

Top 10 Deficiencies for Behavioral Health Residential Facilities
November 1, 2019 through October 31, 2020

1: Emergency and Safety Standards: R9-10-720(B)(4); Frequency = 60

B. Except for an outdoor behavioral health care program provided by a behavioral health residential facility, an administrator shall ensure that:

4. A disaster drill for employees is conducted on each shift at least once every three months and documented;

2: Environmental Standards: R9-10-721(A)(14); Frequency = 50

A. Except for an outdoor behavioral health care program provided by a behavioral health residential facility, an administrator shall ensure that:

14. Poisonous or toxic materials stored by the behavioral health residential facility are maintained in labeled containers in a locked area separate from food preparation and storage, dining areas, and medications and are inaccessible to residents;

3: Admission; Assessment: R9-10-707(A)(12(a-b)); Frequency = 45

A. An administrator shall ensure that:

12. Except as provided in subsection (E)(1)(d), a resident provides evidence of freedom from infectious tuberculosis:

- a. Before or within seven calendar days after the resident's admission, and
- b. As specified in R9-10-113.

4: Personnel: R9-10-706(F)(1-2); Frequency = 40

F. An administrator shall ensure that a personnel member, or an employee, a volunteer, or a student who has or is expected to have more than eight hours of direct interaction per week with residents, provides evidence of freedom from infectious tuberculosis:

1. On or before the date the individual begins providing services at or on behalf of the behavioral health residential facility, and
2. As specified in R9-10-113.

5: Emergency and Safety Standards: R9-10-720(B)(5); Frequency = 36

B. Except for an outdoor behavioral health care program provided by a behavioral health residential facility, an administrator shall ensure that:

5. An evacuation drill for employees and residents on the premises is conducted at least once every six months on each shift;

6: Admission; Assessment: R9-10-707(A)(5); Frequency = 30

A. An administrator shall ensure that:

5. Except as provided in subsection (E)(1)(a), a medical practitioner performs a medical history and physical examination or a registered nurse performs a nursing assessment on a resident within 30 calendar days before admission or within seven calendar days after admission and documents the medical history and physical examination or nursing assessment in the resident's medical record within seven calendar days after admission;

7: Administration: R9-10-703(C)(5)(a); Frequency = 23

C. An administrator shall ensure that:

5. Unless otherwise stated:

- a. Documentation required by this Article is provided to the Department within two hours after a Department request; and

8: Physical Plant Standards: R9-10-722(B)(8)(k); Frequency = 19

B. An administrator shall ensure that:

8. A resident bedroom complies with the following:

- k. Has a clothing rod or hook in the bedroom designed to minimize the opportunity for a resident to cause self-injury.

9: Emergency and Safety Standards: R9-10-720(B)(2); Frequency = 18

B. Except for an outdoor behavioral health care program provided by a behavioral health residential facility, an administrator shall ensure that:

2. The disaster plan required in subsection (B)(1) is reviewed at least once every 12 months;

Addition to Top 10 List from previous month

*Equal cite frequency with corresponding Top 10 List number

10: Environmental Standards: R9-10-721(B)(2)(a); Frequency = 17

B. An administrator shall ensure that:

2. Smoking tobacco products may be permitted on the premises outside a behavioral health residential facility if:

a. Signs designating smoking areas are conspicuously posted, and

Addition to Top 10 List from previous month

*Equal cite frequency with corresponding Top 10 List number