Division of Public Health Services, Licensing Services Healthcare Institution Licensing

Behavioral Health 101



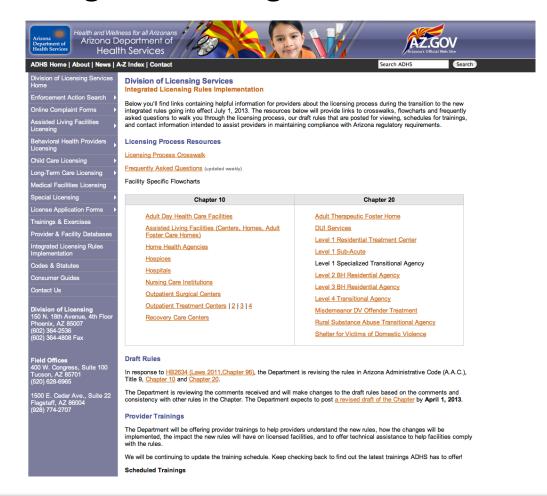






For more information, visit our Rules Implementation website: www.azdhs.gov/als/integrated/

- Resources
 - Crosswalks
 - Frequently asked questions
 - Flowcharts for licensing process
- Access to draft rules
- Provider trainings and meetings
 - Online videos
 - **Powerpoints**













Agenda

- Background for Integration
- Timeline for Implementation
- Behavioral Health Services vs. Behavioral Care
- Questions & Answers
- Adjourn



OBJECTIVES

To secure a general understanding of the difference between Behavioral Care and Behavioral Health Services

To learn why treatment plans and assessments are important for persons receiving Behavioral Health Services

To understand the role and requirements of Professionals and Para-professionals in Behavioral Health **Services**





Why are we doing this?

ADHS STRATEGIC MAP

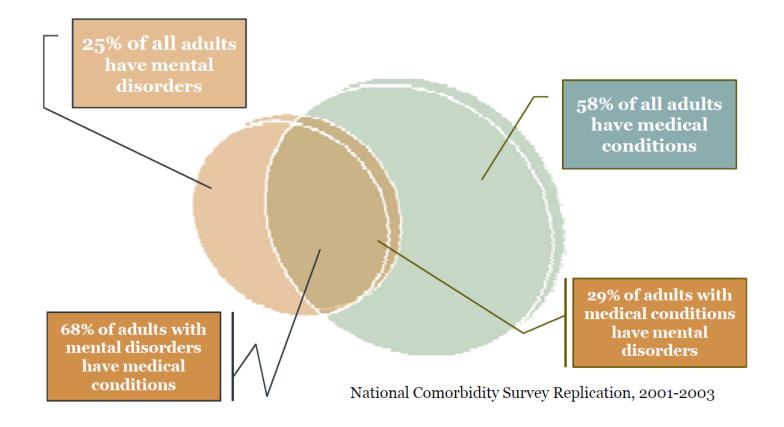








Is mental health a public health issue?













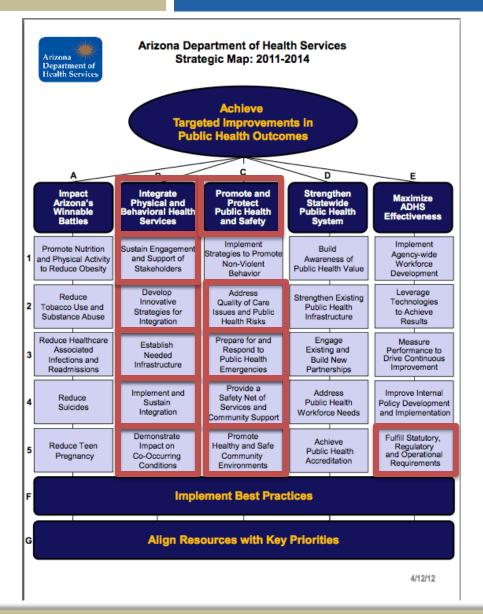
Strategic Priorities

- Arizona's Winnable Battles
- Integrating Physical and Behavioral Health Services
- Promote and Protect Public Health and Safety
- Strengthen Statewide Public Health **System**
- Maximize ADHS Effectiveness









Strategic Priorities Where do integrated rules fit in?

- Integrating Physical and Behavioral Health Services
- Promote and Protect Public Health and Safety
- Maximize ADHS Effectiveness









ADHS STRATEGIC PRIORITY

INTEGRATING PHYSICAL AND BEHAVIORAL HEALTH SERVICES











Integrated Rules for Licensure

Law 2011, Chapter 96 (House Bill 2634) Highlights

- On or before July 1, 2013
- Reduce monetary or regulatory costs on persons or individuals
- Streamline the regulation process
- Facilitate licensure of integrated health programs that provide both behavioral and physical health services
- Provide public notice and an opportunity for public comment on proposed rules
- Will include rules for the Paid Feeding Assistant Program for Skilled Nursing Facilities



The New Integrated Rules

The Integration Plan

- The new articles and rules in 9 A.A.C. 10 will:
 - ✓ Focus on health and safety
 - ✓ Remove prescriptive requirements for behavioral health services
 - ✓ Reduce regulatory costs
 - ✓ Streamline the regulatory process
 - ✓ Address inpatient, residential, and outpatient behavioral health services settings
 - ✓ Integrate behavioral and physical health services





The New Integrated Rules

The Integration Plan - continued

- A facility will be licensed based on the highest level of services it provides.
- Facilities will be able to offer a "menu of services"
 - All medical services will be provided under the direction of a physician.
 - All nursing services will be provided under the direction of a registered nurse.
 - All behavioral health services will be provided under the direction of a licensed behavioral health professional.
 - All behavioral health technicians and behavioral health paraprofessionals will receive supervision or clinical oversight from a licensed behavioral health professional.



Rules Timeline

- Rules will be filed (in most cases) by June 28th
- Implementation of rules will start October 1st
 - Provider specific trainings will be held between
 July and October to help providers prepare for the implementation









What does this mean to providers?

- You need to start following the rules on Oct 1st.
- You do not have to add additional services.
- Provides facilities with more flexibility for:
 - Policies and procedures
 - Staffing
 - Training
- Technical assistance will be provided.

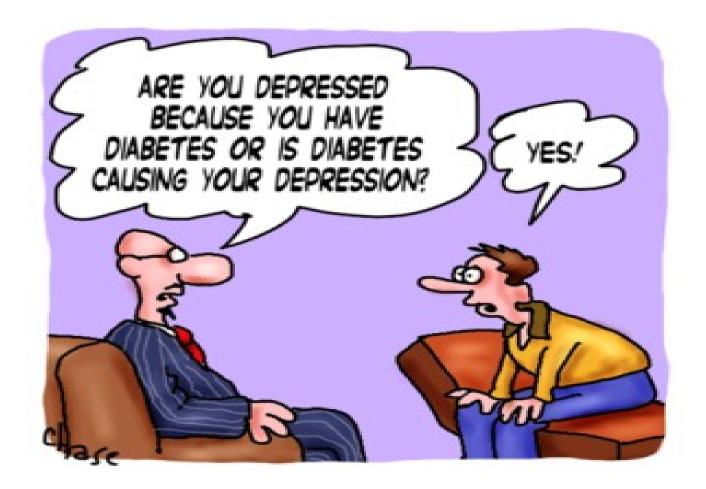


WHAT IS INTEGRATED HEALTH CARE?

 It is a coordinated system that combines physical and behavioral health services to address the whole person, not just one aspect of his or her condition. Physical and behavioral health providers partner to coordinate the detection, treatment, and follow up of both behavioral and physical conditions.













Behavioral Health

- Behavioral health services are medical services, nursing services, healthrelated services, or ancillary services provided to an individual to specifically address the individual's behavioral health issue (conditions), such as a mental disorder, a personality disorder, substance abuse, or a significant psychological or behavioral response.
- Medical services, nursing services, and health-related services are defined in A.R.S. § 36-401 and are applicable to all health care institutions.
- "Ancillary services" will be defined in A.A.C. R9-10-101 and encompasses services other than medical services, nursing services and health-related services provided to address the individual's behavioral health issue.





Behavioral Health

Assessment

- **Presenting issue;**
- Substance abuse history;
- **Co-occurring disorder**;
- Medical condition and history;
- **Legal history, including:**
 - (1) Custody,
 - (2) Guardianship, and
 - (3) Pending litigation;
- **Criminal justice record;**
- Family history;
- Behavioral health treatment history;

Symptoms reported by the resident;







Behavioral Health

Assessment

- **Includes:**
- Referrals needed by the resident, if any;
- Recommendations for further assessment or examination of the resident's needs,
- The physical health services or ancillary services that will be provided to the resident until the resident's treatment plan is completed, and
- The signature and date signed of the personnel member conducting the assessment; and
- Is documented in resident's medical record; and

A resident is referred to a medical practitioner if a determination is made that the resident requires immediate physical health services or the resident's behavioral health issue may be related to the resident's medical condition.







Behavioral Health

Treatment Plan

An administrator shall ensure that a treatment plan is developed and implemented for each resident that is:

Based on the assessment and on-going changes to the assessment of the resident;

Completed:

- By a behavioral health professional or a behavioral health technician under the clinical oversight of a behavioral health professional, and
- Before the resident receives physical health services or behavioral health services or within 48 hours after the assessment is completed;







Behavioral Health

Treatment Plan

Documented in the resident's medical record within 48 hours after the resident first receives physical health services or behavioral health services;

Includes:

- The resident's presenting issue;
- The physical health services or behavioral health services to be provided to the resident;
- The signature of the resident or the resident's representative and dated signed, or documentation of the refusal to sign;
- The date when the resident's treatment plan will be reviewed;

- If a discharge date has been determined, the treatment needed after discharge; and
- The signature of the personnel member who developed the treatment plan and the date signed;







Behavioral Health

Partners in the Process

- **Behavioral Health Professional** BHP
- **Behavioral Health Technician BHT**
- **Behavioral Health Para-Professional BHPP**
- **PRSS Peer Recovery Support Specialist**







Behavioral Health

Partners in the Process

Behavioral Health Professional

An individual who is qualified according to a health care institution's policies and procedures to provide behavioral health services at or for the healthcare institution that would require a license under A.R.S. Title 32, Chapter 33.







Behavioral Health

Partners in the Process

Behavioral Health Technician

- An individual who is not a Behavioral Health Professional who provides behavioral health services
 - At or for a healthcare institution that would require a license under A.R.S. Title 32, Chapter 33; and
 - Under the supervision of a behavioral health professional.

Clinical Oversight









Behavioral Health

Partners in the Process

Behavioral Paraprofessional

- An individual who is not a Behavioral Health Professional who provides behavioral health services:
 - At or for a healthcare institution that would require a license under A.R.S. Title 32, Chapter 33;
 - Under the supervision of a behavioral health professional.







Behavioral Health

Partners in the Process

Peer Recovery Support Specialist

An individual who is a BHT or a BHPP who has progressed in their own recovery from alcohol or other drug abuse or behavioral health disorder and is willing to self-identify as a peer and work to assist other individuals with chemical dependency or a behavioral health disorder. Because of their life experience, such persons have expertise that professional training cannot replicate.





Clinical Oversight

- Monitoring the behavioral health services provided by a behavioral health professional to ensure that the behavioral health technician is providing the behavioral health services according to the health care institution's policies and procedures
- Providing on-going review of a behavioral health technician's skills and knowledge related to the provision of behavioral health services,
- Providing guidance to improve a behavioral health technician's skills and knowledge related to the provision of behavioral health services,
- Recommending training for a behavior health technician to improve the behavioral health technician's skills and knowledge related to the provision of behavioral health services.







This only applies to licensed professionals under the board. Direct Supervision

- Board rules prohibit Certified Associate Counselors, Certified Bachelor Social Workers, Certified Master Social Workers and Certified Associate Marriage and Family Therapists from practicing independently.
- This means that these LOWER LEVEL PROFESSIONALS MUST PRACTICE UNDER DIRECT SUPERVISION AT ALL TIMES.
- Direct supervision means lower level professionals must have a supervisor and the supervisor must have immediate responsibility and oversight for all client contact by the supervisee. Normally this means lower level professionals work in an agency with a direct supervisor who is legally and financially responsible for all services provided by the supervisee.







This only applies to licensed professionals under the board. **Supervision**

- Defined in 36-401
- The "direct overseeing and inspection of the act of accomplishing a function or activity"
- Needs to be done for a BHPP by a BHP.





Behavioral Care

- Assistance with a resident's psychosocial interactions to manage the resident's behavior
 - Can performed by an individual without professional skills (caregiver)
 - May include direction provided by a behavioral health professional and medication ordered by a behavioral health professional or a medical practitioner



Examples of Behavioral Care

- Behavior modification following a prescribed treatment plan
- Assisting with the self administration or administering medications prescribed by a medical practitioner





Behavioral Care

A behavioral health professional or medical practitioner must:

- 1. Evaluate the resident before you accept them into your facility
- Review what services your facility offers
- Agree (by signing) that this is an appropriate placement
- Provide you with a summary of the behavioral care the resident requires
- Reassess every 6 months to make sure the placement is appropriate





Behavioral Care

A facility must:

- 1. Summarize the residents mental and emotional conditions or impairments
 - Interactions or behaviors that require assistance
 - Psychotropic medications ordered
 - Planned strategies and actions for changing the interactions/behaviors
 - Goals for changes
 - Must be reviewed by BHP or medical practitioner
- 2. Update the service plan when there is a <u>significant</u> change in condition (within 14 days)





Skills and Knowledge

The qualifications, education, experience, skills, and knowledge required for each type of personnel member:

Are based on:

- The type of behavioral health services or behavioral care expected to be provided by the personnel member according to the established job description, and
- The acuity of the residents receiving behavioral health services or behavioral care from the personnel member according to the established job description; and





Skills and Knowledge

The specific skills and knowledge necessary for the personnel member to provide the expected behavioral health services listed in the established job description,

- The type and duration of education that may allow the personnel member to acquire the specific skills and knowledge for the personnel member to provide the expected behavioral health services or behavioral care listed in the established job description, and
- The type and duration of experience that may allow the personnel member to acquire the specific skills and knowledge for the personnel member to provide the expected behavioral health services or behavioral care listed in the established job description;





Alexander Blount, Clinical Professor, Family Medicine and Psychiatry, University of Massachusetts Medical School, put it simply....

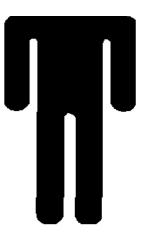
"Collaborative care is re-discovering the neck."

Behavioral Health System





Physical Health System









Questions and answers







Trainings

Check our website for dates and times of trainings



Thank you!

For more information:

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