE USE - Charges for Nurses currently are not allowed.
Line 12:	Enter the total of all Standby Time charges. (Attach a schedule showing sources.)
Line 13:	Add the totals from Line 06 through Line 12. Enter sum on Line 13.

Salary and Wage Expense Detail:

Line 14:	Enter the total salary amount allocated and paid to Management of the ambulance service.
Line 15:	Enter the total salary amount allocated and paid to Paramedics, EMT-I(99)s, and AEMTs
Line 16:	Enter the total salary amount allocated and paid to Emergency Medical Technicians (EMTs).
Line 17:	Enter the total salary amount allocated and paid to Other Personnel involved with the ambulance
	service. (Examples: Dispatch, Mechanics, Office)
Line 18:	Enter the total allocated amount of Payroll Taxes and Fringe Benefits paid to employees included in
	lines 14 through 17.

ANNUAL AMBULANCE FINANCIAL REPORT

EXPENSE CATEGORIES FOR USE ON PAGE 3

- Line 09 Bad Debt
- Line 10 Total Salaries, Wages, and Employee-Related Expenses
 - Salaries, Wages, Payroll Taxes, and Employee Benefits
- Line 11 Professional Services
 - Legal/Management Fees
 - Collection Fees
 - Accounting/Auditing
 - Data Processing Fees
- Line 12 Travel and Entertainment (Administrative)
 - Meals and Entertainment
 - Travel/Transportation
- Line 13 Other General and Administrative
 - Office Related (Supplies, Phone, Postage, Advertising)
 - Professional Liability Insurance
 - Dues, Subscriptions, Miscellaneous
- Line 14 Depreciation
- Line 15 Rent/Leasing
- Line 16 Building/Station
 - Utilities, Property Taxes/Insurance, Cleaning/Maintenance
- Line 17 Vehicle Expenses
 - License/Registration
 - Repairs/Maintenance
 - Insurance
- Line 18 Other Operating Expenses
 - Dispatch Contracts
 - Employee Education/Training, Uniforms, Travel/Meals
 - Maintenance Contracts
 - Minor Equipment, Non-Chargeable Ambulance Supplies
- Line 19 Cost of Medical Supplies Charged to Patients
- Line 20 Interest Expense
 - Interest on: Bank Loans/Lines of Credit
- Line 21 Subscription Service Sales Expenses
 - Sales Commissions, Printing

INSTRUCTIONS (cont'd)

Page 3: SCHEDULE OF REVENUES AND EXPENSES

Operating Revenues:

Line 01:	Transfer appropriate total from Page 2 as indicated.
Line 02:	Enter settlement amounts from AHCCCS transports. (DO NOT include settlement amounts resulting from
	a transport made under a SUBSCRIPTION SERVICE CONTRACT)
Line 03:	Enter settlement amounts from Medicare transports. (DO NOT include settlement amounts resulting from
	a transport made under a SUBSCRIPTION SERVICE CONTRACT)
Line 04:	Enter total of ALL settlement amounts from Subscription Service Contract transports.
Line 05:	Enter total of ALL settlement amounts from Contractual transports only.
Line 06:	Enter total from any other settlement sources.
Line 07:	Enter sum of lines 02 through 06.
Line 08:	Total Operating Revenue (The amount from Line 01 minus Line 07).

Operating Expenses:

Line 29:

Lines 09-21	: Report as either actual or allocated from expenses shared with Fire or other departments.
Line 22:	Enter the total sum of lines 09 through 21.
Line 23:	Enter the difference of line 08 minus line 22.
Line 24:	Enter the gross amount of sales from Subscription Service Contracts.
Line 25:	Enter the amount of Other Operating Revenues.
	Ex: Federal, State or Local Grants, Interest Earned, Patient Finance Charges.
Line 26:	Enter the total of Local Supportive Funding.
Line 27:	List other non-operating revenues (Ex: Donations, sales of assets, fund raisers).
Line 28:	List other non-operating expenses (Ex: Civil fines or penalties, loss on sale of assets).

Page 4: BALANCE SHEET

Current audited financial statements may be submitted in lieu of this page.

Net Income (Line 23 plus Lines 24 through 27, minus Line 28).

Page 5: STATEMENT OF CASH FLOWS

Current audited financial statements may be submitted in lieu of this page.

Questions regarding this reporting form can submitted to:

Arizona Department of Health Services Bureau of Emergency Medical Services and Trauma System Certificate of Necessity and Rates Section

150 North 18th Avenue, Suite 540 Phoenix, AZ 85007 Telephone: (602) 364-3150

Fax: (602) 364-3567

ARTICLE 10. GROUND AMBULANCE VEHICLE REGISTRATION

R9-25-1002. Minimum Standards for Ground Ambulance Vehicles (Authorized by A.R.S. § 36-2202(A)(5))

An applicant for a certificate of registration or certificate holder shall ensure a ground ambulance vehicle is equipped with the following:

- 1. An engine intake air cleaner that meets the ground ambulance vehicle manufacturer's engine specifications;
- 2. A brake system that meets the requirements in A.R.S. § 28-952;
- 3. A cooling system in the engine compartment that maintains the engine temperature operating range required to prevent damage to the ground ambulance vehicle engine;
- 4. A battery:
 - a. With no leaks, corrosion, or other visible defects; and
 - b. As measured by a voltage meter, capable of generating:
 - i. 12.6 volts at rest; and
 - ii. 13.2 to 14.2 volts on high idle with all electrical equipment turned on;
- 5. A wiring system in the engine compartment designed to prevent the wire from being cut by or tangled in the engine or hood;
- 6. Hoses, belts, and wiring with no visible defects;
- 7. An electrical system capable of maintaining a positive amperage charge while the ground ambulance vehicle is stationary and operating at high idle with headlights, running lights, patient compartment lights, environmental systems, and all warning devices turned on;
- 8. An exhaust pipe, muffler, and tailpipe under the ground ambulance vehicle and securely attached to the chassis;
- 9. A frame capable of supporting the gross vehicle weight of the ground ambulance vehicle;
- 10. A horn that meets the requirements in A.R.S. § 28-954(A);
- 11. A siren that meets the requirements in A.R.S. § 28-954(E);
- 12. A front bumper that is positioned at the forward-most part of the ground ambulance vehicle extending to the ground ambulance vehicle's outer edges;
- 13. A fuel cap of a type specified by the manufacturer for each fuel tank;
- 14. A steering system to include:
 - a. Power-steering belts free from frays, cracks, or slippage;
 - b. Power-steering that is free from leaks;

- c. Fluid in the power-steering system that fills the reservoir between the full level and the add level indicator on the dipstick; and
- d. Bracing extending from the center of the steering wheel to the steering wheel ring that is not cracked;
- 15. Front and rear shock absorbers that are free from leaks;
- 16. Tires on each axle that:
 - a. Are properly inflated;
 - b. Are of equal size, equal ply ratings, and equal type;
 - c. Are free of bumps, knots, or bulges;
 - d. Have no exposed ply or belting; and
 - e. Have tread groove depth equal to or more than 4/32";
- 17. An air cooling system capable of achieving and maintaining a 20° F difference between the air intake and the cool air outlet;
- 18. Air cooling and heater hoses secured in all areas of the ground ambulance vehicle and chassis to prevent wear due to vibration;
- 19. Body free of damage or rust that interferes with the physical operation of the ground ambulance vehicle or creates a hole in the driver's compartment or the patient compartment;
- 20. Windshield defrosting and defogging equipment;
- 21. Emergency warning lights that provide 360° conspicuity;
- 22. At least one 5-lb. ABC dry, chemical, multi-purpose fire extinguisher in a quick release bracket with a current inspection tag;
- 23. A heating system capable of achieving and maintaining a temperature of not less than 68° F in the patient compartment within 30 minutes;
- 24. Sides of the ground ambulance vehicle insulated and sealed to prevent dust, dirt, water, carbon monoxide, and gas fumes from entering the interior of the patient compartment and to reduce noise;
- 25. Interior patient compartment wall and floor coverings that are:
 - a. In good repair and capable of being disinfected, and
 - b. Maintained in a sanitary manner;
- 26. Padding over exit areas from the patient compartment and over sharp edges in the patient compartment;
- 27. Secured interior equipment and other objects;

- 28. When present, hangers or supports for equipment mounted not to protrude more than 2 inches when not in use;
- 29. Functional lamps and signals, including:
 - a. Bright and dim headlamps,
 - b. Brake lamps,
 - c. Parking lamps,
 - d. Backup lamps,
 - e. Tail lamps,
 - f. Turn signal lamps,
 - g. Side marker lamps,
 - h. Hazard lamps,
 - i. Patient loading door lamps and side spot lamps,
 - j. Spot lamp in the driver's compartment and within reach of the ambulance attendant, and
 - k. Patient compartment interior lamps;
- 30. Side-mounted rear vision mirrors and wide vision mirror mounted on, or attached to, the side-mounted rear vision mirrors;
- 31. A patient loading door that permits the safe loading and unloading of a patient occupying a stretcher in a supine position;
- 32. At least two means of egress from the patient compartment to the outside through a window or door;
- 33. Functional open door securing devices on a patient loading door;
- 34. Patient compartment upholstery free of cuts or tears and capable of being disinfected;
- 35. A seat belt installed for each seat in the driver's compartment;
- 36. Belts or devices installed on a stretcher to be used to secure a patient;
- 37. A seat belt installed for each seat in the patient compartment;
- 38. A crash stable side or center mounting fastener of the quick release type to secure a stretcher to a ground ambulance vehicle;
- 39. Windshield and windows free of obstruction;
- 40. A windshield free from unrepaired starred cracks and line cracks that extend more than 1 inch from the bottom and sides of the windshield or that extend more than 2 inches from the top of the windshield;
- 41. A windshield-washer system that applies enough cleaning solution to clear the windshield;

The official version of these rules is published on page 4032 in the Arizona Administrative Register.

- 42. Operable windshield wipers with a minimum of two speeds;
- 43. Functional hood latch for the engine compartment;
- 44. Fuel system with fuel tanks and lines that meets manufacturer's specifications;
- 45. Suspension system that meets the ground ambulance vehicle manufacturer's specifications;
- 46. Instrument panel that meets the ground ambulance vehicle manufacturer's specifications; and
- 47. Wheels that meet and are mounted according to manufacturer's specifications.

R9-25-1003. Minimum Equipment and Supplies for Ground Ambulance Vehicles (Authorized by A.R.S. § 36-2202(A)(5))

- **A.** A ground ambulance vehicle used for either BLS or ALS level of service shall contain the following operational equipment and supplies:
 - 1. A portable and a fixed suction apparatus;
 - 2. Wide-bore tubing, a rigid pharyngeal curved suction tip, and a flexible suction catheter in the following French sizes:
 - a. Two in 6, 8, or 10; and
 - b. Two in 12, 14, or 16;
 - 3. One fixed oxygen cylinder or equivalent with a minimum capacity of 106 cubic feet, a minimum pressure of 500 p.s.i., and a variable flow regulator;
 - 4. One portable oxygen cylinder with a minimum capacity of 13 cubic feet, a minimum pressure of 500 p.s.i., and a variable flow regulator;
 - 5. Oxygen administration equipment including: tubing, two adult-size and two pediatric-size non-rebreather masks, and two adult-size and two pediatric-size nasal cannula;
 - 6. One adult-size, one child-size, one infant-size, and one neonate-size hand-operated, disposable, self-expanding bag-valve with one of each size bag-valve mask;
 - 7. Nasal airways in the following French sizes:
 - a. One in 16, 18, 20, 22, or 24; and
 - b. One in 26, 28, 30, 32, or 34;
 - 8. Two adult-size, two child-size, and two infant-size oropharyngeal airways;
 - 9. Two large-size, two medium-size, and two small-size cervical immobilization devices;
 - 10. Two small-size, two medium-size, and two large size upper extremities splints;
 - 11. Two small-size, two medium-size, and two large size lower extremities splints;
 - 12. One child-size and one adult-size lower extremity traction splints;
 - 13. Two full-length spine boards;

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- 14. Supplies to secure a patient to a spine board;
- 15. One cervical-thoracic spinal immobilization device for extrication;
- 16. Two sterile burn sheets;
- 17. Two triangular bandages;
- 18. Three sterile multi-trauma dressings, 10" x 30" or larger;
- 19. Fifty non-sterile 4" x 4" gauze sponges;
- 20. Ten non-sterile soft roller bandages, 4" or larger;
- 21. Four sterile occlusive dressings, 3" x 8" or larger;
- 22. Two 2" or 3" adhesive tape rolls;
- 23. Containers for biohazardous medical waste that comply with requirements in 18 A.A.C. 13, Article 14;
- 24. A sterile obstetrical kit containing towels, 4" x 4" dressing, scissors, bulb suction, and clamps or tape for cord;
- 25. One blood glucose testing kit;
- 26. A meconium aspirator adapter;
- 27. A length/weight-based pediatric reference guide to determine the appropriate size of medical equipment and drug dosing;
- 28. A pulse oximeter with both pediatric and adult probes;
- 29. One child-size, one adult-size, and one large adult-size sphygmomanometer;
- 30. One stethoscope;
- 31. One heavy duty scissors capable of cutting clothing, belts, or boots;
- 32. Two blankets;
- 33. One thermal absorbent blanket with head cover or blanket of other appropriate heatreflective material;
- 34. Two sheets;
- 35. Body substance isolation equipment, including:
 - a. Two pairs of non-sterile disposable gloves;
 - b. Two gowns;
 - c. Two masks that are at least as protective as a National Institute for Occupational Safety and Health-approved N-95 respirator, which may be of universal size;
 - d. Two pairs of shoe coverings; and
 - e. Two sets of protective eye wear;
- 36. At least three pairs of non-latex gloves; and

- 37. A wheeled, multi-level stretcher that is:
 - a. Suitable for supporting a patient at each level;
 - b. At least 69 inches long and 20 inches wide;
 - c. Rated for use with a patient weighing up to or more than 350 pounds;
 - d. Adjustable to allow a patient to recline and to elevate the patient's head and upper torso to an angle at least 70° from the horizontal plane;
 - e. Equipped with a mattress that has a protective cover;
 - f. Equipped with at least two attached straps to secure a patient during transport; and
 - g. Equipped to secure the stretcher to the interior of the vehicle during transport using the fastener required under R9-25-1002(38).
- **B.** In addition to the equipment and supplies in subsection (A), a ground ambulance vehicle equipped to provide BLS shall contain at least:
 - 1. The minimum supply of agents required in Table 5.2 for an EMT;
 - 2. By January 1, 2016, the capability of providing automated external defibrillation;
 - 3. Two 3 mL syringes; and
 - 4. Two 10-12 mL syringes.
- C. In addition to the equipment and supplies in subsection (A), a ground ambulance vehicle equipped to provide ALS shall contain at least the minimum supply of agents required in Table 5.2 for the highest level of service to be provided by the ambulance's crew and at least the following:
 - 1. Four intravenous solution administration sets capable of delivering 10 drops per cc;
 - 2. Four intravenous solution administration sets capable of delivering 60 drops per cc;
 - 3. Intravenous catheters in:
 - a. Three different sizes from 14 gauge to 20 gauge, and
 - b. Either 22 or 24 gauge;
 - 4. One child-size and one adult-size intraosseous needle;
 - 5. Venous tourniquet;
 - 6. Two endotracheal tubes in each of the following sizes: 2.5 mm, 3.0 mm, 3.5 mm, 4.0 mm, 4.5 mm5.0 mm, 5.5 mm, 6.0 mm, 7.0 mm, 8.0 mm, and 9.0;
 - 7. One pediatric-size and one adult-size stylette for endotracheal tubes;
 - 8. End tidal CO2 monitoring/capnography equipment with capability for pediatric and adult patients;
 - 9. One laryngoscope with blades in sizes 0-4, straight or curved or both;
 - 10. One pediatric-size and one adult-size Magill forceps;

- 11 One scalpel;
- 12. One portable, battery-operated cardiac monitor-defibrillator with strip chart recorder and adult and pediatric EKG electrodes and defibrillation capabilities;
- 13. Electrocardiogram leads;
- 14. The following syringes:
 - a. Two 1 mL tuberculin,
 - b. Four 3 mL,
 - c. Four 5 mL,
 - d. Four 10-12 mL,
 - e. Two 20 mL, and
 - f. Two 50-60 mL;
- 15 Three 5 micron filter needles; and
- 16. Assorted sizes of non-filter needles.
- **D.** A ground ambulance vehicle shall be equipped to provide, and capable of providing, voice communication between:
 - 1. The ambulance attendant and the dispatch center;
 - 2. The ambulance attendant and the ground ambulance service's assigned medical direction authority, if any; and
 - 3. The ambulance attendant in the patient compartment and the ground ambulance service's assigned medical direction authority, if any.

R9-25-1004. Minimum Staffing Requirements for Ground Ambulance Vehicles (Authorized by A.R.S. §§ 36-2201(4), 36-2202(A)(5))

When transporting a patient, a ground ambulance service shall staff a ground ambulance vehicle according to A.R.S. § 36-2202(J).

ARTICLE 12. TIME-FRAMES FOR DEPARTMENT APPROVALS

R9-25-1201. Time-frames (Authorized by A.R.S. §§ 41-1072 through 41-1079)

- **A.** The overall time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department is listed in Table 12.1. The applicant and the Director may agree in writing to extend the overall time-frame. The substantive review time-frame shall not be extended by more than 25% of the overall time-frame.
- **B.** The administrative completeness review time-frame described in A.R.S. § 41-1072 for each type of approval granted by the Department is listed in Table 12.1. The administrative completeness review

time-frame begins on the date that the Department receives an application form or an application packet.

- If the application packet is incomplete, the Department shall send to the applicant a written
 notice specifying the missing document or incomplete information. The administrative
 completeness review time-frame and the overall time-frame are suspended from the
 postmark date of the written request until the date the Department receives a complete
 application packet from the applicant.
- 2. When an application packet is complete, the Department shall send a written notice of administrative completeness.
- If the Department grants an approval during the time provided to assess administrative completeness, the Department shall not issue a separate written notice of administrative completeness.
- C. The substantive review time-frame described in A.R.S. § 41-1072 is listed in Table 12.1 and begins on the postmark date of the notice of administrative completeness.
 - 1. As part of the substantive review time-frame for an application for an approval other than renewal of an ambulance registration, the Department shall conduct inspections, conduct investigations, or hold hearings required by law.
 - 2. If required under R9-25-402, the Department shall fix the period and terms of probation as part of the substantive review.
 - 3. During the substantive review time-frame, the Department may make one comprehensive written request for additional documents or information and it may make supplemental requests for additional information with the applicant's written consent.
 - 4. The substantive review time-frame and the overall time-frame are suspended from the postmark date of the written request for additional information or documents until the Department receives the additional information or documents.
 - 5. The Department shall send a written notice of approval to an applicant who meets the qualifications in A.R.S. Title 36, Chapter 21.1 and this Chapter for the type of application submitted.
 - 6. The Department shall send a written notice of denial to an applicant who fails to meet the qualifications in A.R.S. Title 36, Chapter 21.1, and this Chapter for the type of application submitted.
- **D.** If an applicant fails to supply the documents or information under subsections (B)(1) and (C)(3) within the number of days specified in Table 12.1 from the postmark date of the written notice or

- comprehensive written request, the Department shall consider the application withdrawn.
- E. An applicant that does not wish an application to be considered withdrawn may request a denial in writing within the number of days specified in Table 12.1 from the postmark date of the written notice or comprehensive written request for documents or information under subsections (B)(1) and (C)(3).
- **F.** If a time-frame's last day falls on a Saturday, Sunday, or an official state holiday, the Department shall consider the next business day as the time-frame's last day.

Table 12.1. Time-frames (in days)

Type of Application	Statutory Authority	Overall Time-frame	Administrative Completeness Time-frame	Time to Respond to Written Notice	Substantive Review Time-frame	Time to Respond to Comprehensive Written Request
ALS Base Hospital Certification (R9-25-204)	A.R.S. §§ 36-2201, 36-2202(A)(3), and 36-2204(5)	45	15	60	30	60
Training Program Certification (R9-25-301)	A.R.S. §§ 36-2202(A)(3) and 36-2204(1) and (3)	120	30	60	90	60
Addition of a Course (R9-25-303)	A.R.S. §§ 36-2202(A)(3) and 36-2204(1) and (3)	90	30	60	60	60
EMCT Certification (R9- 25-403)	A.R.S. §§ 36-2202(A)(2), (3), and (4), 36-2202(G), and 36-2204(1)	120	30	90	90	270
EMCT Recertification (R9-25-404)	A.R.S. §§ 36-2202(A)(2), (3), (4), and (6), 36-2202(G), and 36- 2204(1) and (4)	120	30	60	90	60
Extension to File for EMCT Recertification (R9-25-405)	A.R.S. §§ 36-2202(A)(2), (3), (4), and (6), 36-2202(G), and 36- 2204(1) and (7)	30	15	60	15	60
Downgrading of Certification (R9-25-406)	A.R.S. §§ 36-2202(A)(2), (3), and (4), 36-2202(G), and 36-2204(1) and (6)	30	15	60	15	60
Initial Air Ambulance Service License (R9-25-704)	A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), 36-2213, 36-2214, and 36-2215	150	30	60	120	60
Renewal of an Air Ambulance Service License (R9-25-705)	A.R.S. §§ 36-2202(A)(3) and (4), 36-2209(A)(2), 36-2213, 36-2214, and 36-2215	90	30	60	60	60

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Initial Certificate of Registration for an Air Ambulance (R9-25-802)	A.R.S. §§ 36-2202(A)(4) and (5), 36-2209(A)(2), 36-2212, 36-2213, 36-2214, and 36-2240(4)	90	30	60	60	60
Renewal of a Certificate of Registration for an Air Ambulance (R9-25-802)	A.R.S. §§ 36-2202(A)(4) and (5), 36-2209(A)(2), 36-2212, 36-2213, 36-2214, and 36-2240(4)	90	30	60	60	60
Initial Certificate of Necessity (R9-25-902)	A.R.S. §§ 36-2204, 36-2232, 36-2233, 36-2240	450	30	60	420	60
Provision of ALS Services (R9-25-902)	A.R.S. §§ 36-2232, 36-2233, 36-2240	450	30	60	420	60
Transfer of a Certificate of Necessity (R9-25-902)	A.R.S. §§ 36-2236(A) and (B), 36-2240	450	30	60	420	60
Renewal of a Certificate of Necessity (R9-25-904)	A.R.S. §§ 36-2233, 36-2235, 36-2240	90	30	60	60	60
Amendment of a Certificate of Necessity (R9-25-905)	A.R.S. §§ 36-2232(A)(4), 36-2240	450	30	60	420	60
Initial Registration of a Ground Ambulance Vehicle (R9-25-1001)	A.R.S. §§ 36-2212, 36-2232, 36-2240	90	30	60	60	60
Renewal of a Ground Ambulance Vehicle Registration (R9-25-1001)	A.R.S. §§ 36-2212, 36-2232, 36-2240	90	30	60	60	60
Establishment of Initial General Public Rates (R9-25-1101)	A.R.S. §§ 36-2232, 36-2239	450	30	60	420	60
Adjustment of General Public Rates (R9-25-1102)	A.R.S. §§ 36-2234, 36-2239	450	30	60	420	60
Contract Rate or Range of Rates Less than General Public Rates (R9-25-1103)	A.R.S. §§ 36-2234, 36-2239	450	30	60	420	60
Ground Ambulance Service Contracts (R9-25-1104)	A.R.S. § 36-2232	450	30	60	420	60
Ground Ambulance Service Contracts with Political Subdivisions (R9-25-1104)	A.R.S. §§ 36-2232, 36-2234(K)	30	15	15	15	Not Applicable
Subscription Service Rate (R9-25-1105)	A.R.S. § 36-2232(A)(1)	450	30	60	420	60
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