# Resource Packet TB Screening Requirements for Health Care Institutions Licensed by the State of Arizona

## Frequently Asked Questions:

#### Where can I find TB Screening requirements for Health Care Institutions?

- R9-10-113 covers What are the TB screening requirements for Health Care Institutions licensed by the State of Arizona.
- Refer to individual articles based on type of license to see **Who** are required to have TB screening. (For details, see Table 1).
- The AAC was updated to follow the latest recommendations found in: <u>Tuberculosis Screening</u>, <u>Testing</u>, and <u>Treatment of U.S. Health Care Personnel: Recommendations from the National</u> <u>Tuberculosis Controllers Association and CDC</u>, 2019
- The <u>2020 Companion Document</u> elaborates on <u>How</u> to implement CDC's 2019 recommendations (note that example documentation are available as hyperlinks throughout the Companion Document.) For ease of access, these appendices have been compiled <u>here</u>.
- The <u>2005 Guideline</u> remains a useful reference for preventing TB transmission in health care settings, as noted in the <u>2019 MMWR</u>: Recommendations from the 2005 CDC guidelines that are outside the scope of health care personnel screening, testing, treatment, and education remain unchanged; this includes continuing facility risk assessments for guiding infection control policies and procedures.

#### What are some useful documents and references for the following steps?

- 1. **Baseline TB Screening** with Appendix 3 (or similar document) includes a TB risk assessment and a symptom evaluation.
- 2. **Baseline TB Testing** with blood test or TST for those who do not have a prior history of TB infection or disease. For resources on TB testing, check out CDC's <u>website</u>.
  - What about prior positives? Per 2019 MMWR:
    - Health care personnel with a prior positive TB test and documented normal chest radiograph do not require a repeat radiograph unless they are symptomatic or starting LTBI treatment. The local public health department should be notified immediately if TB disease is suspected. Health care personnel with LTBI and no prior treatment should be offered, and strongly encouraged to complete, treatment with a recommended regimen, including short-course treatments, unless a contraindication exists. Health care personnel who do not complete LTBI treatment should be monitored with annual symptom evaluation to detect early evidence of TB disease and to reevaluate the risks and benefits of LTBI treatment. These health care personnel also should be educated about the signs and symptoms of TB disease that should prompt an immediate evaluation between screenings.

- For those that decline LTBI treatment, <u>Appendix 4</u> provides example documentation. Depending on occupational health and facility policies, this may not be part of employee health records
- What if someone who is low risk for TB infection tests positive on hire? Per 2019
   MMWR:
  - The risk assessment and symptom evaluation help guide decisions when interpreting test results. For example, health care personnel with a positive test who are asymptomatic, unlikely to be infected with M. tuberculosis, and at low risk for progression on the basis of their risk assessment should have a second test (either an IGRA or a TST) as recommended in the 2017 TB diagnostic guidelines of the American Thoracic Society, Infectious Diseases Society of America, and CDC. In this example, the health care personnel should be considered infected with M. tuberculosis only if both the first and second tests are positive.
  - Health care personnel with a newly positive test result (with confirmation for those persons at low risk as described previously) should undergo a symptom evaluation and chest radiograph to assess for TB disease. Additional workup might be indicated on the basis of those results,
  - For those that decline LTBI treatment, <u>Appendix 4</u> provides example documentation. Depending on occupational health and facility policies, this may not be part of employee health records
- 3. **Annual Screening** (symptom screen) **for those with untreated LTBI:** Example documentation: Appendix 7.
- 4. **Annual TB Education**. (see Appendix 6). Example online resources for education:
  - https://www.cdc.gov/tb/webcourses/tb101/default.htm
  - o http://globaltb.njms.rutgers.edu/educationalmaterials/ltbimultimedia.php
  - o www.azhealth.gov/tb
- 5. **Facility Risk Assessment** Appendix B of 2005 MMWR: (See Appendix 1 and Appendix 5 for suggested amendments to wording.)
- 6. Contact your local health department for **Contact Investigation (CI)** recommendations. For TB exposures in the facility, coordination and sharing of contact investigation data should be done directly with the local health department. Example CI forms and spreadsheets are available upon request.

### **Documentation Checklist**

#### **Pre-employment/Baseline Documentation:**

□ Baseline Screening Form: risk factors, TB history, and symptom checklist (example Appendix 3)

 $\Box$  For those <u>without</u> documented history of LTBI or TB: **Baseline TB testing**: IGRA (QFT or T-spot) <u>or</u> TST (Might be combined with screening form to be one document)

- o For low risk individuals who have a second confirmatory test, if the second is negative, that is acceptable as "negative". See FAQs for more information.
- o The negative IGRA (QFT or T-spot) or TST should be dated within 12 months of start date
- o If positive, per <u>2019 MMWR</u>: "Health care personnel with a newly positive test result (with confirmation for those persons at low risk as described previously) should undergo a symptom evaluation and chest radiograph to assess for TB disease. Additional workup might be indicated on the basis of those results." "Health care personnel with LTBI and no prior treatment should be offered, and strongly encouraged to complete, treatment with a recommended regimen, including short-course treatments, unless a contraindication exists."
- Optional documentation for those that decline LTBI treatment: <u>Appendix 4</u>. Depending on occupational health and facility policies, this may not be part of employee health.

☐ For those with documented LTBI/ history of TB: Documentation that they are free of infectious TB

- Per 2019 MMWR: "Health care personnel with a prior positive TB test and documented normal chest radiograph do not require a repeat radiograph unless they are symptomatic or starting LTBI treatment." "Health care personnel with LTBI and no prior treatment should be offered, and strongly encouraged to complete, treatment with a recommended regimen, including short-course treatments, unless a contraindication exists."
- Optional documentation for those with untreated LTBI that decline LTBI treatment: <u>Appendix</u>
   Depending on occupational health and facility policies, this may not be part of employee health.

#### **Annual Documentation:**

$\square$ Annual symptom screening form for those with <u>untreated</u> LTBI (Example <u>Appendix 7</u> ).
□Annual TB Education (see <u>Appendix 6</u> )
$\square$ Facility Risk Assessment: <u>Appendix B</u> of 2005 MMWR. (While reviewed annually, probably won't have
much in the way of updates.)

This risk assessment has not been updated. Suggested wording is available in <u>Appendix 1</u> and <u>5</u>. For those sections that are not applicable to the facility, can be marked as N/A or crossed out.

#### If TB Exposure at Facility:

□ Documentation of Local Health Department's (LHD) contact investigation (CI) recommendations. If recommended by LHD, documentation that CI was performed and shared with LHD.

 Note that not all TB is potentially infectious. The LHD may determine that there was no need for a CI based on site of disease (if no aerosolizing procedures were performed), or based on determined infectious period.

Table 1: Who needs to be part of TB screening?

Type of License	Article	Reference (A.A.C.)	Who should be screened?
			Documentation of evidence of freedom from infectious tuberculosis required in R9-10-230(5);
			A medical staff member provides evidence of freedom from infectious
Hospitals	2	R9-10-230(4)(c-d)(5)(a-b)	tuberculosis according to the requirements in R9-10-230(5);
		R9-10-233(1)	An individual providing environmental services who has the potential to transmit infectious tuberculosis to patients, as determined by the infection control risk assessment criteria in R9-10-230(4)(c), provides evidence of freedom from infectious tuberculosis:
Behavioral Health Inpatient Facilities	3	R9-10-306(E)	An administrator shall ensure that a personnel member, or an employee, a volunteer, or a student who has or is expected to have direct interaction with a participant for more than eight hours in a week, provides evidence of freedom from infectious tuberculosis:
Nursing Care	4	R9-10-406(E)	A personnel member, or an employee, a volunteer, or a student who has or is expected to have more than eight hours of direct interaction per week with residents
Institutions		R9-10-407(7 &8).	Residents
Intermediate Care Facility for		R9-10-506(F)	A personnel member, or an employee, a volunteer, or a student who has or is expected to have more than eight hours of direct interaction per week with
Individuals with	uals with 5 R9-10-50		residents
Disabilities			Residents(clients)

Hospice	6	( , ( ,	A personnel member, or an employee or a volunteer who has or is expected to have direct interaction with a patient, provides evidence of freedom from infectious tuberculosis:
Behavioral health residential facility 7 R9-10-707(A)(13)		. ,	A personnel member, or an employee, a volunteer, or a student who has or is expected to have more than eight hours of direct interaction per week with residents  Residents
Assisted living facility	8	R9-10-806(B)(1)(b)	A manager, a caregiver, and an assistant caregiver, or an employee or a volunteer who has or is expected to have more than eight hours per week of direct interaction with resident  An individual (12 years of age or older) residing in an assisted living home, who is not a resident, a manager, a caregiver, or an assistant caregiver  Residents
Adult foster care home	8	` , , ,	A manager, a caregiver, and an assistant caregiver, or an employee or a volunteer who has or is expected to have more than eight hours per week of direct interaction with resident  Residents
Outpatient Surgical Centers	9	R9-10-905(A)(4) A personnel member, or an employee, a volunteer, or a student who has expected to have more than eight hours of direct interaction per week with patients, provides evidence of freedom from infectious tuberculosis:	
Outpatient Treatment Centers	10	R9-10-1025(D)(3)	A personnel member, who is expected to provide respite services eight or more hours a week, complies with the requirements for tuberculosis screening in R9-10-113;

Adult day health care facility	11	R9-10-1107(A)	A personnel member, or an employee or a volunteer who has or is expected to have direct interaction with a participant for more than eight hours a week Participants
Home Health Agency	12	R9-10-1206(A)(4)	A personnel member, an employee, a volunteer, or a student who has or is expected to have direct interaction with a patient, provides evidence of freedom from infectious tuberculosis:
Behavioral Health Specialized Transitional Facility	13	R9-10-1305(F)(4) An administrator shall ensure that a personnel member or an e volunteer who has or is expected to have direct interaction with more than eight hours a week, provides evidence of freedom fr tuberculosis:  Patients	
Substance Abuse Transitional Facility	14		An administrator shall ensure that a personnel member, or an employee, a volunteer, or a student who has or is expected to have direct interaction with a participant for more than eight hours in a week, provides evidence of freedom from infectious tuberculosis:
Behavioral health respite home	16	R9-10-1603(B)(5)	Providers
Unclassified Healthcare Institution	17	R9-10-1705(D)	An administrator shall ensure that a personnel member, or an employee, a volunteer, or a student who has or is expected to have direct interaction with a patient, provides evidence of freedom from infectious tuberculosis:
Adult behavioral health therapeutic home	18	R9-10-1803(B)(5)	A provider or back-up provider

		R9-10-2105(C) An administrator shall ensure that a personnel member, or an employee or a
Recovery Care Centers	21	volunteer who has or is expected to have direct interaction with a patient, R9-10-2106(B) provides evidence of freedom from infectious tuberculosis:

# Appendices from the 2020 Companion Document

The following resources are available as hyperlinks throughout the 2020 Companion Document.

Note that these are not ADHS documents.

They have been added here for ease of access, with the purpose of serving as example documentation.

Appendix 2 is not included in the following pages as the AAC defines who should be screened for TB in health care facilities licensed by the state of Arizona. See <u>Table 1</u> for details.

Appendix 1: Facility Risk Assessment (suggested updates)

Appendix 3: Integrated Tuberculosis (TB) Screening and Risk Assessment Form for Newly Hired HCP

Appendix 4. Latent Tuberculosis Infection Treatment Declination or Postponement of Treatment

Appendix 5. Risk Classifications for Health Care Settings and Recommended Frequency of Screening for

Mycobacterium Tuberculosis Infection among Health Care Personnel (HCP)

Appendix 6. Educational Supplement on Tuberculosis (TB) Infection

Appendix 7. Annual Tuberculosis Symptom Screen

#### Appendix 1. Facility Risk Assessment

Portions from the 2005 MMWR CDC Guidelines Appendix B: Tuberculosis (TB) Risk Assessment Worksheet Suggested updates to Reflect the 2019 MMWR CDC/NTCA Recommendations are in <u>bold underlined</u> text<sup>1,2</sup>

The 2019 MMWR CDC/NTCA Recommendation states: "Recommendations from the 2005 CDC Guidance that are outside the scope of health care personnel screening, testing, treatment, and education remain unchanged; this includes continuing annual facility risk assessments for guiding infection control policies and procedures."

#### **Outpatient settings**

Does evidence exist of person-to-person transmission of <i>M. tuberculosis</i> in the	Yes No
health-care setting? (Use information from case reports for both contact	
investigation and from serial testing (if any is being done). Determine if any	
tuberculin skin test [TST] or blood assay for <i>M. tuberculosis</i> [BAMT/IGRA] for <i>M.</i>	
tuberculosis conversions have occurred among HCP in the past year.)	

#### Nontraditional facility-based settings

Have any TST or BAMT/IGRA conversions occurred among staff or clients in the past year? (Use information from case reports for both contact investigation and serial testing program <u>if done</u> )	Yes No
,	

#### Screening of HCP for M. tuberculosis Infection

How frequently are HCP tested for M. tuberculosis infection?	o On hire
	o Post-exposure
	o <u>Other</u>

# Appendix 3. Integrated Tuberculosis (TB) Screening and Risk Assessment Form for Newly Hired HCP Preferred Contact Information: 1. What position are you hired for? \_\_\_\_\_ What is your start date?\_\_\_\_\_ 2. Have you EVER spent more than 30 days in a country with an elevated TB rate? This includes all countries except those in Western Europe, Northern Europe, Canada, Australia, and New Zealand. a. YES I have been in a foreign country for >30 days (not including those listed above) b. NO I have not been in any country for >30 days except the ones listed above 3. Have you had close contact with anyone who had active TB since your last TB test? YES / NO Do you currently have any of the following symptoms: a. YES / NO unexplained fever for more than 3 weeks b. YES / NO cough for more than 3 weeks with sputum production c. YES / NO bloody sputum d. YES / NO unintended weight loss >10 pounds e. YES / NO drenching night sweats f. YES / NO unexplained fatigue for more than 3 weeks 5. Have you ever been diagnosed with active TB disease? YES / NO 6. Have you ever been diagnosed with latent TB infection or had a positive skin test or a positive blood test for TB? a. YES one or more of these is true for me b. NO none of these is true for me 7. Have you been treated with medication for TB or for a positive TB test (eg, taken "INH")? YES / NO If YES, what year, with which medication, for how long, and did you complete the treatment course? 8. Do you have a weakened immune system for any reason including organ transplant, recent chemotherapy, poorly controlled diabetes, HIV infection, cancer, or treatment with steroids for more than 1 month, immune-suppressing medications such as a TNF-alpha antagonist or another immune-modulator? (If you are not sure, ask your Occupational Health provider) a. YES, one or more of these is true for me b. NO, none of these is true for me

Date

Occupational Health Reviewer Signature

#### Appendix 4. Latent Tuberculosis Infection Treatment Declination or Postponement of Treatment

#### I understand that:

- I have a confirmed positive tuberculosis (TB) test skin test or blood test (such as QuantiFERON® or TSpot®.TB), and a chest X ray that is negative for active TB disease. These show evidence that I was exposed to TB and that I have latent TB infection (LTBI).
- This LTBI is not currently communicable to others.
- LTBI can turn into active TB disease in the future, where it may become communicable to family members, patients, colleagues and the general public. The treatment of active TB disease requires multiple medications and, if untreated, can be fatal.
- Treatment of my LTBI with anti-TB medications will greatly reduce the risk of my LTBI ever becoming active TB.
- If I develop symptoms that may be active TB disease, I must immediately refrain from work and report these symptoms to a physician knowledgeable in TB diagnosis and treatment.
  - These symptoms include prolonged (>3 weeks) cough or bloody cough, drenching night sweats, unexplained weight loss and/or unexplained fevers.
- I have been encouraged to get treated for LTBI and have been given treatment information.
- I understand that by declining or postponing this treatment I continue to be at risk of developing active TB disease.

If I want to be treated for LTBI in the future, I can receive that treatment.

Employee Signature	Date
Employee Printed Name	Department and Location
Occupational Health Staff Signature	Date
Occupational Health Printed Name	 

# Appendix 5. Risk Classifications for Health Care Settings and Recommended Frequency of Screening for Mycobacterium Tuberculosis Infection among Health Care Personnel (HCP)

Adapted from 2005 MMWR CDC Guidelines, Appendix C
Updated to Reflect 2019 MMWR CDC/NTCA Recommendations
(changes are in **bold underlined text**)<sup>1,2</sup>

Setting	Low risk	Medium risk	Potential ongoing transmission#
Inpatient <200 beds	<3 TB patients/year	≥3 TB patients/year	
Inpatient >=200 beds	<6 TB patients/year	≥6 TB patients/year	
Outpatient and nontraditional facilities	<3 TB patients/year	≥3 TB patients/year	
TB treatment facilities	Settings in which:  • persons who will be treated have been demonstrated to have latent TB infection (LTBI) and not TB disease  • a system is in place to promptly detect and triage persons who have signs or symptoms of TB disease to a setting in which persons with TB disease are treated  • no cough-inducing or aerosol-generating procedures are performed	Settings in which:  Persons with TB disease are encountered  Criteria for low risk are not otherwise met	Evidence of ongoing M. tuberculosis transmission, regardless of setting
Laboratories	Laboratories in which clinical specimens that might contain <i>M. tuberculosis</i> are not manipulated	Laboratories in which clinical specimens that might contain <i>M. tuberculosis</i> are manipulated	

#### Recommended Frequency of Screening for Mycobacterium Tuberculosis Infection among Health Care Personnel (HCP)

Setting	Low risk	Medium risk	Potential ongoing transmission#
Baseline two-step TST or one BAMT/IGRAP	Yes, for all HCP* on hire		
Serial TST or BAMT/IGRA	No** No** As needed in the investigation of potential ongoing transmission		As needed in the investigation of potential ongoing transmission <sup>\$\$</sup>
TST or BAMT/IGRA for HCP upon unprotected exposure to <i>M. tuberculosis</i> <sup>++</sup>	Perform a contact investigation (ie, administer one TST or BAMT/IGRA as soon as possible at the time of exposure and, if the result is negative, give a 2nd test [TST or BAMT/IGRA, whichever was used for the 1st test] 8-10 weeks after the end of exposure to <i>M. tuberculosis</i> <sup>pp</sup>		

<sup>\*</sup>The term health care personnel (HCP) refers to all paid and unpaid persons working in health care settings who have potential for exposure to *M. tuberculosis* through air space shared with persons with TB disease.

<sup>†</sup>Settings that serve communities with a high incidence of TB disease or that treat populations at high risk (eg, those with human immunodeficiency virus infection or other immunocompromising conditions) or that treat patients with drug-resistant TB disease might need to be classified as medium risk, even if they meet the low-risk criteria.

<sup>\*</sup>A classification of potential ongoing transmission should be applied to a specific group of HCP or to a specific area of the health-care setting in which evidence of ongoing transmission is apparent, if such a group or area can be identified. Otherwise a classification of potential ongoing transmission should be applied to the entire setting. This classification should be temporary and warrants immediate investigation and corrective steps after a determination has been made that ongoing transmission has ceased. The setting should be reclassified as medium risk, and the recommended timeframe for this medium risk classification is at least 1 year. PAII HCP should have a documented baseline two-step TST or blood assay (IGRA) at hire.

<sup>\*\*</sup>HCP in settings classified as low **or medium risk** do not need to be included in the serial testing program.

<sup>\$\$</sup>During an investigation of potential ongoing transmission of *M. tuberculosis*, testing for *M. tuberculosis* infection should be performed every 8-10 weeks until a determination has been made that ongoing transmission has ceased. Then the setting should be reclassified as medium risk for at least 1 year.

PPProcedures for contact investigations should not be confused with two-step TSTs that are used for baseline TST results for newly hired HCP. \*\*HCP who have unprotected exposure with confirmed active TB multiple times a year should be evaluated for potential ongoing transmission and considered for inclusion in serial testing until improved infection control procedures and environmental protections are in place.

#### Appendix 6. Educational Supplement on Tuberculosis (TB) Infection

The 2019 MMWR CDC/NTCA Recommendations include annual *education* be provided to all health care personnel (HCP). HCP TB education should include the following topics:

- Definitions of tuberculosis including active TB disease, latent TB infection and progression/reactivation TB
- Active TB signs and symptoms
- TB transmission and methods to prevent transmission
- Non-occupational risks for TB transmission, and the option (if available) for voluntary testing
- Medical conditions that increase the risk of untreated latent TB progressing to active TB (ie, immunocompromise)
- Latent TB infection treatment regimen options and effectiveness

An example of annual TB risk education language is offered below. (Note that the *collection* of such information by Occupational Health Services is not consistent with or included in the 2019 MMWR CDC/NTCA Recommendations.)

When you were hired, you were screened for tuberculosis (TB) infection.

If you have never had TB infection, you should know the risk factors for getting TB. They include:

- 1. Spending more than 30 days in a country with an elevated TB rate since your last TB test. This includes all countries *except* those in Western Europe, Northern Europe, Canada, Australia, and New Zealand.
- 2. Having close contact with anyone who had active TB since your last TB test; or
- 3. Spending time in a facility where TB is common. This might include jail, a homeless shelter, or time working in a health care setting in a country with an elevated TB rate.

If you have any of these risk factors for TB infection, you may wish to obtain a TB test. Contact	t*
to discuss voluntary testing for T	В.

If you were diagnosed with TB infection and you have not completed treatment, your infection could progress to active TB disease, particularly if you have:

 a. Planned or current immunosuppression, including human immunodeficiency virus infection, receipt of organ transplant, treatment with TNF alpha antagonist (infliximab, etanercept or other), chronic steroids (equivalent of prednisone >15 mg/day for >1 months).

If you have any of these risk factors for your latent infection	progressing to active TB disease, contact
	to discuss treatment options.

<sup>\*</sup>Facilities should provide contact information for occupational health, the public health department, or the HCP's personal primary care provider.

#### **Appendix 7. Annual Tuberculosis Symptom Screen**

If you have been told that you have latent tuberculosis (LTBI) based on a confirmed positive skin test (PPD) or positive blood test (QuantiFERON® [QFT] or TSPOT®.TB), it is not necessary to receive additional TB skin or blood testing, but *you must complete yearly symptom screening by filling out the questionnaire below.* 

#### Please read the following before completing your yearly questionnaire:

A positive PPD/TST or positive QFT/TSPOT®.TB test means that you have been exposed to the mycobacteria that causes TB and most likely have the inactive (latent) form of the infection, known as LTBI. People with LTBI do not have symptoms, do not feel sick, generally have a negative chest x-ray and cannot spread TB mycobacteria to others. Most people with LTBI will never develop active infection.

In some cases, however, LTBI will become active. This occurs most often in people who were recently infected or whose immune system becomes weakened (eg, in the elderly and in persons with diabetes, cancer, or organ transplant). The active form of TB is very dangerous and can be fatal. People with active TB disease are also capable of transmitting TB to others. While it is unlikely that your LTBI will ever become active TB disease, it is important for you to be aware of the symptoms you might experience if that occurred.

Please mark if you have experienced any of the following symptoms during the past year:

□ Yes	□ No	Cough for more than th	ree weeks with sputum production	
□ Yes	□ No	Unexplained fever or fa	itigue for more than 3 weeks	
□ Yes	□ No	Bloody sputum		
□ Yes	□ No	Drenching night sweats	5	
□ Yes	□ No	Unexplained weight los	s of more than 10 pounds	
	•	ave any of the symptoms live active TB disease.	sted above, call Occupational Health immediately for eval	uation
is positive for L	TBI, you do n	ot need to undergo additio	m screening for TB disease. Because your skin test or blood nal skin or blood testing. You also do not require an additio itive and you have no symptoms of active TB disease (listed	nal
of a productive	e cough for m	ore than 3 weeks, unexplai	ion above about LTBI. I certify that if I ever experience symned fever or fatigue for more than 3 weeks, bloody sputumnore than 10 pounds, I will immediately call Occupational F	١,
			significantly reduce your future risk of developing active to Occupational Health or your primary care provider.	ТВ
Employee Signa	ature		 Date	
Occupational H	lealth Signatu	re	Date	