

HPP Patient Movement

**Emergency Declaration
Licensing Waiver Process**



Objective

- Review of State Licensing Process
- Based on Exercise feedback
- Providers
- ADHS Leadership and Staff
- Local and County Authorities
- Efficiency gains:
- Provider
- County
- Arizona Department of Health Services (ADHS)



Waiver Authorities

- Arizona State Licensing
- Centers for Medicare and Medicaid Services



Protocol for Requesting State Waiver

Requirements to request a state waiver include the following:

Director of the Arizona Department of Health Services can approve waiver for specific rule(s) ***only*** after the Governor has signed a State of Emergency Declaration.



Protocol for Requesting State Waiver

State waiver is limited to:

Geographic Area

- County

- State

- Location of emergency- domino effect

Specific Events

- H1N1

- Natural disaster

- Flooding

- Winter storm



Protocol for Requesting State Waiver



Requesting Facility Must:

Communicate the following to the Arizona Department of Health Services (ADHS)-Division of Licensing (DLS):

Describe why the emergency waiver is being requested.

Identify what rule(s) are being requested to be waived.

Provide anticipated timeframe for which the facility is requesting the waiver.

Each rule waiver will be time limited and the Healthcare Institution will need to return to compliance at the termination time

or

Each facility will need to submit an additional request for the rule and new timeframe

Waiver Documents



One Page Request Form

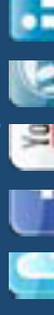
Documents the type of waiver request

Immediate 48 hour waiver

48 hours begin at the time and date of the Emergency Declaration by the Governor of Arizona

Post 48 hour waiver

Request Process utilized 48 hours post the Emergency Declaration



Steps to Initiate Waiver in a Licensed Healthcare Facility

- Be located in an affected area that does not allow you to continue to provide services while maintaining compliance with the Rules and Regulations
- Notify the Arizona Department of Health Services Health Emergency Operations Center via email at licensingwaivers@azdhs.gov
- Provider to complete the ADHS request form provided on the ADHS web site
- Once ADHS EOC is notified the request will be reviewed and facility notified of approval or other recommendations
- At time of this notification the ADHS EOC will provide the facility with the correct spreadsheet for the provider to prepare for additional waivers post 48 hours



STATE LICENSING WAIVER REQUEST FORM

Health and Wellness for all Arizonans

azdhs.gov



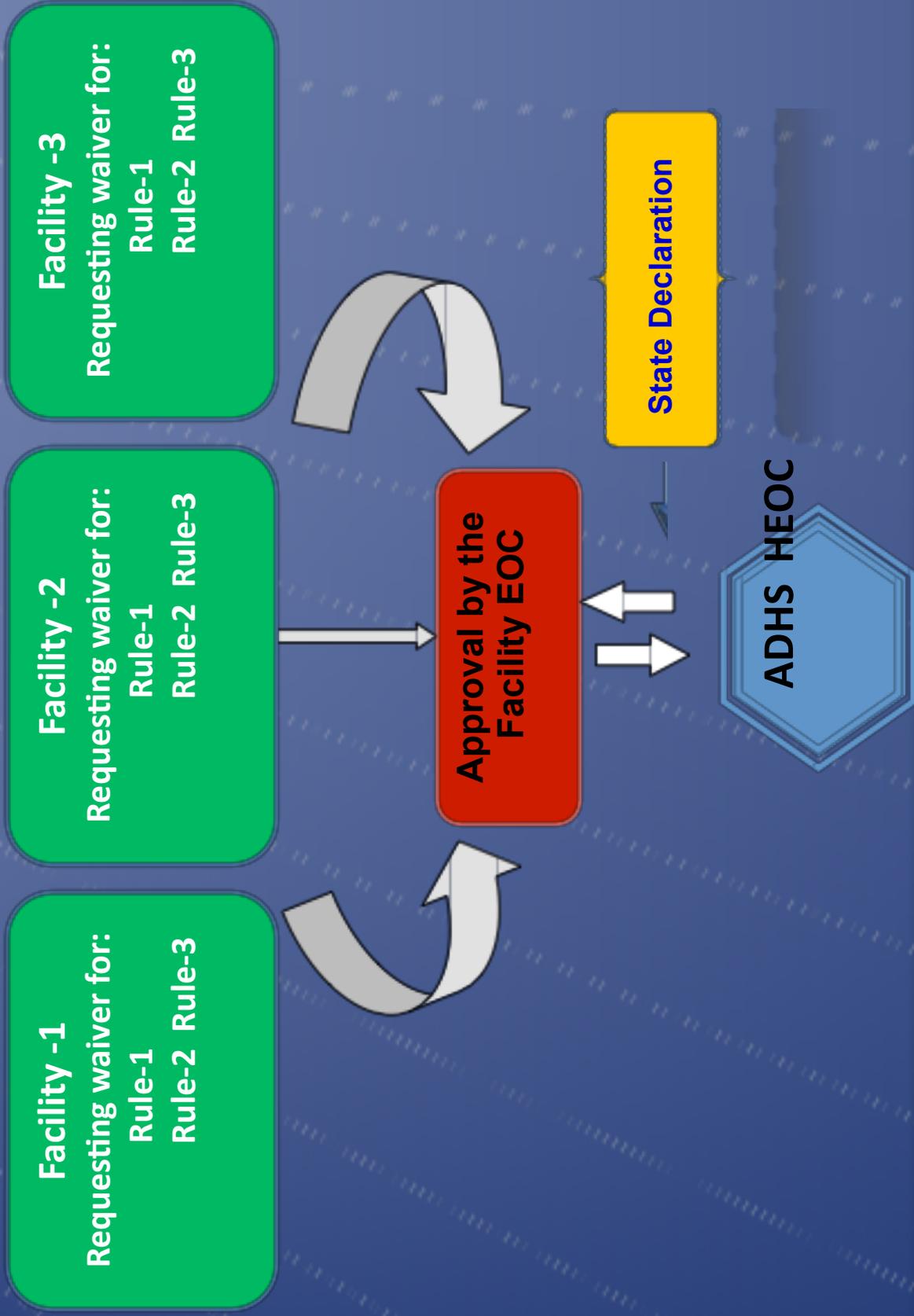
FACILITY REQUEST FOR STATUTE AND RULES WAIVER DURING A DECLARED EMERGENCY BY THE GOVERNOR		Requestor Signature:	
Name of Licensed Facility			
License Number			
Date Waiver Request			
Type of Waiver Requested	Immediate 1st 48 hrs	Number	Contact email:
Specific rule - Attach Rule set with identified rule(s) requested and identified			Contact Phone
	Post 48 hrs of declaration		Other:
Comments:			



ARIZONA DEPARTMENT OF HEALTH SERVICES APPROVAL FOR STATUTE AND RULE WAIVER DURING A DECLARED DISASTER

Date and Time received by ADHS HEOC		ADHS Approval Signature:			
Date and Time Processed by ADHS HEOC		Contact email:			
		Contact Phone Number:			
Type of Waiver Approved	Immediate	Other:	1st 48 hrs		
	Specific rule - Attach Rule set with entered rule(s)			Post 48 hrs of on	

State Waiver



CMS Waiver Process Conditions of Participation or Conditions for Coverage

<https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/1135-Waivers>



Protocol for Requesting Centers for Medicare and Medicaid Services (CMS) Waiver

Waiver or Modification of Regulatory Requirements based on Section 1135(b) of the Social Security Act are as follows:

- 1. Presidential declared state of emergency under Stafford Act or National Emergency Act; and**
- 2. A waiver or modification is invoked by the Secretary of the Department of Health and Human Services.**



CMS Waiver or Modification

The Secretary of State will Invoke when the Emergency

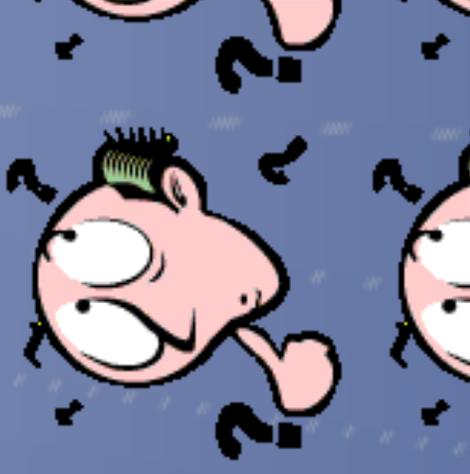
- Poses a risk that sufficient healthcare items and services may not be available to meet the needs of individuals enrolled in Medicare, Medicaid and CHIP programs.
- Providers are unable to comply with one or more of these requirements as a result of the emergency situation.





Thoughts

Questions



*Thank you for your
continued
Collaboration with
the Department*

